

1 **Comparison of hemodynamics and root configurations between remodeling and reimplantation methods**

2 **for valve-sparing aortic root replacement: A pulsatile flow study**

3

4

Abstract

Purpose: To compare the characteristics of reimplantation (RI) using grafts with sinuses and remodeling (RM) with/without external suture annuloplasty by using a pulsatile flow simulator.

Methods: Porcine aortic roots were obtained from an abattoir and six models of RM and RI with sinuses were prepared. External suture annuloplasty (ESA) was performed in the RM models to decrease the root diameter to 22 mm (RM-AP22) and 18 mm (RM-AP18). Valve models were tested at mean pulsatile flow and aortic pressure of 5.0 L/min and 120/80 (100) mmHg, respectively, at 70 beats/min. Forward-flow, regurgitation, leakage, backflow rates, valve-closing time, and mean and peak pressure gradient (p-PG) were evaluated. Root configurations were examined using micro-computed tomography (micro-CT).

Results: The backflow rate was larger in the RM models than in the RI models (RI: $8.56\pm 0.38\%$ vs. RM: $12.64\pm 0.79\%$; $p<0.01$). The RM-AP and RI models were comparable in terms of forward-flow, regurgitation, backflow rates, p-PG, and valve-closing time. Micro-CT analysis showed larger dilatation of the sinus of the Valsalva in the RM groups (Valsalva: RI, 26.55 ± 0.40 mm vs. RM-AP22, 31.22 ± 0.55 mm [$p<0.05$]; RM-AP18, 31.05 ± 0.85 mm [$p<0.05$]).

Conclusions: RM with ESA and RI with neo-sinuses provided comparable hemodynamics. ESA to RM reduced regurgitation.

22 **Introduction**

23 Aortic root remodeling (RM) and aortic valve reimplantation (RI), the two major procedures for valve-sparing
24 root replacement (VSRR), have evolved to show excellent clinical results. The favorable long-term durability of
25 VSRR without the need for life-long anticoagulation therapy has contributed to a better quality of life in young
26 patients. Nevertheless, the optimal procedure for VSRR remains controversial [1]. RM is considered
27 advantageous because of its physiological hemodynamics and reduced aortic valve systolic energy loss [
28 2]. On the other hand, RI is favored for its annulus stability and is chosen especially for patients with
29 annuloaortic ectasia or Marfan syndrome [3-5]. However, the use of the tube graft eliminates the mobility of the
30 sinuses of Valsalva in the RI technique, which leads to rapid and unphysiological valve behavior [6]. Recent
31 reports have shown that RI using a graft with sinuses provides better valve behavior due to preservation of the
32 distensibility of the neo-Valsalva sinus [7]. With regard to RM, concomitant annuloplasty procedures have been
33 reported to preserve the physiological hemodynamics of the valve and annulus stability [3, 8, 9]. However, the
34 valve behaviors in RM with annuloplasty and RI using grafts with sinuses have never been compared in detail.
35 Thus, the objective of this study was to evaluate and compare the hemodynamics and root configurations of the
36 two modern VSRR techniques in a pulsatile flow simulator to gain insights into the influence of VSRR
37 techniques in clinical practice.

38

39

40 **Methods**

41 *Preparation of valves*

42 We prepared six RM and RI models using porcine aortic valves. Fresh porcine hearts were obtained from a
43 local abattoir and stored frozen. The hearts were defrosted on the day of the experiment. The aortic root,
44 including the left ventricular outflow tract (LVOT), was excised. After visual inspection, porcine hearts with
45 undamaged tricuspid aortic valves were used. As a control model, the ascending aorta was cut down and sewn
46 into the remaining muscle of the LVOT by using 4-0 or 5-0 synthetic polypropylene sutures to connect the valve
47 models to the pulsatile flow simulator, as shown in Figure 1. Coronary ostias were ligated using 2-0 silk sutures.
48 Two models were then prepared for each RM and RI technique (n = 6 each).

49 The graft size is decided by the body surface area (BSA) and the normal estimated ventriculo-aortic junction
50 (VAJ) of the patient in clinical practice. As Capps et al.[10] mentioned the correlation between the VAJ and
51 BSA, the appropriate diameter of the VAJ is set to be around 20-22mm. The ratio between VAJ and sino-
52 tubular junction in the normal subject has been reported as 1:1.1 to 1:1.2 [11]. Therefore, 24mm tube graft with
53 the 20-22mm annuloplasty in the RM models and 24-26mm Valsalva graft with the RI seemed to be a decent
54 choice in this study. Considering the VAJ of the control group (23.88mm for the RM and 23.67mm for the RI),
55 to set the VAJ to 22mm seemed mild and the targeted VAJ of 20mm was decided to be appropriate in this
56 experimental study. Although the majority of the appropriate graft for the RI in clinical practice would be

57 26mm, considering the use of the porcine hearts (smaller BSA than humans) and the targeted VAJ of 20mm, we
58 chose the smaller graft of 24mm. To clarify the effect of the annuloplasty procedure, we set the diameter 4mm
59 apart in the RM groups with the same graft size.

60 For the RM group, a J-graft SHIELD NEO® (Japan Lifeline, Tokyo, Japan) 24-mm tube graft was used. We
61 left at least 5 mm of the aortic wall remnant to include the graft inside the root to secure the anastomosis. The
62 commissure height of the graft was not fixed to a certain value but was tailored to an appropriate commissure
63 height for each porcine model. RM was performed using continuous 5-0 synthetic polypropylene sutures with 3-
64 mm intervals for the native side and 5-mm intervals for the graft to create the bulge of the Valsalva. The RM
65 group underwent external suture annuloplasty (ESA) to decrease the diameter of the VAJ to 22 mm (RM-AP22)
66 or 18 mm (RM-AP18). ESA was performed with the method described by Schneider et al. [8] using expanded
67 polytetrafluoroethylene (e-PTFE: Gore-Tex CV-0; W. L. Gore, Flagstaff, Arizona). ESA was performed at the
68 level of the basal ring under intravascular visual guidance. Right/non-commissure suturing was not performed to
69 avoid the membranous septum interference. The suture was tied down after insertion of 22- or 18-mm Hegar
70 dilators (MA Corporation, Chiba, Japan, distributed by JP Creed Corporation, Tokyo, Japan) into the aortic
71 annulus.

72 For the RI model, considering the effects of the sinus of Valsalva on physiological valve motion [6, 7, 12, 13],
73 Dacron grafts with neo-sinuses were handmade by combining the horizontal and vertical creases of the grafts.

74 The direction of the groove at the collar and straight portion is horizontal whereas at the sinus of Valsalva is
75 vertical. The handmade neo-sinus Valsalva graft was crafted based on the proportion of the Gelweave™
76 Valsalva (Terumo Vascutek, Tokyo, Japan). A J-graft SHIELD NEO® with a 24-mm diameter was used for
77 this purpose. In reference to the product information and observation of the actual item, three rectangle grafts
78 were crafted for the recreation of the sinuses. The rectangle grafts were combined to leave about 10 vertical
79 creases in width and 24mm in length for each sinuses. This vertical groove area served as the neo-sinus of
80 Valsalva. The horizontal area was left on the proximal side of the graft as a collar with the length of three
81 creases (basal ring). First-row suturing was performed with pledgeted 2-0 braided polyester sutures in a
82 horizontal mattress fashion at the basal ring. A total of 6 stitches (3 below the commissure and 3 in the nadir)
83 were applied. Second-row suturing was performed with continuous 5-0 polypropylene sutures using the
84 standard method [14]. Commissures were fixed to the border of the Valsalva graft. Fibrin glue (Beri-plast® P;
85 CSL Behring, Marburg, Germany) was used to avoid leakage from the suture line in all models.

86

87 *Experimental procedure*

88 For the remodeling experiments, the RM models (n = 6) were initially prepared. After testing the
89 hydrodynamic performance of the RM model, ESA was applied to the RM model to prepare the RM-AP22

90 model. After testing the hydrodynamic performance of the RM-AP22 model, RM-AP18 models were
91 sequentially prepared and tested. The RI models were independently prepared (n = 6) and tested.

92

93 *Pulsatile flow study*

94 The influences of the VSRR techniques on valve behaviors were investigated using a pulsatile flow simulator
95 (Figure 2a and 2b). Using a porcine aortic valve before conducting any VSRR procedures (control model), the
96 mean pulsatile flow rate and aortic pressure were regulated to 5 L/min and 120/80 (100) mmHg, respectively.

97 The heart rate was set at 70 beats/min. Then, the RM, RM-AP22, RM-AP18, and RI models were tested.

98 Flow was measured using an ultrasonic flow sensor (ME-PXN ME19PXN325; Transonic, NY, USA). Left
99 ventricular and aortic pressures were measured using pressure transducers (UK-801; Baxter, CA, USA). The

100 mean forward-flow, regurgitation, leakage, backflow rates, mean pressure gradient (m-PG), and peak pressure

101 gradient (p-PG) were compared among the VSRR models. The mean forward-flow rate was measured as the

102 antegrade left ventricular flow rate toward the aortic valves (Figure 3a). Regurgitation and leakage rates were

103 determined by evaluating retrograde flows during and after closure of the aortic valves (Figure 3a). The

104 backflow rate was calculated based on the following formula: backflow rate (%) = ((Regurgitation + Leakage) /

105 Mean forward-flow rate) × 100. The pressure gradient (PG) of the aortic valve was calculated as the pressure

106 difference between the left ventricular and aortic pressure (Figure 3b). p-PG was the largest value, whereas m-

107 PG was defined as $\frac{1}{T} \int \Delta P dt$ (T = valve opening time). The valve-closing time was assessed using a high-speed
108 camera at a capture speed of 1000 fps (Keyence Co. Ltd., Osaka, Japan). After each pulsatile flow test, the
109 three-dimensional conduit morphology of each model was analyzed using micro-computed tomography (micro-
110 CT) (Yamato Scientific Co. Ltd., Tokyo, Japan) with a resolution of $91.5 \times 91.5 \times 91.5 \mu\text{m}^3$. An air pressure of
111 80 mmHg was applied to the lumen at the aortic side of the models to simulate the pressure conditions during
112 valve closure (Figure 4). The perimeters of the sinotubular junction (STJ), sinus of Valsalva (Valsalva), and
113 VAJ were measured, and the diameters were calculated.

114

115 *Statistical analysis*

116 The Shapiro–Wilk test was used to test the normality of continuous variables. Depending on whether the
117 distribution was normal, one way analysis of variance or Kruskal–Wallis test was used to compare the means of
118 the four groups. When there was a significant difference, Tukey HSD test or Dunn test was used to evaluate the
119 difference in the means of each group as a post hoc analysis. Data are expressed as mean \pm standard error. The
120 Statistical Package for Social Science (SPSS) version 28 (IBM Corp., Armonk, NY, USA) was used for the
121 analysis. Statistical significance was set at $p < 0.05$.

122

123 **Results**

124 *Hemodynamic parameters*

125 Comparisons among remodeling groups

126 Regurgitation, leakage, and backflow rates were lower in RM models with ESA (regurgitation: RM, 0.48 ± 0.04

127 L/min vs. RM-AP18, 0.31 ± 0.05 L/min [$p < 0.05$] and RM-AP22, 0.41 ± 0.07 L/min [$p = 0.50$]; leakage: RM,

128 0.28 ± 0.02 L/min vs. RM-AP18, 0.17 ± 0.02 L/min [$p < 0.01$] and RM-AP22, 0.23 ± 0.02 L/min [$p = 0.18$];

129 backflow: RM, $12.64\% \pm 0.79\%$ vs. RM-AP18, $8.54\% \pm 0.89\%$ [$p < 0.01$] and RM-AP22, $11.01\% \pm 0.43\%$ [$p =$

130 0.33]) (Figure 5a, 5b, and 5c). The forward-flow rate was also lower in RM models with ESAs (RM: 6.05 ± 0.08

131 L/min vs. RM-AP18: 5.53 ± 0.11 L/min [$p < 0.01$] and RM-AP22: 5.82 ± 0.09 L/min [$p = 0.30$]) (Figure 5d).

132 RM-AP18 showed a significantly greater PG than RM and RM-AP22 (p-PG: RM-AP18, 14.5 ± 1.3 mmHg vs.

133 RM, 5.1 ± 1.3 mmHg [$p < 0.01$] and RM-AP22, 9.0 ± 0.5 mmHg [$p < 0.01$]; m-PG: RM-AP18, 9.5 ± 1.2 mmHg

134 vs. RM, 3.2 ± 0.8 mmHg [$p < 0.01$] and RM-AP22, 5.4 ± 0.4 mmHg [$p < 0.01$]) (Figure 6).

135 Comparisons of RM and RI models

136 In comparison with the RI model, the regurgitation rate was larger in the RM model, and leakage rate was

137 significantly larger in the RM and RM-AP22 models (Figure 5a and 5b) (regurgitation: RI, 0.34 ± 0.02 L/min

138 vs. RM, 0.48 ± 0.04 L/min [$p < 0.05$]; leakage: RI, 0.14 ± 0.01 L/min vs. RM, 0.28 ± 0.02 L/min [$p < 0.01$] and

139 RM-AP22, 0.23 ± 0.01 L/min [$p < 0.01$]). The backflow rate of the RM model was the largest and differed

140 significantly from that of the RI model (backflow rate: RI, $8.56\% \pm 0.38\%$ vs. RM, $12.64\% \pm 0.79\%$ [$p < 0.01$])

141 (Figure 5c). ESA in the RM model reduced regurgitation and the backflow rate to levels comparable to those in
142 the RI model. The forward-flow rate in the RM model was larger than that in the RI model (RM, 6.05 ± 0.08
143 L/min vs. RI, 5.57 ± 0.08 L/min [$p < 0.01$]); the forward-flow rate in the RM-AP22 was numerically larger than
144 that in the RI but did not show a significant difference (RI, 5.57 ± 0.08 L/min vs. RM-AP22, 5.82 ± 0.09 L/min;
145 $p = 0.24$); and the forward-flow rate of the RI and RM-AP18 models were comparable (RM-AP18, 5.53 ± 0.11
146 L/min [$p = 0.99$]) (Figure 5d).

147 The RI and RM-AP22 models showed comparable p-PG and m-PG values. In comparison with the RI model,
148 the RM model showed a significantly lower p-PG value and the RM-AP18 model showed a significantly higher
149 m-PG value (p-PG: RI, 11.2 ± 0.6 mmHg vs. RM, 5.1 ± 1.3 mmHg [$p < 0.01$]; m-PG: RI, 6.2 ± 0.5 mmHg vs.
150 RM-AP18, 9.5 ± 1.2 mmHg [$p < 0.05$]) (Figure 6).

151 The comparisons of hemodynamic parameters between the two control groups were shown in Supplementary
152 Table 1.

153

154 *Valve motion: Leaflet-closing times*

155 No significant differences were observed in the leaflet closing time among the RM models with/without ESA
156 and the RI models (Figure 7).

157

158 ***Root configuration***

159 In the RM groups, the diameter of the VAJ in RM-AP18 was significantly smaller (VAJ diameter: RM, $23.55 \pm$
160 0.79 mm vs. RM-AP18, 18.60 ± 0.61 mm [$p < 0.01$]; Figure 8). No significant difference was observed in the
161 diameters of the Valsalva and STJ in the RM group. The diameter of the VAJ in the RM model was larger than
162 that in the RI model (VAJ: RM, 23.55 ± 0.79 mm vs. RI, 20.32 ± 0.86 mm [$p < 0.05$]; Figure 8). These data
163 implied lower annulus stability of the RM alone. The Valsalva diameter of the RI model was significantly
164 smaller than those of the RM models regardless of the addition of the ESA (Valsalva diameter: RI, 26.79 ± 0.39
165 mm vs. RM, 31.90 ± 0.77 mm [$p < 0.01$]; RM-AP22, 31.70 ± 0.53 mm [$p < 0.05$]; and RM-AP18, 31.42 ± 0.90
166 mm [$p < 0.05$]). The diameter of STJ in the RI model was also significantly smaller than those in the RM
167 models (STJ diameter: RI, 26.35 ± 0.46 mm vs. RM, 30.73 ± 0.60 mm [$p < 0.05$]; RM-AP22, 30.71 ± 0.86 mm
168 [$p < 0.05$]; and RM-AP18, 31.48 ± 0.72 mm [$p < 0.01$]) (Figure 8).

169 The comparisons of the root configuration between the two control groups were shown in Supplementary
170 Table 1.

171 In this study, the Valsalva/VAJ ratios were $135.82 \pm 0.03\%$ for RM, $149.48 \pm 0.37\%$ for RM-AP22, $170.13 \pm$
172 0.83% for RM-AP18, and $132.86 \pm 0.05\%$ for RI. The STJ/VAJ ratios were $130.85 \pm 0.03\%$ for RM, $144.50 \pm$
173 0.02% for RM-AP22, $169.70 \pm 0.08\%$ for RM-AP18, and $130.52 \pm 0.04\%$ for RI (Figure 9).

174

175

176 **Discussion**

177 This experimental study using a pulsatile flow simulator revealed that regurgitation, leakage, and backflow rates
178 in RM alone was larger than those in RI. The addition of ESA to RM was effective to control regurgitation and
179 backflow rate comparable to those in RI. The findings suggest that RM with ESA with an adequate diameter and
180 RI with neo-sinuses are comparable in terms of hemodynamics.

181 In this study, the hydrodynamic performances of the two modern VSRR techniques were quantitatively
182 compared using a pulsatile circulation system. RM with annuloplasty and RI with neo-sinuses are considered
183 similar in terms of structural features. Both techniques share the concept of reconstructing the sinus of Valsalva
184 and aortic annulus stabilization. Recreation of the sinuses results in nearly normal aortic root behavior [6, 12].
185 Annulus stability is considered mandatory for avoiding recurrent aortic regurgitation (AR) [15].

186 In our pulsatile flow study, the RM valve without ESA showed less regurgitation control and annulus stability
187 than the RI valve with sinuses. These findings are consistent with those reported by Maselli and Marom [16,
188 17]. Maselli reported effective height and coaptation height reduction with the RM technique in comparison
189 with RI in the same aortic root [16]. Marom also reported that an increased aortic annular dimension was
190 associated with effective height and coaptation height reduction [17]. Our micro-CT analysis revealed that the
191 VAJ dimension was larger for RM than for RI, which was assumed to be associated with effective height

192 reduction and the resultant increase in regurgitant flow in RM than in RI. Annulus instability was obvious for
193 the RM technique without any annuloplasty procedures.

194 Previous reports have indicated that the presence of the sinus of Valsalva decreases the stress acting on the
195 valve leaflets, provides an effective orifice area, reduces the PG, and induces physiological and smooth valve
196 motion [6, 12, 13, 18]. The ideal root configuration has been reported to correspond to a Valsalva/VAJ \times 100
197 ratio of approximately 140%–150% and STJ/VAJ \times 100 ratio of 110%–120% to remain within the physiological
198 range [19, 20]. In this study, RI showed the least expansion of the sinus of Valsalva, whereas RM-AP18 showed
199 excessive expansion of the sinus of Valsalva and STJ relative to the VAJ diameter. In combination with the PG
200 data, the findings suggested that ESA with a diameter of 18 mm to the 24-mm tube graft induced excessive
201 tapering towards the annulus. We were surprised to see that a straight tube graft expanded more than the
202 Valsalva graft with neo sinuses. The bulge of the Valsalva is created in the RM whereas RI mainly depends on
203 the graft itself. In addition, we assume that the preserved interleaflet triangles in the RM led to a larger Valsalva
204 diameter. The STJ/VAJ ratio increased beyond the ideal percentage for all VSRR models (ranging between
205 130.52%-169.70%). In addition, STJ expanded significantly in the RM models compared to the RI. Thus,
206 restriction of the STJ diameter may be required in addition to annular reduction especially in the RM groups
207 when choosing a tube graft to achieve an ideal STJ/VAJ ratio. However, the correlation between STJ/VAJ and

208 valve configuration is another issue to be discussed. The necessity of STJ restriction could not be affirmed
209 through our study alone.

210 Our study showed no differences in the valve-closing time. The presence of sinuses in all VSRR models may
211 have contributed to a similar valve motion between the RM and RI models. The valve-moving velocity could
212 have differed if the total cusp-moving distance changed after the annuloplasty procedure. However, because of
213 the limited visibility caused by the presence of VSRR grafts, the total cusp moving distance could not be
214 measured in this study.

215 Current clinical data suggest that careful patient selection and preservation of normal cusp geometry are
216 essential for the success of VSRR. Our study indicates that RM with annuloplasty and RI with neo-sinuses are
217 comparable in terms of hemodynamics, presenting no superiority over the other.

218 This study had several limitations. First, there are anatomical differences between the porcine and human aortic
219 roots, especially in the basal ring and VAJ. Muscle protrusion into the LVOT under the right coronary sinus is
220 not frequently observed in the human anatomy. These anatomical differences could have affected the
221 annuloplasty procedure and root structure. Second, we used a normal porcine aortic root without aortic annulus
222 dilation. In addition, configurations of the cusps (such as effective height) could not be evaluated due to poor
223 visibility through echocardiography. Thus, factors for durability and recurrent AR could not be evaluated. Third,
224 ligation of the coronary ostia may have affected the valve motion. Fourth, grafts with sinuses used in the RI

225 model were handcrafted because of limited availability. Thus, the geometries and dilation of the RI model could
226 differ from those using a commercially available product. Nevertheless, the experimental methodology
227 presented here would be useful to investigate the optimal VSRR.

228

229 In conclusion, our experiments quantitatively elucidated that RM alone was not sufficient to control regurgitant
230 flow in comparison with RI. The addition of ESA to RM contributed to the reduction of regurgitation. However,
231 an extensive reduction in diameter increased the transvalvular PG. The valve-closing time was comparable
232 between the RM and RI techniques. Micro-CT analysis revealed a larger dilation of the sinus of Valsalva in the
233 RM groups. RM with ESA with an adequate diameter and RI with neo-sinuses were considered comparable in
234 terms of hemodynamics.

235

236

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240

241 **Conflict of Interest Disclosure Statement**

242 The authors have no conflicts of interest to declare.

243

244

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- 296

297 **Figure Legends**

298 **Fig. 1** Preparation of the valves

299 The remodeling and reimplantation models were prepared using porcine aortic roots. A 24-mm Dacron graft
300 was used in both models. Grafts with sinuses were handcrafted in the reimplantation model. External suture
301 annuloplasty was used as a remodeling technique to reduce the annulus to 22 mm or 18 mm

302

303 **Fig. 2** Pulsatile flow simulator

304 a) Schematic of the pulsatile flow circuit

305 b) An overall view of the pulsatile flow circuit

306

307 **Fig. 3** Flow and pressure waveforms

308 a) Schematic of pulsatile flow waveforms. Forward-flow, regurgitation, and leakage were measured.

309 b) Pressure waveform. Diagonal lines represent the pressure gradient between the ventricle and aorta. *T =
310 valve opening time

311

312 **Fig. 4** Analysis of the three-dimensional morphological structure of the valves by using micro-CT

313

314 **Fig. 5** Comparison of hemodynamics

315 (a) Regurgitation flow, (b) leakage flow, (c) backflow, (d) forward flow

316 *P < 0.05; ** P < 0.01

317

318 **Fig. 6** Comparison of pressure gradients during valve opening

319 (a) Peak pressure gradient, (b) mean pressure gradient

320 *P < 0.05; **P < 0.01

321

322 **Fig. 7** Comparison of valve closing time

323 Valve closing time assessed with a high-speed camera

324 *P < 0.05; **P < 0.01

325

326 **Fig. 8** Comparison of aortic root configurations

327 The diameters were calculated from the cross-sectional perimeters of three areas

328 (a) VAJ diameter; (b) sinus of Valsalva diameter; (c) STJ diameter

329 *P < 0.05; **P < 0.01

330

331 **Fig. 9** Valsalva/VAJ and STJ/VAJ ratio

332 Each ratio was calculated from the data examined using micro-CT

333 (a) Valsalva/VAJ ratio; (b) STJ/VAJ ratio

334 *P < 0.05; **P < 0.01

335

336 **Supplementary Table 1** Comparisons of hemodynamic parameters and the root configuration between the two

337 control groups