

1 **Relationship of Prolactin Concentrations to Steady-state Plasma**
2 **Concentrations of Aripiprazole in Schizophrenia Patients**

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5 *Minami Tasaki, MD,* Norio Yasui-Furukori, MD, PhD,* † Kazuyoshi Kubo, MD, PhD,*

6 *† Saaya Yokoyama, MD,* Masataka Shinozaki, MD, PhD,* Norio Sugawara, MD,*

7 *PhD,* Yoshimasa Inoue,* Kazutaka Shimoda, MD, PhD**

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9 From the *Department of Psychiatry, Dokkyo Medical University School of Medicine,

10 Mibu, Tochigi, Japan; † Department of Neuropsychiatry, Hirosaki University Graduate

11 School of Medicine, Hirosaki, Aomori, Japan.

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13 Correspondence: Norio Yasui-Furukori, MD, PhD, Department of Psychiatry, Dokkyo

14 Medical University School of Medicine, Mibu, Tochigi 321-0293, Japan (Tel.: 81-282-

15 86-1111, Fax: 81-282-86-5187, e-mail: furukori@dokkyomed.ac.jp).

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Acknowledgments

18

None.

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20

Conflicts of interest and Source of Funding

21

Norio Yasui-Furukori was a speaker for Otsuka Pharmaceutical Co., Ltd.,

22

Mochida Pharmaceutical Co., Ltd., Dainippon-Sumitomo Pharmaceutical Co., and MSD

23

Co. Kazutaka Shimoda received research support from Novartis Pharma K.K., Dainippon

24

Sumitomo Pharma Co., Astellas Pharma Inc., Meiji Seika Pharma Co., Ltd., Eisai Co.,

25

Ltd., Pfizer Inc., Otsuka Pharmaceutical Co., Ltd., Daiichi Sankyo Co., and Takeda

26

Pharmaceutical Co., Ltd., and honoraria from Eisai Co., Ltd., Mitsubishi Tanabe Pharma

27

Corporation, Takeda Pharmaceutical Co., Ltd., Meiji Seika Pharma Co., Ltd., Janssen

28

Pharmaceutical K.K., Shionogi & Co., Ltd., Dainippon Sumitomo Pharma Co., Daiichi

29

Sankyo Co., and Pfizer Inc.

30

This study was funded by Grants-in-Aid for Scientific Research (KAKENHI) from the

31

Japan Society for the Promotion of Research JSPS, 15H04754 (Principal Investigator

32

Norio Yasui-Furukori). The funders had no role in the data collection, study design,

33

analysis, decision to publish, or preparation of the manuscript. The authors declare that

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they have no competing interests to report.

Abstract

BACKGROUND: Aripiprazole is regarded as the first-line antipsychotic medication. Long-term aripiprazole therapy can cause hypoprolactinemia, which may result from its activity as a dopamine agonist. However, there is little information on hypoprolactinemia and steady-state aripiprazole concentrations.

METHODS: The subjects included 66 male and 177 female patients diagnosed with schizophrenia who were treated with aripiprazole. The plasma concentrations of aripiprazole and dehydroaripiprazole and the plasma concentration of prolactin were measured using high-performance liquid chromatography and enzyme immunoassay, respectively. A prolactin concentration of <5 ng/mL was defined as hypoprolactinemia.

RESULTS: Fifty-two of the 66 male patients (79%) and 58 of the 177 female patients (33%) had hypoprolactinemia. There were significant inverse correlations between plasma prolactin levels and plasma concentrations of aripiprazole ($r_s = -0.447$, $p < 0.001$) and the active moiety (aripiprazole plus dehydroaripiprazole) ($r_s = -0.429$, $p < 0.001$) in males. In females, significant inverse correlations were also found between plasma prolactin levels and plasma concentrations of aripiprazole ($r_s = -0.273$, $p < 0.01$) and the active moiety ($r_s = -0.275$, $p < 0.01$).

CONCLUSIONS: These findings suggest that lower prolactin levels are, to some extent,

53 associated with higher plasma drug concentrations in male and female patients with
54 schizophrenia treated with aripiprazole.

55

56 **Key Words**

57 aripiprazole, dehydroaripiprazole, prolactin, schizophrenia

58

59 **Background**

60 Aripiprazole is a potent (high-affinity) partial dopamine D2 agonist, a serotonin
61 5-HT1A agonist, and a 5-HT2A antagonist. It acts as a functional antagonist at D2
62 receptors under hyperdopaminergic conditions but exhibits properties of functional
63 agonists under hypodopaminergic conditions.¹ Because of this unique pharmacological
64 profile, aripiprazole monotherapy has little effect on prolactin levels in patients with prior
65 antipsychotic exposure and might actually lower the levels.^{2,3} Several studies have
66 suggested that patients treated with aripiprazole have relatively lower prolactin
67 concentrations and that aripiprazole actually decreases prolactin levels.^{4,5} In addition,
68 plasma prolactin levels in the aripiprazole combination group were lower than those in
69 the non-aripiprazole combination group, regardless of the antipsychotic monopharmacy
70 or polypharmacy.⁶

71 Aripiprazole is metabolized by CYP2D6 and CYP3A4 to its pharmacologically
72 active metabolite, dehydroaripiprazole, which is considered equipotent to the parent
73 drug.⁷ CYP2D6 genotypes influence the plasma concentrations of aripiprazole.^{8,9,10} The
74 consensus on the therapeutic range for aripiprazole, therefore, consists of the sum of the
75 parent drug and the metabolite, which is the active moiety.¹¹ The plasma concentrations
76 of dehydroaripiprazole are lower than those of aripiprazole after a single oral dose and at
77 steady state.¹²

78 There have been few studies on the association between aripiprazole
79 pharmacokinetics and reduced prolactin levels. In a previous study, no significant
80 correlation between prolactin levels and the dose of aripiprazole was observed in 18
81 patients who received aripiprazole alone.¹³ However, the sample size in that study was
82 very small, which might have introduced a type II error. Therefore, we examined the
83 effect of steady-state concentrations of aripiprazole on prolactin levels in Japanese
84 patients with schizophrenia treated with aripiprazole monotherapy. In addition, we
85 determined the effect of the sum of the parent drug and the metabolite on prolactin levels.

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87 **Materials and Methods**

88 **SUBJECTS**

89 The subjects were 243 Japanese patients with schizophrenia (66 males and 177
90 females) who fulfilled the criteria for schizophrenia according to the Diagnostic and
91 Statistical Manual of Mental Disorders, fourth edition. The mean \pm SD (range) body
92 weight and duration of illness were 56.6 ± 11.6 (37–102) kg and 182 ± 138 (4–458)
93 months, respectively. The mean \pm SD ages were 37.3 ± 10.7 years for males and $39.9 \pm$
94 11.5 years for females. The study was approved by the Ethics Committee of Hirosaki
95 University Hospital and Dokkyo Medical Hospital, and written informed consent to
96 participate in this study was obtained from the patients.

97

98 **PROTOCOL**

99 The subjects received monotherapy with aripiprazole (Otsuka Pharma, Tokyo,
100 Japan) 1–30 mg/day for 4–122 weeks. The mean \pm SD aripiprazole doses were 11.9 ± 8.6
101 mg/day for males and 12.6 ± 8.4 mg/day for females. The elimination half-life of
102 aripiprazole is approximately 75 and 94 h.⁷ Steady-state serum levels are achieved within
103 14 days of dosing. Therefore, the plasma concentrations of aripiprazole had already
104 reached a steady state in all the subjects before initiating the study. The mean \pm SD daily
105 dose for aripiprazole was 10.6 ± 7.7 mg for males and 12.4 ± 8.4 mg for females. The
106 coadministered drugs were flunitrazepam 1–4 mg/day in 105 patients, diazepam 2–6

107 mg/day in 25 patients, lorazepam 1–3 mg/day in 12 patients, alprazolam 0.8–2.4 mg/day
108 in 25 patients, biperiden 4–6 mg/day in 24 patients, and sennoside 12–48 mg/day in 56
109 patients.

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111 **ASSAYS**

112 Plasma concentrations of aripiprazole and dehydroaripiprazole were measured
113 using the high-performance liquid chromatography (HPLC) method developed in our
114 laboratory.¹⁴ The lowest limits of detection for aripiprazole and dehydroaripiprazole were
115 1.0 ng/mL. The intra - and inter-day relative SDs were less than 7.5% and 7.1% for
116 aripiprazole and 9.2% and 4.5% for dehydroaripiprazole, respectively. Plasma prolactin
117 concentration was determined using an electrochemiluminescence immunoassay (Elecsys
118 Prolactin III, Roche Diagnostics, Tokyo, Japan). The lowest limit of detection was 0.4
119 ng/mL, and the interassay coefficients of variation (CVs) were 1.1%, 1.0%, and 1.3% at
120 concentrations of 8.4, 24.9, and 56.4 ng/mL of prolactin, respectively. Pooled sera of 7
121 different low concentrations were prepared, and measurements were performed 10 times.
122 A precision profile was prepared from the CV value of the measurement reproducibility,
123 and a concentration within CV = 20% was set as the quantitation limit.

124

125 **DATA ANALYSIS AND STATISTICS**

126 Data are expressed as means \pm SD. Comparisons of age, dose of aripiprazole,
127 plasma concentrations of aripiprazole and dehydroaripiprazole, active moiety, and
128 prolactin between males and females were performed using the Mann–Whitney *U* test
129 and chi-squared test. Comparisons of the plasma concentrations of aripiprazole, active
130 moiety, and prolactin level and the proportion of hypoprolactinemia were performed
131 using ANOVA and the chi-squared test. Correlations between drug concentrations and
132 prolactin concentrations were analyzed using the Spearman rank test because of the non-
133 normal distribution of the concentrations of prolactin. A hyperbolic curve fitting analysis
134 was also performed. All analyses were performed using the SPSS 25.0J software for
135 Windows (SPSS Japan, Tokyo, Japan).

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137 **Results**

138 The mean \pm SD prolactin levels were significantly different ($p < 0.001$) between
139 males (3.7 ± 3.2 ng/mL) and females (12.2 ± 14.9 ng/mL) (Table 1). Hyperprolactinemia
140 was defined as a plasma prolactin concentration >20 ng/mL, and hypoprolactinemia was
141 defined as a concentration <5 ng/mL.¹⁵ Hypoprolactinemia occurred in 52 of 66 male
142 patients (79%) and 58 of 177 female patients (33%). None of the male patients and 14 of

143 the 177 female patients (8%) had higher prolactin levels. The mean \pm SD plasma
144 concentrations of aripiprazole, dehydroaripiprazole, and active moiety were 196 ± 170 ,
145 67.4 ± 51.2 , and 263 ± 216 ng/mL in males and 160 ± 118 ng/mL, 60.1 ± 44.0 , and $220 \pm$
146 116 ng/mL in females, respectively (Table 1).

147 We classified the patients into three groups: 1) plasma concentration of
148 aripiprazole <100 ng/mL, 2) plasma concentration of aripiprazole between 100 and 200
149 ng/mL, and 3) plasma concentration of aripiprazole >200 ng/mL. This classification was
150 based on Gruender et al.,¹⁶ who demonstrated brain dopamine receptor saturation (90%
151 occupancy) at approximately 100 to 200 ng/mL of aripiprazole. There were significant
152 differences in prolactin concentrations and in the proportion of hypoprolactinemia among
153 the three groups in male and female patients (Table 2).

154 There were significant inverse correlations between plasma prolactin levels and
155 the concentrations of aripiprazole ($r_s = -0.447$, $p < 0.001$) or its active moiety (aripiprazole
156 plus dehydroaripiprazole) ($r_s = -0.429$, $p < 0.001$) in males (Fig. 1). In females, significant
157 inverse correlations were also found between plasma prolactin levels and the
158 concentrations of aripiprazole ($r_s = -0.273$, $p < 0.01$) and the active moiety ($r_s = -0.275$, p
159 < 0.01) (Fig. 1). A hyperbolic curve was found to significantly fit in males ($p < 0.001$),
160 but not in females ($p = 0.8$).

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Discussion

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The results of this study show, for the first time, an inverse association between prolactin and aripiprazole concentrations in men and women. However, the effect sizes in women were small. A high frequency of hypoprolactinemia was found in both men and women. The possibility that hypoprolactinemia merely represents therapeutic drug concentrations cannot be excluded. To confirm patient adherence, the measurement of prolactin concentration may alternatively be used. However, there is little information about the clinical relevance of hypoprolactinemia. In a study, it was shown that hypoprolactinemia is associated with metabolic syndrome and arteriogenic erectile dysfunction as well as with premature ejaculation and anxiety symptoms.¹⁵ Several cases with insufficient milk production after aripiprazole treatment have been reported, which may be a problem for breastfeeding mothers.^{17,18} Thus, it is possible that aripiprazole-induced hypoprolactinemia carries a potential health risk.

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CYP2D6 and CYP3A4 metabolize aripiprazole,⁷ and there are large interindividual variations in the activities of both these enzymes. Therefore, the steady-state plasma concentrations of aripiprazole and dehydroaripiprazole also have large interindividual variations.¹² Consequently, the plasma drug concentration might be a more suitable

179 variable to use than the drug dose when seeking to determine whether there is a correlation
180 with prolactin. The coadministration of a CYP3A4 inducer resulted in approximately 60%
181 lower mean C:D ratios of aripiprazole, dehydroaripiprazole, and the sum of aripiprazole
182 and dehydroaripiprazole. The combination with a CYP2D6 inhibitor resulted in a 45%
183 higher mean C:D ratio of aripiprazole ($p < 0.05$), with no effect on the C:D ratio of
184 dehydroaripiprazole.¹⁹

185 Gruender et al.¹⁶ used PET to investigate the pharmacology of aripiprazole and
186 dopamine receptor occupancy and demonstrated brain dopamine receptor saturation (90%
187 occupancy) at approximately 100 to 200 ng/mL for aripiprazole. Our data support a
188 similar situation. Maximal effects were likely attained at approximately 200 ng/mL
189 aripiprazole in females and at approximately 100 ng/mL aripiprazole in males, which
190 suggests a sex difference in brain dopamine receptor saturation.

191 In a previous study, we showed that 59.3% of male and 49.1% of female patients with
192 schizophrenia experienced sexual dysfunction. High rates of low sexual interest (37.3%),
193 erectile dysfunction (37.3%), and problems related to ejaculation (35.6%) were observed
194 in males, and amenorrhea (38.7%) and low sexual interest (25.7%) were observed in
195 females.²⁰ A recent review suggested that 30%–82% of schizophrenic subjects aged 18–
196 70 years reported sexual dysfunction. Erectile dysfunction was the most common sexual

197 dysfunction in men with schizophrenia (31%–95% of male schizophrenic patients), and
198 31%–100% of women with schizophrenia reported a loss of libido.²¹

199 This study had several notable limitations. First, this study had a cross-sectional
200 design. We did not determine the degree of hypoprolactinemia because we had no baseline
201 prolactin data before the start of treatment with aripiprazole. The possibility that some
202 patients would have had hypoprolactinemia without treatment with antipsychotics,
203 including aripiprazole, cannot be excluded. In addition, we did not perform pituitary
204 function tests or brain imaging. Finally, we did not evaluate the symptoms of sexual
205 dysfunction. Therefore, we could not determine the aripiprazole treatment-induced effects
206 of hypoprolactinemia on sexual dysfunction. There are no data suggesting that long-term
207 exposure to aripiprazole-induced hypoprolactinemia results in adverse effects in patients
208 with schizophrenia. In addition, approximately 30%–40% of healthy subjects complain
209 of some sexual dysfunction.²⁰ Further studies are needed to overcome these limitations.

210

211 **Conclusion**

212 The present findings suggest that lower prolactin levels are partially associated
213 with higher plasma drug concentrations in male and female patients with schizophrenia
214 treated with aripiprazole. Further studies are needed to confirm these findings and their

215 clinical relevance.

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Legend to the figure

281 **FIGURE 1.** Correlations between prolactin levels and plasma drug concentrations. Solid
282 circles indicate data for males, and open circles indicate data for females. The active
283 moiety is represented by the sum of the plasma concentrations of aripiprazole and
284 dehydroaripiprazole. Because of the non-normal distribution of prolactin levels,
285 Spearman rank tests (r_s) were used to determine the correlations.