

1 Original Article

2 **Prevalence of right to Left Shunts in Japanese Patients with Migraine: A Single-center**  
3 **Study**

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22 **Abstract**

23 **Background:** Several studies have shown an increased prevalence of right-to-left shunt  
24 (RLs) in migraine patients, particularly those with aura. However, the prevalence of RLs and  
25 its relation to Japanese patients with migraine are unknown. We investigated the prevalence  
26 of RLs in Japanese patients with migraine.

27 **Methods:** In total, 112 consecutive patients with migraine were recruited from our headache  
28 outpatient clinic. Migraine with aura (MA) and migraine without aura (MWOA) were  
29 diagnosed according to the International Classification of Headache Disorders, 3rd edition  
30 (beta-version). Contrast transcranial Doppler ultrasound was used to detect RLs, including  
31 patent foramen ovale (PFO). Then, the associations between RLs and patients' backgrounds  
32 and presence of aura were assessed.

33 **Results:** The overall prevalence of RLs and PFO in migraine patients was 54.5% and 43.8%,  
34 respectively. The prevalence of RLs and PFO in the MA group were significantly higher than  
35 in the MWOA group (RLS, 62.9% vs. 44.0%,  $p=0.046$ ; PFO, 54.8% vs. 30.0%,  $p=0.008$ ).  
36 There were no marked differences in the prevalence of large, middle and small shunts  
37 between MA and MWOA patients. Compared with the MWOA patients, **the** MA patients  
38 were younger ( $p=0.013$ ) and had early onset age ( $p=0.013$ ) and increased prevalence of  
39 photophobia ( $p=0.008$ ).

40 **Conclusion:** RLs were found in over half of the Japanese patients with migraine. Our study  
41 suggests a possible link between RLs and MA.

42

43 **Key words:** migraine, right-to-left shunt, patent foramen ovale, transcranial ultrasonography,  
44 migraine with aura, pulmonary arteriovenous malformation

45

46 **Introduction**

47 Patent foramen ovale (PFO) is a condition in which the foramen ovale, which normally closes  
48 at birth due to the elevation in the left atrial pressure during the transition to pulmonary  
49 circulation, is left unclosed (1). It has been reported that 15%-35% of healthy people have  
50 PFO (2, 3). PFO causes the formation of right-to-left shunts (RLs) during the Valsalva  
51 maneuver or at rest. Although several diseases, such as arteriovenous malformation (AVM) or  
52 atrial septum deficits, can cause RLs, PFO is the most common underlying factor for RLs (4).

53 A relationship between PFO and migraine has been reported. One hypothesis is that the  
54 passage of metabolic substances or subclinical emboli through a PFO stimulates the  
55 trigeminal nerve and cerebrovascular system, evoking migraine-like headaches (5). Although  
56 several studies reported no relationship between migraine and PFO (5), 40%-70% of patients  
57 with migraine with aura (MA) have been reported to have PFO (6). Additionally, an increased  
58 prevalence of PFO has been described in patients with chronic migraine compared to the  
59 general population and other headache groups (7). An increased prevalence of RLs has been  
60 found in patients with migraine, especially those with MA, in several cross-sectional studies;  
61 however, this relationship has not been replicated in population-based studies (8, 9) and the  
62 association between migraine and RLs has not been well studied in Asian populations.

63 We conducted a single-center, cross-sectional study consisting of consecutive migraine  
64 patients from a headache outpatient clinic to explore the prevalence of RLs and its associated  
65 features in Japanese patients with migraine and to test our hypothesis that patients with  
66 migraine with aura are associated with the presence of RLs.

67

68 **Objective and Methods**

69 Between April 2014 and May 2016, 119 consecutive migraine patients (mean age  $39.8 \pm 13.0$   
70 years, 7 men and 112 women) were recruited from our headache outpatient clinic at the

71 Department of Neurology of Dokkyo Medical University Hospital. Seven patients were  
72 excluded from the study: six because of a loss in permeability during transcranial Doppler  
73 (TCD) evaluation of temporal bones and one due to insufficient information about migraine.  
74 Ultimately, 112 patients (mean age  $38.6 \pm 12.2$  years, 6 men and 106 women) were included in  
75 our study. MA and migraine without aura (MWOA) were diagnosed by headache specialists  
76 (RT, SS and KH) in accordance with the International Classification of Headache Disorders  
77 3rd edition (beta-version) (10). Clinical information, including smoking status and onset age  
78 of migraine; family history of migraine; sensitivity to light, sound or smell; and comorbid  
79 diseases such as hypertension, dyslipidemia and diabetes mellitus, was obtained by  
80 questioning the patients. The clinical characteristics and prevalences of RLs were compared  
81 between the MA and MWOA groups. The institutional review board of Dokkyo Medical  
82 University Hospital approved the study (IRB approved number: 25028). All of the patients  
83 provided their written informed consent to participate.

84

#### 85 *Diagnosis of right-to-left shunts*

86 RLs was assessed in all the patients by transcranial Doppler ultrasound (TCD; Pioneer  
87 TC8080 System; Nicolet Vascular, Tokyo, Japan) with intravenous injection of agitated saline  
88 with microbubbles by trained neurologists (AI, HT and AS). The M1 portion of the right  
89 middle cerebral artery (MCA) was depicted using a 2-MHz probe securely fixed by a  
90 headband through a gap in the temporal bone window. High-intensity transient signals  
91 (HITSs) were defined as strong transient signals (within 100 msec duration) that appeared in  
92 the same direction as the blood flow, with the intensity being at least 3-dB higher than the  
93 background reflecting the blood stream, in accordance with the criteria proposed by national  
94 consensus (11, 12). The sampling volume was set to within 8 to 10 mm, and the depth was set  
95 to between 50 and 55 mm.

96           At first, prior to the intravenous injection of contrast agent, simple observation was  
97 performed for 20 minutes. The HITS detection threshold volume was set to >6 dB. Then,  
98 10 ml of contrast agent (a mixture of 9 ml saline and 1 ml air) was injected intravenously  
99 during the Valsalva maneuver. If HITSs were detected within 10 seconds after Valsalva load  
100 release, PFO was diagnosed. Next, careful observation was made to detect HITS for 3  
101 minutes after Valsalva load release. If HITSs were detected within 3 minutes, additional  
102 observation was made for 3 minutes. Contrast agent was then injected intravenously without  
103 the Valsalva maneuver, and we continued observation for another 3 minutes. If HITSs were  
104 detected without Valsalva load, PFO or pulmonary AVM (pAVM) was diagnosed (13). Large  
105 shunts were defined as > 26 HITSs, and middle shunts were defined as 5-26 HITSs. The  
106 same procedure was repeated 3 times for all patients (Fig. 1).

107

#### 108 *Statistical analyses*

109 All data are described as proportions (%) and medians (range) or means ( $\pm$ standard deviation).  
110 The patients were classified into the MA or MWOA groups based on the presence of aura.  
111 Univariate analyses were conducted to compare the characteristics between the two groups.  
112 The chi-square test and Mann-Whitney U test were used to compare the characteristics  
113 between the MA and MWOA groups. All p-values were two-tailed, and p-values <0.05 were  
114 considered significant. All statistical analyses were performed using the IBM SPSS<sup>®</sup> software  
115 program for Mac, ver. 23 (Tokyo, Japan).

116

117 **Results**

118 *Detection of RLs*

119 A total of 112 subjects (106 women, median age, 39.0 years, range 14-74 years) underwent  
120 the TCD examination. On simple observation, prior to intravenous injection, HITSs were not  
121 detected in any patients. Next, during a 3-minute observation period after contrast agent  
122 injection with the Valsalva maneuver, HITSs were detected in 61 subjects (54.5%). With  
123 contrast agent administration at rest, HITSs were detected in 12 subjects (10.7%). Based on  
124 these results, a total of 49 subjects (43.8%) were diagnosed with PFO, and 12 subjects  
125 (10.7%) were diagnosed with PFO or pAVM (Fig. 1). The maximum numbers of HITSs per  
126 examination were as follows: 1-5 HITSs, 40 subjects; 5-26 HITSs, 7 subjects; > 26 HITSs, 14  
127 subjects.

128

129 *Comparison between MA and MWOA*

130 Among the 112 patients with migraine, 62 had MA and 50 had MWOA. The median ages of  
131 the MA and MWOA groups were 37.5 and 40.5 years old. The MA group was significantly  
132 younger than the MWOA group ( $p=0.013$ ). Similarly, the onset age was also younger in the  
133 MA patients than in the MWOA patients ( $p=0.013$ ). Among the total cohort, 74 subjects  
134 (66.1%) had a family history of migraine. Photophobia (75.9%), phonophobia (74.1%), and  
135 hypersensitivity to smell (56.3%) were commonly observed. Photophobia was more frequent  
136 in the MA group than in the MWOA group (86.2% vs. 64.0%,  $p=0.008$ ). However, there was  
137 no significant difference in the prevalence of phonophobia, hypersensitivity to smell, altered  
138 taste, or allodynia between the MA and MWOA groups (Table 1).

139 The prevalence of RLs was significantly higher in the MA group (62.9%) than in the  
140 MWOA group (44.0%) ( $p=0.046$ ) (Figure 2). In addition, a higher prevalence of PFO was  
141 observed in the MA group than in the MWOA group (54.8% vs. 30.0%,  $p=0.008$ ) (Table 2).

142 Concerning the shunt sizes, there were no differences in the prevalence of large, middle, and  
143 small shunts between MA and MWOA patients.

144

145 **Discussion**

146 In our study, we investigated the prevalence of RLs and its relationship with clinical  
147 background factors, including type of migraine. A main finding from our study is that a high  
148 prevalence of RLs (54.5%), especially in patients with aura (62.9%), was observed among  
149 Japanese patients with migraine, which is comparable to that reported in European studies (5,  
150 6). PFO is a well-known cause of paradoxical embolism (1), and the prevalence of PFO in  
151 healthy people ranges from 15% to 35% (2, 3, 14). Patients with migraine, particularly those  
152 with MA, have been reported to have an increased prevalence of PFO compared to  
153 individuals without migraine (6, 15, 16). A recent meta-analysis showed that PFO is  
154 associated with 2.5-fold total migraine and 3.4-fold MWA prevalence, but not with MWOA  
155 prevalence (17) In our study, we confirmed increased the prevalence of PFO in the MA group  
156 compared with the MWOA group (62.9% vs. 44.0%,  $p=0.046$ ), in line with the results of  
157 previous studies (15, 17).

158 Increased prevalence of photophobia in MA patients compared with MWOA patients  
159 was observed in our study, which is in agreement with the findings from a study of 5,758  
160 adult residents in Japan (18) that showed a photophobia rate of 43.9% and 17.9% in Japanese  
161 patients with MA and MWOA, respectively. The prevalence of RLs and PFO was  
162 significantly increased in the MA group compared with the MWOA group. However, there  
163 was no marked difference in the shunt sizes between the groups in our study. Schwerzmann et  
164 al. (19) reported increased rates of moderate or large shunts in the migraine group compared  
165 with control groups. Contrary to our report, Yang et al. (20) showed increased rates of total  
166 and large RLs but not small RLs in patients with MA compared with MWOA. As for  
167 intrapulmonary RLs, van Gent et al. (21) reported the increased prevalence of large shunts in  
168 MA patients compared with MWOA patients. These differences might be due to  
169 discrepancies in methodologies or populations.



170           Regarding the mechanism underlying the potential relationship between PFO and  
171 migraine, PFO may allow vasoactive chemicals, such as serotonin and endothelin, or embolic  
172 material to bypass the pulmonary filter and reach the cerebral circulation to induce a migraine  
173 attack (15, 22). Additionally, paradoxical air microemboli through the PFO may induce  
174 cerebral electrical activity, triggering migraine attacks (23).

175           The effect of PFO closure on migraine has also been controversial. In several studies,  
176 PFO closure has successfully reduced the intensity and severity of migraine attacks (24),  
177 while in a double-blind randomized study that included with migraine, PFO closure failed to  
178 reduce migraine intensity and severity (9). Further discussions are needed in this point.

179           Several limitations associated with the present study warrant mention. First, this study  
180 used a cross-sectional design, and healthy controls were not included. According to previous  
181 studies, 15%-35% of healthy Caucasian and African-descent subjects have PFO (2, 3). To our  
182 knowledge, there has been no clinical study evaluating the PFO prevalence in healthy  
183 Japanese subjects. One autopsy study showed the PFO prevalence to be 13.6% in 109  
184 Japanese adults (mean age 69 years) and even lower (7.0%) in those  $\leq 59$  years of age (25).  
185 Second, not all patients with migraine who were seen in our hospital underwent a TCD  
186 examination; therefore, selection bias might have affected the study's results. The  
187 male:female ratio is lower in our study (1:16) than in a population-based study of migraine  
188 patients in Japan (1:3.6) (26). Third, MA patients were younger and had earlier migraine  
189 onset MWOA patients. Although the prevalence of PFO decreases with age (14), given that  
190 the age gap between the MA and MWOA groups was only three years, we believe the  
191 influence of age difference had little impact on the RLs prevalence.

192

### 193 **Conclusions**

194 RLs were found in over half of a cohort of Japanese patients with migraine. Our study

195 suggests a possible link between RLs and MA in Japanese.

196

### 197 **Abbreviations**

198 RLs: Right to left shunts; MA: Migraine with aura; MWOA: Migraine without aura; PFO:

199 Patent foramen ovale; pAVM: pulmonary arteriovenous malformation; TCD: transcranial

200 Doppler; MCA: Middle cerebral artery; HITS: High-intensity transient signals; TTH: Tension

201 type headache

202

### 203 **Competing interests**

204 The authors declare that they have no competing interests.

205

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207 None.

208

### 209 **Authors' contributions**

210 AI contributed to the study concept and design; the diagnosis of the patients; and the

211 acquisition, analysis, and interpretation of the data and drafted the manuscript. KS and HT

212 contributed to the study concept and design; the diagnosis of the patients; the acquisition,

213 analysis, and interpretation of the data; and the manuscript revision. RT, AS, and SS

214 contributed to the study concept and design and data acquisition. KH contributed to the study

215 concept and design and supervised the study. All authors read and approved the final

216 manuscript.

217

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- 289

290 **Figure legends**

291 Figure 1: Flowchart for the diagnosis of right-to-left shunts. RLs: Right-to-left shunts; TCD:  
292 transcranial Doppler; HITS: High-intensity transient signals; PFO: Patent foramen ovale.

293

294 Figure 2: Prevalence of RLs in the MWOA and MWA groups. MA: Migraine with aura;  
295 MWOA: Migraine without aura; RLs: Right-to-left shunts. Chi-square test.