

1 **Association between Serum GDF-15, Myostatin, and Sarcopenia in Cardiovascular**  
2 **Surgery Patients**

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21 **Author Contributions:** Writing, T.F., R.N. and T.N.; conceptualization, T.F. and T.N.;  
22 data curation, T.N.; formal analysis, R.N. and T.F.; resources, R.N., A.H., I. S., S.Y., T.A.,  
23 S.O., H.A., H.Y., M. S., and S.A.; supervision, H. F. and S. T.; funding acquisition, T.N.  
24 and T. F. All authors have read and agreed to the published version of the manuscript.

25  
26 **Funding:** This study was supported in part by JSPS KAKENHI Grant Number 19H03981  
27 and 22H03457 (to T.N.) and 20K11259 (to T.F.). The funding sources for this study had  
28 no roles in study design, data collection, analysis, or interpretation. The opinions  
29 expressed herein are those of the authors. There was no additional external funding  
30 received for this study.

31  
32 **Institutional Review Board Statement:** This study was conducted according to the  
33 Declaration of Helsinki and approved by the Ethics Committee of Dokkyo Medical  
34 University with approval number 27077 on 13 October 2015.

35  
36 **Acknowledgments:** We would like to thank all of the cardiovascular doctors at Dokkyo  
37 Heart Center and staff members for helping us with this study.

38  
39 **Conflicts of Interest:** The authors declare that no competing interests exist.

40  
41 **Keywords:** myostatin, growth differentiation factor-15, sarcopenia, biomarker

42 **Abstract**

43 **Background:** Myostatin is a negative regulator of skeletal muscle mass. On the other  
44 hand, growth differentiation factor (GDF)-15 is associated with lower muscle strength  
45 and muscle mass. We investigated the relationship between serum GDF-15, myostatin,  
46 and sarcopenia in patients receiving cardiovascular surgery through a ROC curve and a  
47 multivariate regression analysis.

48 **Methods:** Skeletal muscle mass index (SMI) by bioelectrical impedance analysis, hand-  
49 grip strength, knee extension strength, and walking speed were measured. Preoperative  
50 serum GDF-15 and myostatin levels were determined by ELISA. The sarcopenia index  
51 could be expressed as:  $-0.0042 \times [\text{myostatin}] + 0.0007 \times [\text{GDF-15}] + 0.0890 \times \text{age} +$   
52  $1.4030 \times \text{sex} - 0.2679 \times \text{body mass index (BMI)} - 2.1186$ . A ROC curve was plotted to  
53 identify the optimal cutoff level of the sarcopenia index to detect sarcopenia.

54 **Results:** 120 patients receiving cardiovascular surgery were included in the study. SMI,  
55 hand-grip strength, knee extension strength, and walking speed inversely correlated with  
56 GDF-15, but positively correlated with myostatin. In the multivariate stepwise regression  
57 analysis, SMI was a determinant of myostatin, and both GDF-15 and myostatin were  
58 determinants of SMI and muscle thickness, even after adjustment for age, sex, and BMI.  
59 A ROC curve showed that the sarcopenia index was a determinant of sarcopenia (cutoff  
60 value  $-1.0634$ , area under the curve  $0.901$ , sensitivity  $96.9\%$ , specificity  $70.9\%$ ).

61 **Conclusion:** GDF-15 and myostatin are associated with skeletal muscle volume in  
62 patients receiving cardiovascular surgery, but these associations are different. The

63 sarcopenia index calculated from GDF-15 and myostatin levels may be a biomarker of

64 sarcopenia.

65

66

67 **Introduction**

68           The progressive age-related loss of skeletal muscle mass, strength, and muscle  
69 function, termed sarcopenia, is a major threat to self-sufficiency and quality of life [1].  
70 Sarcopenia is also associated with frequent complications and increased mortality in  
71 patients with cardiovascular disease [2-4]. Sarcopenia is diagnosed by the decrease of  
72 skeletal muscle mass index (SMI) and decreased grip strength or walking speed. However,  
73 measuring SMI is difficult for the general internist because it requires special equipment  
74 for bioelectrical impedance testing or dual-energy X-ray absorptiometry.

75           Growth differentiation factor (GDF)-15 is an independent determinant of  
76 prognosis in healthy subjects [5, 6] and heart failure patients [7]. Myostatin, also called  
77 GDF-8, is a different member of the GDF family. Myostatin is a robust regulator of  
78 muscle development and postnatal growth [1], and maintains skeletal muscle mass and  
79 strength in patients with heart failure [8], obesity [9] and renal dysfunction [10]. A  
80 multivariate analysis showed that serum myostatin levels were independently associated  
81 with muscle wasting in heart failure patients [8]. The study also supported the role of  
82 myostatin on role in maintaining skeletal muscle mass and strength in heart failure.

83           In a study that evaluated the association between serum adiponectin and myostatin  
84 in obese patients and used body composition and metabolic indices to identify  
85 independent factors, serum adiponectin levels were associated with lower muscle strength  
86 and serum myostatin with higher appendicular lean mass [9]. In other research, non-  
87 dialysis-dependent renal disease patients were randomly assigned to either strength  
88 exercise or balance exercise in addition to endurance training. Regardless of age or  
89 comorbidities, plasma myostatin levels increased significantly in both groups, with a

90 significant difference in favor of the strength group [10]. The study also showed that  
91 plasma myostatin was significantly positively associated with muscle mass and physical  
92 activity before training. These recent reports indicate that myostatin is a positive regulator,  
93 in contrast to the conventional view of myostatin as a negative regulator of the  
94 maintenance of muscle function. Furthermore, there are reports that GDF-15 and  
95 myostatin may be biomarkers of skeletal muscle mass or sarcopenia [11].

96         Recently, a sarcopenia index calculated from five factors including adiponectin  
97 was reported to be highly accurate for the diagnosis of sarcopenia in patients with  
98 cardiovascular disease [12]. However, no study has examined a sarcopenia index  
99 including GDF-15 and myostatin. Thus, there is a need to explore a novel, simple  
100 diagnostic method of sarcopenia assessment that includes GDF-15 and myostatin in  
101 preoperative cardiovascular patients. The purpose of this study was to determine the  
102 relationship between GDF-15, myostatin and sarcopenia in patients receiving  
103 cardiovascular surgery through a ROC curve and a multivariate regression analysis.

104

## 105 **Methods**

### 106 *Patients*

107         One hundred twenty patients receiving cardiovascular surgery (72 men [60%]) at  
108 Dokkyo Medical Hospital from October 2015 to April 2018 were included in this study.  
109 The patient characteristics are summarized in Table 1. The Regional Ethics Committee  
110 of Dokkyo Medical University approved the study protocol (approval number: 27077),

111 which was conducted according to the Declaration of Helsinki. Each patient provided  
112 written consent.

113 Fasting blood samples were obtained in tubes containing sodium EDTA and in  
114 polystyrene tubes without an anticoagulant. Plasma was immediately separated by  
115 centrifugation at 3000 rpm at 4 °C for 10 min, and serum was collected by centrifugation  
116 at 1000 rpm at room temperature for 10 min. Brain natriuretic peptide (BNP), estimated  
117 glomerular filtration rate (eGFR), albumin (Alb), hemoglobin (Hb), high-density  
118 lipoprotein cholesterol (HDL-C), and triglycerides (TG) were measured before the  
119 operations. The biochemical data were analyzed using routine chemical methods in the  
120 Dokkyo Medical University Hospital clinical laboratory. Levels of the inflammatory  
121 marker high-sensitivity C-reactive protein (hsCRP) were measured by a latex-enhanced  
122 nephelometric immunoassay (N Latex CRP II, Dade Behring Ltd., Tokyo, Japan).

123 To measure GDF-15 and myostatin levels, blood samples were drawn into  
124 pyrogen-free tubes without EDTA on the morning of cardiovascular surgery. The serum  
125 was stored in aliquots at  $-80^{\circ}\text{C}$  for all enzyme-linked immunosorbent assays (ELISAs).

126

#### 127 *Enzyme-Linked Immunosorbent Assay (ELISA)*

128 Serum GDF-15 levels were measured by the Human GDF-15 Quantikine ELISA  
129 Kit (DGD150, R&D Systems, Inc., Minneapolis, MN, USA) as previously described [13].  
130 The detection threshold of GDF-15 was 2.0 pg/mL. The serum concentrations of  
131 myostatin were measured using the GDF-8/Myostatin Quantikine ELISA kit (DGDF80,

132 R&D Systems, Inc., Minneapolis, MN, USA), and the detection threshold was 2.25  
133 pg/mL.

134

#### 135 *Bioelectrical impedance analyzer (BIA) measurements*

136         Body composition was measured with a multi-frequency bioelectrical impedance  
137 analyzer (BIA; InBody S10 Biospace, Biospace Co. Ltd., Korea; Model JMW 140) while  
138 the patient was in a supine position within days before cardiovascular surgery, as  
139 previously described [13, 14]. Body fat volume, body fat percentage, skeletal muscle  
140 volume, and SMI were measured. Hand-grip strength for the right hand and knee  
141 extension strength for the right leg were measured twice, and the higher value was  
142 adopted. Walking speed was measured as the time needed to walk 4 m. The evaluation of  
143 sarcopenia was based on the Asian Working Group for Sarcopenia criteria (hand-grip <  
144 26 kgf or walking speed  $\leq$  0.8 m/s, and SMI < 7.0 kg/m<sup>2</sup> for men; hand-grip < 18 kgf or  
145 walking speed  $\leq$  0.8 m/s, and SMI < 5.7 kg/m<sup>2</sup> for women).

146

#### 147 *Muscle size*

148         Muscle thickness of thigh (MTH) was measured at the midpoint of the thigh length  
149 with a real-time linear electronic scanner using a 10 MHz scanning head and ultrasound  
150 probe (L4-12t-RS Probe, GE Healthcare, Tokyo, Japan) and ultrasound (LOGIQ e, GE  
151 Healthcare, Tokyo, Japan) within days before surgery, as previously described [13]. The  
152 perpendicular distance from the adipose tissue-muscle interface to the muscle-bone  
153 interface was considered to represent MTH, measured in the supine position. The

154 measurement was performed twice on the right thigh and the average of the two values  
155 was used in the analysis.

156

### 157 *Statistical analysis*

158 All data are presented as the mean  $\pm$  standard deviation, median and interquartile  
159 range, or proportion depending on their distributions. Data normality was evaluated using  
160 the Kolmogorov-Smirnov test. Patient characteristics and baseline data were compared  
161 between groups using an independent *t*-test or Mann-Whitney *U*-test. Associations  
162 between GDF-15 and myostatin and clinical data were evaluated with Spearman  
163 correlation coefficients. Multivariate stepwise regression analysis was used for  
164 determining independent predictors of GDF-15, myostatin, SMI, and muscle thickness;  
165 the independent variables were factors that had a significant correlation with GDF-15,  
166 myostatin, SMI, and muscle thickness, along with the adjustment factors. The sarcopenia  
167 index was calculated from logistic regression with the presence of sarcopenia as the  
168 dependent factor, and GDF-15, myostatin, age, sex, and BMI as independent factors. A  
169 receiver operating characteristic (ROC) curve was plotted to identify an optimal cutoff  
170 level of this sarcopenia index to detect sarcopenia. All statistical analyses were performed  
171 with SPSS version 28 for Windows (IBM Corp., New York, U.S.A.). A *p* value of  $< 0.05$   
172 was regarded as significant.

173

## 174 **Results**

175 The mean age was 72.0 [66.0-78.8] years, and the mean body mass index (BMI)

176 was  $23.9 \pm 4.0 \text{ kg/m}^2$ . Most of the patients had expected risk factors such as hypertension,  
177 diabetes, dyslipidemia and chronic kidney disease. Patients underwent coronary artery  
178 bypass grafting (CABG, n=29 [24%]), conventional surgical aortic valve replacement  
179 (SAVR, n=19), transcatheter aortic valve replacement (TAVR, n=10 [8%]), mitral valve  
180 plasty (MVP, n=13 [11%]), mitral valve replacement (MVR, n=4 [3%]), CABG  
181 combined with a valve procedure (AVR, MVP, or MVR, n=13 [11%]), SAVR with MVR  
182 or MVP (n=7 [6%]), aortic disease surgery (n=9 [8%]), or other procedures (n=16 [13%]).  
183 All patients received medical treatment including  $\beta$ -blockers (53%), calcium-channel  
184 blockers (40%), angiotensin receptor II blockers (ARB) / angiotensin converting enzyme  
185 inhibitors (ACEI) (57%), statins (49%), and anti-diabetic drugs (27%) (Table 1).

186 Table 2 shows a comparison of various indices between male and female patients.  
187 The mean age was lower in men than in women (68.5 [64.0-76.0] vs. 74.0 [69.0-80.0]  
188 years,  $p=0.005$ ). The mean body weight was higher in men than in women ( $65.9 \pm 13.2$  vs.  
189  $52.0 \pm 10.6 \text{ kg}$ ,  $p < 0.001$ ). The mean hand-grip strength ( $26.7 \pm 8.4$  vs.  $16.5 \pm 5.1 \text{ kgf}$ ,  
190  $p < 0.001$ ), walking speed ( $0.99 \pm 0.32$  vs.  $0.83 \pm 0.28 \text{ m/s}$ ,  $p=0.019$ ), knee extension  
191 ( $24.2 \pm 10.1$  vs.  $16.6 \pm 7.4 \text{ kgf}$ ,  $p < 0.001$ ), skeletal muscle mass ( $24.7 \pm 4.1$  vs.  $16.8 \pm 3.0 \text{ kg}$ ,  
192  $p < 0.001$ ) and SMI ( $7.15 \pm 1.21$  vs.  $5.43 \pm 0.92 \text{ kg/m}^2$ ,  $p < 0.001$ ) were higher in men than in  
193 women. The body fat percentage was lower in men than in women ( $27.4 \pm 7.5$  vs.  
194  $37.3 \pm 7.9\%$ ,  $p < 0.001$ ). The mean GDF-15 concentration was not significantly different  
195 between the sexes (1361 [948-3395] vs. 1188 [703-1763] pg/mL,  $p=0.092$ ), but the  
196 myostatin concentration was higher in men than in women ( $544 \pm 259$  vs.  $289 [247-389]$   
197 pg/mL,  $p < 0.001$ ).

198 Figure 1 and Figure 2 show the correlation coefficients and  $p$  values of serum

199 GDF-15 and myostatin with clinical data. GDF-15 was significantly negatively correlated  
200 with eGFR ( $r=-0.670$ ,  $p<0.001$ , Figure 1Ab), Alb ( $r=-0.542$ ,  $p<0.001$ , Figure 1Ac), Hb  
201 ( $r=-0.559$ ,  $p<0.001$ , Figure 1Ad), hand-grip strength ( $r=-0.291$ ,  $p=0.006$ , Figure 2Aa),  
202 walking speed ( $r=-0.286$ ,  $p=0.008$ , Figure 2Ab), SMI ( $r=-0.225$ ,  $p=0.035$ , Figure 2Ac),  
203 and MTH ( $r=-0.516$ ,  $p<0.001$ , Figure 2Ad).

204 On the other hand, myostatin was positively correlated with Alb ( $r=0.218$ ,  
205  $p=0.017$ , Figure 1Bc), Hb ( $r=0.277$ ,  $p=0.002$ , Figure 1Bd), hand-grip strength ( $r=0.396$ ,  
206  $p<0.001$ , Figure 2Ba), walking speed ( $r=0.334$ ,  $p=0.002$ , Figure 2Bb), SMI ( $r=0.511$ ,  
207  $p<0.001$ , Figure 2Bc), and MTH ( $r=0.310$ ,  $p=0.004$ , Figure 2Bd).

208 Multivariate stepwise regression analysis with serum GDF-15 levels as the  
209 dependent variable and clinical data (hsCRP, eGFR, Hb, Alb, and BNP) as independent  
210 variables was performed for all patients as shown in Table 3A. Multivariate regression  
211 analysis (Table 3A) showed that eGFR ( $\beta=-0.482$ ,  $p<0.001$ ), Hb ( $\beta=-0.302$ ,  $p<0.001$ ), and  
212 BNP ( $\beta=0.156$ ,  $p=0.027$ ) were independent variables to predict serum GDF-15 levels  
213 after adjusting for age, sex, and BMI. Multivariate stepwise regression analysis between  
214 myostatin and the clinical data (hand-grip strength, knee extension strength, walking  
215 speed, MTH, skeletal muscle mass, SMI and body fat percentage) was performed as  
216 shown in Table 3B. Multivariate regression analysis showed that SMI ( $\beta=0.457$ ,  $p=0.010$ )  
217 was an independent variable to predict myostatin after adjusting for age, sex, and BMI.

218 In contrast, multivariate stepwise regression analysis showed that GDF-15 ( $\beta=-$   
219  $0.227$ ,  $p=0.001$ ) and myostatin ( $\beta=0.341$ ,  $p<0.001$ ) were independent determinants of  
220 SMI (Table 3Ca), and GDF-15 ( $\beta=-0.270$ ,  $p=0.002$ ) and myostatin ( $\beta=0.234$ ,  $p=0.008$ )  
221 were independent determinants of MTH (Table 3Cb), even adjusting for age, sex, and

222 BMI.

223 Table 4 shows a comparison of various indicators of patients with and without  
224 sarcopenia overall. Compared to no sarcopenia, the mean age was significantly higher in  
225 those with sarcopenia (77.0 [72.8-80.0] vs. 68.0 [63.0-74.0] years,  $p<0.001$ ) and the mean  
226 BMI was lower ( $22.6\pm 3.7$  vs.  $25.8\pm 4.0$   $\text{kg/m}^2$ ,  $p<0.001$ ). Among patients with sarcopenia,  
227 the mean hand-grip strength ( $16.3\pm 5.1$  vs.  $26.8\pm 8.1$  kgf,  $p<0.001$ ), walking speed  
228 ( $0.73\pm 0.28$  vs.  $1.02$  [0.88-1.15] m/s,  $p<0.001$ ), knee extension strength ( $15.3\pm 6.2$  vs.  
229  $24.8\pm 9.3$  kgf,  $p<0.001$ ), MTH ( $1.74\pm 0.50$  vs.  $2.63\pm 0.62$  cm,  $p<0.001$ ), skeletal muscle  
230 mass ( $17.4\pm 3.5$  vs.  $23.8\pm 4.7$  kg,  $p<0.001$ ), and SMI ( $5.27\pm 0.85$  vs.  $7.16\pm 1.14$   $\text{kg/m}^2$ ,  
231  $p<0.001$ ) were significantly lower.

232 The mean serum GDF-15 concentration was significantly higher in patients with  
233 sarcopenia (1630 [1034-3946] vs. 948 [655-1444] pg/mL,  $p<0.001$ ), while the mean  
234 myostatin concentration was lower (316 [245-540] vs. 488 [359-702] pg/mL,  $p=0.008$ ).  
235 BNP (320.1 [172.7-770.4] vs. 92.0 [46.3-302.6] pg/mL,  $p<0.001$ ) was higher in patients  
236 with sarcopenia, but TG ( $89.8\pm 44.6$  vs.  $102.0$  [70.0-137.0] mg/dL,  $p=0.050$ ), eGFR  
237 ( $51.3\pm 26.9$  vs.  $62.3\pm 23.1$  mL/min/1.73m<sup>2</sup> ( $p=0.008$ ), Alb ( $3.6\pm 0.6$  vs.  $4.0\pm 0.5$  g/dL,  
238  $p=0.001$ ), and Hb ( $11.3\pm 1.6$  vs.  $13.0\pm 1.5$  g/dL,  $p<0.001$ ) were lower.

239 Fig. 3 shows ROC curves for biomarkers defining sarcopenia. Logistic regression  
240 analysis with the presence of sarcopenia as the dependent factor and GDF-15, age, sex,  
241 and BMI as independent factors showed that GDF-15, age, sex, and BMI were all  
242 significant to identify sarcopenia, and an expression for the sarcopenia index of  $0.0006 \times$   
243  $[\text{GDF-15}] + 0.0970 \times \text{age} + 1.7889 \times \text{sex} - 0.2522 \times \text{BMI} - 5.2761$  was obtained. The

244 ROC curve for the presence of sarcopenia using this sarcopenia index expression showed  
245 a cutoff value of -0.5129, area under the curve (AUC) 0.891, sensitivity 84.4%, and  
246 specificity 81.8%.

247 Logistic regression analysis with GDF-15, myostatin, age, sex, and BMI as  
248 independent factors, with the presence of sarcopenia as the dependent factor, showed that  
249 GDF-15, myostatin, age, sex, and BMI were all significant to predict sarcopenia, and the  
250 sarcopenia index could be expressed as:  $-0.0042 \times [\text{myostatin}] + 0.0007 \times [\text{GDF-15}] +$   
251  $0.0890 \times \text{age} + 1.4030 \times \text{sex} - 0.2679 \times \text{BMI} - 2.1186$ . The ROC curve for the presence  
252 of sarcopenia using this sarcopenia index showed a cutoff value of -1.0634, AUC 0.901,  
253 sensitivity 96.9%, and specificity 70.9%.

254

## 255 **Discussion**

256 In this study, SMI, hand-grip strength, knee extension strength, and walking speed  
257 were inversely correlated with GDF-15 concentration and positively correlated with  
258 myostatin concentration in patients receiving cardiovascular surgery. Serum albumin and  
259 hemoglobin were inversely correlated with GDF-15 and positively correlated with  
260 myostatin. Patients with sarcopenia had higher levels of GDF-15 and lower levels of  
261 myostatin. In multivariate regression analysis, SMI was a determinant of myostatin, and  
262 both GDF-15 and myostatin were determinants of SMI and MTH, even after adjustment  
263 for age, sex, and BMI. The sarcopenia index, which was calculated using GDF-15,  
264 myostatin, age, sex, and BMI, was a determinant of sarcopenia (AUC 0.901, sensitivity  
265 96.9%, specificity 70.9%).

266           Preoperative malnutrition is frequently observed in cardiac surgery patients and  
267 improvement of malnutrition should be considered prior to cardiac surgery [15, 16]. The  
268 results of this study showed that GDF-15 was inversely correlated with muscle mass,  
269 muscle function, and nutritional indices, while myostatin was positively correlated with  
270 these indices. The maintenance of normal muscle mass and function depends on the  
271 dynamic equilibrium between positive and negative regulators of skeletal muscle [17]. In  
272 a previous study, serum levels of GDF-15 were found to be elevated in patients with  
273 quadriceps atrophy following cardiac surgery [18]. Elevated GDF-15 expression was also  
274 shown in frail patients at the intensive care unit, in whom increased GDF-15 was  
275 associated with decreased expression of several muscle microRNAs involving skeletal  
276 muscle growth [19]. These results for GDF-15 are consistent with the findings of the  
277 present study.

278           Myostatin is a robust regulator of muscle development and postnatal growth, and  
279 its activity is controlled by many complex posttranslational events. It is not clear whether  
280 myostatin abundance or activity is affected by age and whether myostatin plays a causal  
281 role in sarcopenia [1]. Also, although myostatin, along with GDF-15, is considered a  
282 negative regulator of skeletal muscle [17], the present study suggested that myostatin,  
283 unlike GDF-15, may be a positive regulator of skeletal muscle maintenance in patients  
284 receiving cardiac surgery.

285           Skladany et al. [11] evaluated the association between myostatin and muscle mass,  
286 its association with inflammation, and the added value of myostatin to predict survival in  
287 hospitalized patients with advanced chronic liver disease. In male patients, myostatin was  
288 positively correlated with C-reactive protein (CRP), hand-grip strength, central forearm

289 muscle circumference, and transverse psoas muscle index; in female patients, myostatin  
290 was positively correlated with CRP and hand-grip strength. Mortality was higher in male  
291 patients with myostatin levels lower than 1600 pg/mL. The model for end-stage liver  
292 disease score (MELD) and myostatin cutoff were independent predictors of mortality in  
293 males, but not in females. Thus, in males with chronic liver disease, myostatin levels  
294 directly reflected muscle mass, and low levels independently predicted prognosis. In  
295 females, on the other hand, myostatin was not associated with muscle mass or prognosis.  
296 Nishikawa et al. [20] also examined myostatin and psoas muscle index (PMI) by CT in  
297 patients with liver cirrhosis. In multivariate analysis, older age and low PMI were  
298 significant determinants of poor survival, while high myostatin levels tended to be a  
299 significant determinant ( $p=0.0855$ ). PMI, albumin, and branched-chain amino acid to  
300 tyrosine ratio were inversely correlated with myostatin in both males and females. Thus,  
301 even in patients with chronic liver disease, the association of myostatin with sarcopenia  
302 and mortality remains unclear. Further studies on the association between myostatin and  
303 sarcopenia and even mortality in patients before cardiac surgery are needed.

304         In the present study, myostatin was low in patients with sarcopenia, and there are  
305 several reports on the mechanism of this phenomenon [9, 10, 21]. Myostatin suppresses  
306 muscle satellite cell differentiation, so when myostatin levels decrease after exercise, the  
307 decrease in myostatin promotes skeletal muscle anabolism. However, myostatin secretion  
308 also increases when the skeletal muscle mass is high, and increased myostatin acts to  
309 control muscle mass [9]. A strong positive correlation between muscle mass index and  
310 myostatin was also found in advanced stages of liver cirrhosis, which was consistent with  
311 the fact that as liver disease worsens, the secretion of other muscle regulators such as

312 testosterone [22] and insulin-like growth factor-1 (IGF-1) [23] decreases, and the role of  
313 myostatin as a muscle synthesis promoter becomes more important [21]. On the other  
314 hand, there are reports that myostatin and IGF-1 act as counter-regulatory molecules  
315 against muscle hypertrophy [10, 24]. *In vitro* experiments showed that myostatin  
316 expression is increased in cardiomyocytes when stretched, and that its expression was  
317 dependent on IGF-1 [25]. These findings suggested that training leads to muscle growth,  
318 in part by increasing IGF-1 levels, which in turn increases the "braking" function of  
319 myostatin. Hence, we examined the association between myostatin and IGF-1 and did not  
320 find a significant association ( $r=0.158$ ,  $p=0.185$ ), suggesting that myostatin may be a  
321 muscle synthesis promoter rather than an inhibitor. Furthermore, in patients with heart  
322 failure (HF), neurohumoral activation is accompanied by increased serum levels of  
323 inflammatory cytokines (tumor necrosis factor (TNF)  $\alpha$ , interleukin (IL)-1 $\beta$ , and IL-6)  
324 [26], and systemic markers of inflammatory cytokines contribute to skeletal muscle  
325 atrophy in HF patients [27, 28]. In the present study, myostatin was not significantly  
326 associated with TNF $\alpha$  ( $r=-0.092$ ,  $p=0.317$ ) or hsCRP in patients receiving cardiovascular  
327 surgery, suggesting that inflammatory cytokines are not involved in the mechanism of  
328 low myostatin levels.

329         Based on multivariate analysis, in the present study, skeletal muscle mass and  
330 muscle strength were determinants of myostatin in patients before cardiac surgery, and  
331 both GDF-15 and myostatin were determinants of muscle mass. In a previous study, GDF-  
332 15 was an independent determinant of all-cause mortality in healthy subjects [6]. GDF-  
333 15 was also a strong determinant of all-cause, cardiovascular, and non-cardiovascular  
334 mortality in community-dwelling older adults, adding incremental value to the traditional

335 risk factors amino-terminal pro-BNP (NT-proBNP) and CRP [5]. In a study exploring the  
336 prognostic utility of GDF-15 in heart failure patients, GDF-15 was still an independent  
337 determinant of mortality after correction for clinical data and established biomarkers of  
338 adverse prognosis, including NT-proBNP, renal dysfunction, anemia, and hyperuricemia  
339 [7]. The negative regulation of skeletal muscle maintenance by GDF-15 seen in this study  
340 may be involved in the prognostic utility of GDF-15. However, further studies are needed  
341 on GDF-15 and myostatin, including in HF patients.

342 In the present study, the sarcopenia index calculated from GDF-15 and myostatin  
343 was a strong regulator of sarcopenia in ROC curve analysis. Recently, some reports  
344 showed that myostatin was a biomarker to predict sarcopenia in patients [21, 29, 30]. In  
345 a previous study that performed ROC curve analysis for myostatin levels, the ( $\log_{10}$   
346 myostatin) / creatine phosphokinase ratio and albumin / myostatin ratio were found to  
347 have acceptable diagnostic accuracy in ruling out sarcopenia in all patients with liver  
348 cirrhosis [21]. However, the best diagnostic performance was demonstrated in patients  
349 with MELD scores not lower than 15 (AUC 0.829 and 0.801, respectively). Also,  
350 myostatin concentrations were low in patients with sarcopenia, and the ROC curve  
351 showed that myostatin was the only variable capable of identifying sarcopenia (cutoff  
352 value  $<2.5$  ng/mL, AUC 0.78, sensitivity 0.93, specificity 0.66) [29]. Furthermore, in  
353 patients after ST-elevation myocardial infarction, serum myostatin concentrations were  
354 positively correlated with muscle mass and muscle strength, and low myostatin was  
355 associated with in-hospital mortality, with a cutoff value of less than 2.20 ng/mL [30]. In  
356 that study, multivariate logistic regression showed that high myostatin was associated  
357 with lower in-hospital mortality when adjusted for beta blocker use (OR, 0.228; 95% CI,

358 0.054-0.974; p=0.046).

359 As for a sarcopenia index, a previous study showed that stepwise multivariate  
360 logistic regression analysis revealed that adiponectin, sialic acid, age, sex, and BMI were  
361 independent factors for sarcopenia detection in patients with cardiovascular disease [12].  
362 The sarcopenia index, which was derived from a diagnostic regression equation for  
363 sarcopenia detection that included the above five independent factors, showed high  
364 accuracy in ROC curve analysis (sensitivity 94.9%, specificity 69.9%). However, no  
365 study has examined whether a sarcopenia index calculated using indices including GDF-  
366 15 and myostatin predicts sarcopenia. The present study suggests that the sarcopenia  
367 index including GDF-15 and myostatin may be a novel and simple diagnostic method of  
368 sarcopenia assessment in patients before cardiovascular surgery.

369 The present study has several limitations. First, the study included a small number  
370 patients who underwent different types of cardiovascular surgery. Therefore, our findings  
371 may not necessarily be applicable to the general population of patients undergoing  
372 cardiovascular surgery. Secondly, considering that the blood tests were done on the day  
373 of surgery, anxiety and fasting might have effects on the results of GDF-15 and myostatin.  
374 Thus, further studies using a large number of patients and detailed analysis are required  
375 to clarify whether a sarcopenia index calculated using preoperative GDF-15 and  
376 myostatin levels can be a biomarker for sarcopenia.

377 In conclusion, GDF-15 and myostatin were associated with skeletal muscle mass  
378 in patients undergoing cardiovascular surgery, but the association differed between them.  
379 The sarcopenia index calculated using GDF-15 and myostatin may be a potential  
380 biomarker for sarcopenia.

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497 **Figure Legends**

498 **Figure 1. A.** Correlation between serum concentrations of GDF-15 and (a) TG, (b) eGFR,  
499 (c) Alb, and (d) Hb. **B.** Correlation between serum concentrations of myostatin and (a)  
500 TG, (b) eGFR, (c) Alb, and (d) Hb. \*,  $p<0.05$ , \*\*,  $p<0.01$ , \*\*\*,  $p<0.001$ .

501

502 **Figure 2.** Correlation between clinical data and serum concentration of GDF-15 and  
503 myostatin. **A.** Relationships between serum concentration of GDF-15, and (a) handgrip  
504 strength, (b) walking speed, (c) SMI, and (d) muscle thickness. **B.** Relationships between  
505 serum concentration of myostatin, and (a) handgrip strength, (b) walking speed, (c) SMI,  
506 and (d) muscle thickness. \*,  $p<0.05$ , \*\*,  $p<0.01$ , \*\*\*,  $p<0.001$ .

507

508 **Figure 3.** A ROC curve to identify the optimal sarcopenia index cutoff level for detecting  
509 sarcopenia in cardiac surgery patients. **A.** The sarcopenia index was calculated using  
510 GDF-15, age, sex, and BMI. **B.** The sarcopenia index was calculated using GDF-15,  
511 myostatin, age, sex, and BMI.

512