

[Click here to view linked References](#)

1
2
3 *Three types of AII neurons project to the rat spinal cord*

4
5 Hidechika Ozawa^{1*}, Tsuyoshi Yamaguchi^{2*}, Shinsuke Hamaguchi¹, Shigeki Yamaguchi¹, Shuichi Ueda²

6
7
8
9 ¹Department of Anesthesia and Pain Medicine, Dokkyo Medical University School of Medicine, Tochigi,
10 Japan

11
12 ²Department of Histology and Neurobiology, Dokkyo Medical University School of Medicine, Tochigi,
13 Japan

14
15
16
17 *These authors contributed equally to this work.

18
19
20 Correspondence to:

21 Shuichi Ueda, Department of Histology and Neurobiology, Dokkyo Medical University School of Medicine,
22 880 Kita-kobayashi, Mibu-machi, Shimotsuga-gun, Tochigi 321-0293, Japan

23
24 Phone: +81-282-87-2124

25
26 Fax: +81-282-86-1463

27
28 E-mail: shu-ueda@dokkyomed.ac.jp

29
30
31
32 Acknowledgments

33
34 This work was supported by Dokkyo Medical University. H.O. is the recipient of a Young
35 Investigator award (No.2015-21) from Dokkyo Medical University. We would like to thank Shukuko Nihei
36 for excellent technical assistance.
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

1
2
3 *ABSTRACT*

4 The A11 dopaminergic cell group is the only group among the A8–A16 dopaminergic cell groups
5 that includes neurons innervating the spinal cord, and a decrease in dopaminergic transmission at the spinal
6 cord is thought to contribute to the pathogenesis of restless legs syndrome. However, the mechanisms
7 regulating the neuronal activity of A11 dopaminergic neurons remain to be elucidated. Unraveling the
8 neuronal composition, distribution and connectivity of A11 neurons would provide insights into the
9 mechanisms regulating the spinal dopaminergic system. To address this, we performed
10 immunohistochemistry for calcium-binding proteins such as calbindin (Calb) and parvalbumin (PV), in
11 combination with the retrograde tracer Fluorogold (FG) injected into the spinal cord.
12
13
14
15
16

17 Immunohistochemistry for Calb, PV, or tyrosine hydroxylase (TH), a marker for dopaminergic
18 neurons, revealed that there were at least three types of neurons in the A11 region: neurons expressing Calb,
19 TH, or both TH and Calb, whereas there were no PV-immunoreactive (IR) cell bodies. Both Calb- and PV-
20 IR processes were found throughout the entire A11 region, extending in varied directions depending on the
21 level relative to bregma. We found retrogradely labeled FG-positive neurons expressing TH, Calb, or both
22 TH and Calb, as well as FG-positive neurons lacking both TH and Calb.
23
24
25

26 These findings indicate that the A11 region is composed of a variety of neurons that are distinct
27 in their neurochemical properties, and suggest that the diencephalospinal dopamine system may be
28 regulated by both Calb-IR and PV-R processes within the A11 region, as well as by Calb-IR processes
29 derived from the A11 region at the terminal region of the spinal cord.
30
31
32
33
34
35
36
37

38 **Keywords:** A11 region; heterogeneity; calbindin; tyrosine hydroxylase; restless legs syndrome
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

1
2
3 *INTRODUCTION*

4 Dopamine plays crucial roles in a variety of physiological functions such as reward, motor
5 function, motivation, goal-directed behavior, memory, learning and pain [1-3]. Dopaminergic neurons are
6 enriched in several brain regions designated as A8–A16 [1]. Among these, the A11 dopaminergic system is
7 the only dopaminergic system that gives rise to descending projections to the spinal cord [2, 4-5]. In addition
8 to these particular neural connections, the fact that dopaminergic receptors are present in the spinal cord,
9 and that dopamine receptor agonists alleviate the symptoms of restless legs syndrome (RLS), led to the
10 hypothesis that hypo-activity of A11 neurons is a contributing factor in the pathogenesis of RLS [3].
11 However, the mechanisms regulating or modulating the A11 dopaminergic system remain to be elucidated.

12
13
14
15
16
17
18 Regarding the regulation or modulation of activity of the A11 dopaminergic system, electron
19 microscopy revealed that dopaminergic neurons of the A11 region were innervated by inhibitory inputs of
20 gamma-aminobutyric acid (GABAergic) terminals [6]. However, it is unknown whether the terminals of
21 these GABAergic neurons are derived from neighboring neurons or from other brain entities outside the
22 A11 region, or whether excitatory inputs innervate the dopaminergic neurons of the A11 dopaminergic
23 system. Several recent lines of evidence have suggested functional heterogeneity of the A11 region, in
24 which distinct components of the A11 region carry out distinct physiological functions. For example,
25 Pappas et al. demonstrated that neurons in the caudal aspect of the A11 region have more responsibility for
26 dopamine release at the spinal cord than those in the rostral aspect [7]. Abdallah et al. demonstrated that
27 neurons in the rostral to middle aspect of the A11 region are more activated than those in the caudal part
28 during migraine [8]. However, it is unknown whether the A11 region has heterogeneity in its morphological
29 organization.
30
31
32
33
34
35

36 Many regions of the central nervous system have been found to display heterogeneity in neuronal
37 composition using immunohistochemistry for calcium-binding proteins as distinctive markers for many
38 neuronal populations [9]. These areas include the cortex, substantia nigra [10], ventral tegmental area [11],
39 thalamus [12], striatum, nucleus accumbens [13-14], spinal cord and dorsal root ganglion [15]. In the A11
40 region, it was reported that almost all of the dopaminergic neurons (93% of TH-positive neurons) express
41 calretinin and only a small population of dopaminergic neurons (9% of TH-positive neurons) express
42 calbindin (Calb) [9]. To investigate the organization and neuronal composition of the A11 region, with
43 emphasis on the distribution and connectivity of non-TH neurons, which may regulate or modulate the
44 dopaminergic neurons, we performed immunohistochemistry for TH, Calb and PV.
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

1
2
3 *MATERIALS AND METHODS*

4 *Animals*

5
6 Adult male Sprague–Dawley (SD) rats (Charles River, Tsukuba, Japan) were housed under
7 temperature- and humidity-controlled conditions on a 12-hour light/dark cycle with ad libitum access to
8 food and water. All experiments were performed in accordance with the National Institutes of Health Guide
9 for the Care and Use of Laboratory Animals and were approved by the Animal Research Committees of
10 Dokkyo Medical University School of Medicine. All efforts were made to minimize animal suffering and
11 the number of animals used in the study.
12
13
14

15
16
17 *Fluorogold retrograde tracer injections*

18 In five 8-week-old SD male rats, laminectomy was performed over the spinal cord region of
19 interest. Unilateral injections of Fluorogold (FG; Fluorochrome, Englewood, CO) were placed between the
20 L2 and L5 level of the spinal cord. FG (4%) was dissolved in 0.1 M sodium cacodylate and delivered using
21 an iontophoresis pump (Kation Scientific, Minneapolis, MN) for 20 minutes with 7-s on/off intervals and
22 positive pulses of 5 μ A through a 1.0-mm glass pipette with a tip diameter of 50 μ m. After the surgical
23 procedure, the animals were maintained for 30 days before perfusion, to allow time for the neuronal tracer
24 to be retrogradely transported.
25
26
27
28
29
30

31 *Sample preparation for anatomical analysis*

32 Four 8-week-old SD male rats were anesthetized with sodium pentobarbital (Kyoritsu Seiyaku,
33 Tokyo, Japan) and transcardially perfused with 0.9% saline, followed by a fixative containing 4%
34 paraformaldehyde in 0.1 M phosphate buffer (PB). Rat brains were removed and immersed in the fixative
35 solution at 4°C overnight. The solution was replaced with 30% sucrose solution, and brains were frozen in
36 dry ice powder. Sixteen-micrometer-thick coronal sections from rat brains were cut in a cryostat and
37 collected sequentially in six receptacles containing 4% paraformaldehyde in 0.1 M PB. Sections were then
38 put in a cryoprotectant solution containing 30% polyethylene glycol and 30% sucrose and stored at –20°C
39 until use.
40
41
42
43
44
45
46

47 *Immunohistochemistry*

48 Consecutive sections were rinsed several times with 0.1 M phosphate-buffered saline (PBS)
49 containing 0.3% Tween 20 (PBS-T). The free-floating sections were then put in a blocking solution
50 containing 4% bovine serum albumin in PBS-T. After incubation in the blocking solution for 1 h, sections
51 were put in a blocking solution with primary antibody directed against TH (1:500 dilution, Cat#AB152,
52 Millipore, Darmstadt, Germany), Calb (1:500 dilution, Cat#C9848, Sigma-Aldrich, St. Louis, MO), PV
53 (1:500 dilution, Cat#P3088, Sigma-Aldrich) or glutamate decarboxylase (GAD; 1:500, Cat#AB1511,
54 Millipore) at 4°C overnight. The specificity of these primary antibodies was confirmed by comparison of
55
56
57
58
59
60
61
62
63
64
65

1
2 the immunostaining patterns with those of previous reports (Supplementary Information). Sections were
3 then incubated for 2 h at room temperature in a 1:200 dilution of the fluorescence-conjugated secondary
4 antibody (Alexa Fluor 568, Cat#A11036 for TH or GAD; Alexa Fluor 488, Cat#A11001 for Calb or PV,
5 Invitrogen, Chicago, IL). After rinsing with PBS-T, sections were examined under a fluorescence
6 microscope.
7
8
9

10 11 12 *Data analysis*

13 The histological material was examined with an AX80 microscope (Olympus, Tokyo, Japan)
14 equipped with a DP-72 CCD camera (Olympus) and digital images were acquired using a BZ-X700
15 (Keyence, Tokyo, Japan) or BX-51 (Olympus) light microscope equipped with a DP-73 CCD camera
16 (Olympus). All digital images were processed with Adobe Photoshop CC (Adobe Systems Inc., San Jose,
17 CA). The nomenclature and boundaries of brain regions were determined using the Rat Brain Atlas [16].
18
19
20
21
22

23 *Cell quantification*

24 Acquired digital images were analyzed for cell quantification and cellular co-expression of TH,
25 calbindin, or FG. A neuron was considered positive if immunoreactivity was at least 5 μ m in diameter and
26 observed in the entire cell body. A neuron was considered a double- or triple-labeled cell if the
27 immunofluorescent pattern in one channel was identical to the other channel without changing focus.
28
29
30
31

32 *Length measurement of a long axis in TH-IR neurons*

33 A length of the long axis of a TH-IR cell within or outside the A11 region was measured using
34 the measuring tool in the application software Adobe Photoshop CC.
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

1
2
3 *RESULTS*

4 *Distribution of calbindin-immunoreactive cell bodies and processes within the A11 region*

5
6 To determine whether Calb-IR neurons were present in the A11 region, we performed double-
7 fluorescence immunohistochemistry for Calb and TH. The A11 region was readily identified on the basis
8 of the presence of large cell bodies of TH neurons (arrows in Fig. 1). These A11 TH-IR neurons were
9 distinguishable from small TH-IR neurons (arrowheads in Fig. 1c) belonging to other dopaminergic cell
10 groups. We measured the long axis of TH-IR neurons within the A11 region, as well as those outside the
11 A11 region. The average size of TH-IR neurons within the A11 region was $31.54 \pm 0.9 \mu\text{m}$ (mean \pm SEM,
12 $n = 78$), and the average size of those outside of the A11 region was $15.66 \pm 0.5 \mu\text{m}$ (mean \pm SEM, $n = 12$).
13 We also detected Calb-IR cell bodies throughout the entire rostro-caudal extent of the A11 region defined
14 by the presence of large TH-IR neurons. These Calb-IR neurons were not distributed homogeneously within
15 the A11 region and exhibited a gradient of distribution along the rostro-caudal axis (Fig. 1a'-1c').
16
17

18
19 At the rostral level (-3.24 mm from bregma), many intensely Calb-IR cell bodies were found
20 scattered within the A11 region, as well as in the thalamus, which is dorsal to the mammillothalamic tract
21 (mt), and the hypothalamus, which is ventral to the mt. At higher magnification (Fig. 2a-2a''), we found
22 Calb-IR neurons intermingled with TH-IR neurons. Neurons co-expressing both TH and Calb as well as
23 neurons expressing either TH (arrows) or Calb were detected (Fig. 3, Table). Among the cell bodies of these
24 TH- and Calb-expressing neurons, Calb-IR long processes were found extending in a horizontal direction
25 close to the cell bodies of TH-IR neurons (Fig. 2a'').
26
27

28
29 At the middle level (-4.16 mm from bregma), we found a large number of intensely Calb-IR cell
30 bodies within the A11 region. The density of Calb-IR cells was higher at this level than that at the rostral
31 level. Those Calb-IR cell bodies were intermingled with those of TH-IR cells, which were located in the
32 ventral aspect of the A11 region (Fig. 1b and 1b'). Similar to the rostral level, three types of cells, cells co-
33 expressing both TH and Calb (double arrows), cells expressing TH and lacking Calb (arrows), and cells
34 lacking TH and expressing Calb were observed at this level (Fig. 2b-2b'', Fig. 3, and Table). We also
35 observed more Calb-IR processes at this level than those at the rostral level, and these extended in various
36 directions close to the TH-IR cell bodies.
37
38

39
40 At the caudal level (-4.44 mm from bregma), many Calb-IR cell bodies were observed. The
41 density of the Calb-IR cell bodies was higher than that at the rostral level, and lower than that at the middle
42 of the A11 region. We occasionally detected neurons expressing both Calb and TH as well as neurons
43 expressing either TH or Calb (Fig. 2c-2c'',).
44
45

46
47
48
49
50
51
52
53 *Distribution of parvalbumin-immunoreactive cell bodies and processes within the A11 region*

54
55 Parvalbumin (PV) is another calcium-binding protein, mainly expressed in fast-firing
56 GABAergic neurons in many brain regions. To examine whether neurons expressing PV are present in the
57 A11 region, we performed fluorescent immunolabeling for PV as well as double-fluorescent
58
59
60
61
62
63
64
65

1
2 immunolabeling for GAD and TH. There were a large number of intensely GAD-IR processes in the rostral
3 and caudal aspects of the A11 region, and weaker GAD immunoreactivity was observed in the thalamus
4 (upper region in Fig. 3a') and the middle aspect of the A11 region (Fig. 3b'). In contrast to the Calb
5 immunoreactivity, PV-IR neuronal cell bodies were not detected, even though many PV-IR processes were
6 detected throughout the A11 region (Fig. 3). The length and direction of these PV-IR processes varied
7 depending on the distance from bregma.
8
9

10
11
12 At the rostral level (-3.24 mm from bregma), many PV-IR processes were observed among TH-
13 IR cell bodies (arrows in Fig. 3d-3d'). These PV-IR processes were visible in the coronal sections as short
14 processes extending in various directions, and appeared to be transverse sections of PV-IR processes
15 extending in the rostral-caudal direction.
16
17

18 At the middle level (-4.16 mm from bregma), many PV-IR processes were observed as we
19 observed at the rostral level (Fig. 3d'). In contrast to the PV-IR processes observed at the rostral level, we
20 observed many long processes extending in the ventral-dorsal direction.
21
22

23 At the caudal level (-4.44 mm from bregma), we also observed many processes within the A11
24 region. Similar to the middle level of the A11, these processes were long processes in the coronal sections,
25 extending in the ventral-dorsal direction (Fig. 3f').
26
27

28 29 *Retrograde tracer Fluorogold injected in the rat spinal cord* 30

31 We detected many retrogradely labeled FG-positive neurons within the A11 region of rats
32 maintained for 1 month after FG injection into the spinal cord. Several types of FG-positive neurons were
33 detected, including FG-positive neurons co-expressing both TH and Calb (double arrows in 5b-5bb'), and
34 those expressing TH but not expressing Calb (arrows in Fig. 5b-5bb'). There were also FG-positive non-
35 TH neurons expressing Calb (arrowheads in Fig. 5c-5cc') and lacking Calb (double arrowheads in Fig. 5c-
36 5cc') within the A11 region. In addition to these FG-positive cells, we detected a few TH neurons that were
37 not labeled by FG (open triangles in 5d-5dd').
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

DISCUSSION

In this study we demonstrated that the A11 region consists of a variety of neurons that are distinct in their neurochemical properties, using antibodies to TH and calcium-binding proteins. We observed that Calb-IR cell bodies of A11 neurons were intermingled with TH-IR cell bodies, and that Calb-IR cell bodies exhibited a characteristic distribution throughout the entire A11 region with processes extending among TH-IR neurons. In contrast to the profile of immunoreactivity for Calb, we did not detect any PV-IR cell bodies in this region even though we observed many PV-IR processes. Retrograde tracer experiments revealed that a variety of FG-positive neurons, including TH neurons either expressing or lacking Calb as well as non-TH neurons, projected to the rat spinal cord.

Neuronal heterogeneity within the A11 region

A growing body of evidence has been accumulating, showing that dopaminergic cell groups including A8–A10 consist of not only dopaminergic neurons but also a variety of neurons distinct in their neurochemical properties [11, 13-14, 17-26]. However, it is unclear whether the A11 region is similar to other dopaminergic cell groups in the heterogeneity of its neuronal composition. We demonstrated that there were subpopulations of TH-positive neurons; some co-expressed Calb and some did not express Calb. In addition to these TH-positive neurons, the A11 region also contained non-TH neurons expressing Calb and non-TH neurons lacking Calb. These results demonstrated that the A11 region is also heterogeneous in neuronal composition, similar to other dopaminergic cell groups.

Calbindin-immunoreactive cell bodies

It has long been known that there are two subpopulations of dopaminergic neurons in the midbrain with respect to expression of Calb (TH neurons expressing Calb and TH neurons lacking Calb), and that these subpopulations are distinct in their connectivity and physiological properties [10, 13-14, 27]. For instance, midbrain dopaminergic neurons expressing Calb preferentially project to the matrix of the striatum and are invulnerable to neurodegeneration [13-14]. Conversely, dopaminergic neurons lacking Calb preferentially project to the patches of the striatum and are vulnerable to neurodegeneration [13-14]. Our finding that some TH neurons express Calb may indicate that there are two subpopulations of TH neurons, distinct in their connectivity and/or physiological properties, within the A11 region.

In many brain regions, Calb-expressing neurons play a modulatory role. Calb-expressing neurons of the pedunculopontine nucleus include three types of neurons: glutamatergic, GABAergic, and cholinergic neurons. These neurons innervate the ventral tegmental area (VTA) and modulate the activity of dopaminergic neurons of the VTA [28-29]. In the medial thalamus such as the reuniens nucleus and ventromedial nucleus, half of the Calb-IR cells are co-localized with an excitatory neurotransmitter, aspartate or glutamate [30]. We demonstrated that Calb-IR cell bodies are present close to the TH-IR cell bodies, and many Calb-IR processes were extending among TH-IR cell bodies in the A11 region. Taken

1
2 together, our findings indicate that these Calb-IR neurons regulate activity of TH-IR neurons in the A11
3 region, although we were unable to exclude the possibility that these Calb-IR processes were derived from
4 outside of the A11 region.
5
6

7 8 9 *Parvalbumin-immunoreactive fibers*

10 Electron microscopy has revealed that A11 dopaminergic neurons, as well as other hypothalamic
11 dopaminergic neurons, are innervated by GABAergic terminals [6]. GABAergic neurons are classified into
12 several groups on the basis of their neurochemical properties and one subpopulation of GABAergic neurons
13 express PV [31]. However, the origin of these GABAergic neurons has not yet been identified. In the present
14 study, we observed intensely GAD-IR processes within the A11 region as well as in the hypothalamus, and
15 we only detected weakly PV-IR processes in A11, even though there were intensely PV-IR processes in the
16 surrounding area. These results indicate that A11 dopaminergic neurons are mainly regulated or modulated
17 by a PV-lacking subgroup of GABAergic neurons. In addition, we did not detect any PV-IR cell bodies
18 within the A11 region, in spite of several reports demonstrating that GABAergic neurons are present in this
19 region [8, 32-33]. Taken together, these results may indicate that non-PV-IR GABAergic neurons within or
20 outside the A11 region regulate the activity of dopaminergic neurons of the A11 region.
21
22
23
24
25
26
27
28

29 30 *Regional heterogeneity of the A11 region*

31 Several lines of evidence have suggested that the A11 region exhibits functional heterogeneity.
32 For instance, GABAergic neurons in the rostral to middle aspect of the A11 region are activated when facial
33 nociceptive stimulation is applied [8]. TH neurons of the caudal A11 region are more responsible for
34 dopamine release at the rat spinal cord than those of the rostral A11 region [7]. Our study revealed that
35 coronal sections from the rostral levels of the A11 region contained short PV-IR processes that varied in
36 direction, while the caudal levels of the A11 region contained long PV-IR processes in the perpendicular
37 direction, indicating that processes observed in the rostral aspects of A11 were extending in the rostro-
38 caudal direction and processes observed in the caudal aspects were extending in the ventral-dorsal direction.
39 These results indicate that the A11 region exhibits morphological heterogeneity along the rostral-caudal
40 axis. Part of the functional heterogeneity could be attributed to the differential morphological properties
41 between the rostral and caudal A11 region.
42
43
44
45
46
47
48

49 50 *Functional significance*

51 Hypoactivity of A11 dopaminergic neurons is considered to be a contributing factor of restless
52 legs syndrome. However, it is largely unknown how A11 dopaminergic neurons are regulated.
53 Several reports have suggested a mechanism that regulates A11 dopaminergic neurons. Electron
54 microscopy revealed that GABAergic terminals create a synapse on A11 dopaminergic neurons
55 (van den Pol, 1986) and Pappas et al. demonstrated that opioid administration increases the
56
57
58
59
60
61
62
63
64
65

1
2 amount of dopamine release in the spinal cord, suggesting that A11 dopaminergic activity is
3 activated via a ‘dis-inhibitory’ mechanism, which could be inhibition of GABAergic inputs
4 (Pappas et al., 2011). However, the origin of these GABAergic inputs to A11 dopaminergic
5 neurons remain to be shown. We demonstrated that the A11 region consists of not only
6 dopaminergic neurons, but also non-TH neurons surrounding A11 TH neurons.
7

8
9 Although further investigation is needed to determine the neurochemical nature of these non-TH
10 neurons, and whether these non-TH neurons electrophysiologically regulate neighboring TH
11 neurons, our results demonstrate the possibility that A11 dopaminergic neurons are locally
12 regulated.
13
14
15
16

17 18 *Projection to the spinal cord* 19

20 Dopaminergic (TH-positive) projections from the A11 region to the spinal cord have been
21 reported previously [2, 4-5, 33]. In these previous studies, it was also reported that there were projections
22 to the spinal cord derived from both non-TH and TH neurons of the A11 region. However, the
23 neurochemical nature of the non-TH neurons remains to be elucidated. Some reports demonstrated that
24 these non-TH neurons are GABAergic neurons by immunohistochemistry for GAD 65/67 [33], but Kosaka
25 et al. reported that it is hard to detect GABAergic neurons of the A11 region by immunohistochemistry for
26 GAD without colchicine treatment [32]. It is likely that only a small population of intensely GAD-positive
27 neurons projecting to the spinal cord were detected by immunohistochemistry for GAD. In the present study,
28 we demonstrated that several types of A11 neurons, including TH neurons, TH neurons co-expressing Calb,
29 non-TH neurons expressing Calb and non-TH neurons lacking Calb, all project to the rat spinal cord.
30 Although further investigations are needed to determine whether these non-TH neurons are excitatory or
31 inhibitory, our results indicate that the descending pain inhibitory system via dopaminergic neurons is
32 regulated by a variety of neurons with distinct neurochemical properties, both within the terminal region of
33 the A11 neurons at the spinal cord and locally within the A11 region.
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

Funding:

This study was funded by a Young Investigator Award (grant number 2015-21 for H.O.) from Dokkyo Medical University.

Conflicts of Interest:

The authors declare that they have no conflicts of interest.

Ethical approval:

All applicable international, national, and/or institutional guidelines for the care and use of animals were followed. All procedures performed in studies involving animals were in accordance with the ethical standards of the institution or practice at which the studies were conducted.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

REFERENCES

1. Björklund A, Dunnett SB (2007) Dopamine neuron systems in the brain: an update. *Trends Neurosci* 30 (5):194-202.
2. Skagerberg G, Lindvall O (1985) Organization of diencephalic dopamine neurons projecting to the spinal cord in the rat. *Brain Res* 342 (2):340-351.
3. Thorpe AJ, Clair A, Hochman S, Clemens S (2011) Possible sites of therapeutic action in restless legs syndrome: focus on dopamine and $\alpha\delta$ ligands. *Eur Neurol* 66 (1):18-29.
4. Charbit AR, Akerman S, Holland PR, Goadsby PJ (2009) Neurons of the dopaminergic/calcutonin gene-related peptide A11 cell group modulate neuronal firing in the trigeminocervical complex: an electrophysiological and immunohistochemical study. *J Neurosci* 29 (40):12532-12541.
5. Koblinger K, Füzesi T, Ejdrygiewicz J, Krajacic A, Bains JS, Whelan PJ (2014) Characterization of A11 neurons projecting to the spinal cord of mice. *PLoS One* 9 (10):e109636.
6. van den Pol AN (1986) Tyrosine hydroxylase immunoreactive neurons throughout the hypothalamus receive glutamate decarboxylase immunoreactive synapses: a double pre-embedding immunocytochemical study with particulate silver and HRP. *J Neurosci* 6 (3):877-891.
7. Pappas SS, Tiernan CT, Behrouz B, Jordan CL, Breedlove SM, Goudreau JL, et al (2010) Neonatal androgen-dependent sex differences in lumbar spinal cord dopamine concentrations and the number of A11 diencephalospinal dopamine neurons. *J Comp Neurol* 518 (13):2423-2436.
8. Abdallah K, Monconduit L, Artola A, Luccarini P, Dallel R (2015) GABAergic inhibition or dopamine denervation of the A11 hypothalamic nucleus induces trigeminal analgesia. *Pain* 156 (4):644-655.
9. Rogers JH (1992) Immunohistochemical markers in rat brain: colocalization of calretinin and calbindin-D28k with tyrosine hydroxylase. *Brain Res* 587 (2):203-210.
10. González-Hernández T, Rodríguez M (2000) Compartmental organization and chemical profile of dopaminergic and GABAergic neurons in the substantia nigra of the rat. *J Comp Neurol* 421 (1):107-135.
11. Olson VG, Nestler EJ (2007) Topographical organization of GABAergic neurons within the ventral tegmental area of the rat. *Synapse* 61 (2):87-95.
12. Arai R, Jacobowitz DM, Deura S (1994) Distribution of calretinin, calbindin-D28k, and parvalbumin in the rat thalamus. *Brain Res Bull* 33 (5):595-614.
13. Gerfen CR, Baimbridge KG, Thibault J (1987) The neostriatal mosaic: III. Biochemical and developmental dissociation of patch-matrix mesostriatal systems. *J Neurosci* 7 (12):3935-3944.
14. Gerfen CR, Herkenham M, Thibault J (1987) The neostriatal mosaic: II. Patch- and matrix-directed mesostriatal dopaminergic and non-dopaminergic systems. *J Neurosci* 7 (12):3915-3934.
15. Celio MR (1990) Calbindin D-28k and parvalbumin in the rat nervous system. *Neuroscience* 35 (2):375-475.

- 1
 - 2
 - 3
 - 4
 - 5
 - 6
 - 7
 - 8
 - 9
 - 10
 - 11
 - 12
 - 13
 - 14
 - 15
 - 16
 - 17
 - 18
 - 19
 - 20
 - 21
 - 22
 - 23
 - 24
 - 25
 - 26
 - 27
 - 28
 - 29
 - 30
 - 31
 - 32
 - 33
 - 34
 - 35
 - 36
 - 37
 - 38
 - 39
 - 40
 - 41
 - 42
 - 43
 - 44
 - 45
 - 46
 - 47
 - 48
 - 49
 - 50
 - 51
 - 52
 - 53
 - 54
 - 55
 - 56
 - 57
 - 58
 - 59
 - 60
 - 61
 - 62
 - 63
 - 64
 - 65
16. Paxinos G, Watson C (2007) The rat brain in stereotaxic coordinates. Academic Press/Elsevier, Boston.
 17. Carr DB, Sesack SR (2000) GABA-containing neurons in the rat ventral tegmental area project to the prefrontal cortex. *Synapse* 38 (2):114-123.
 18. Gorelova N, Mulholland PJ, Chandler LJ, Seamans JK (2012) The glutamatergic component of the mesocortical pathway emanating from different subregions of the ventral midbrain. *Cereb Cortex* 22 (2):327-336.
 19. Kawano M, Kawasaki A, Sakata-Haga H, Fukui Y, Kawano H, Nogami H et al (2006) Particular subpopulations of midbrain and hypothalamic dopamine neurons express vesicular glutamate transporter 2 in the rat brain. *J Comp Neurol* 498 (5):581-592.
 20. Li X, Qi J, Yamaguchi T, Wang HL, Morales M (2013) Heterogeneous composition of dopamine neurons of the rat A10 region: molecular evidence for diverse signaling properties. *Brain Struct Funct* 218 (5):1159-1176.
 21. Nair-Roberts RG, Chatelain-Badie SD, Benson E, White-Cooper H, Bolam JP, Ungless MA (2008) Stereological estimates of dopaminergic, GABAergic and glutamatergic neurons in the ventral tegmental area, substantia nigra and retrorubral field in the rat. *Neuroscience* 152 (4):1024-1031.
 22. Tsumori T, Qin Y, Yokota S, Niu JG, Yasui Y (2010) Central amygdaloid axon terminals are in contact with retrorubral field neurons that project to the parvicellular reticular formation of the medulla oblongata in the rat. *Brain Res* 1306:18-28.
 23. Yamaguchi T, Sheen W, Morales M (2007) Glutamatergic neurons are present in the rat ventral tegmental area. *Eur J Neurosci* 25 (1):106-118.
 24. Yamaguchi T, Wang HL, Li X, Ng TH, Morales M (2011) Mesocorticolimbic glutamatergic pathway. *J Neurosci*; 31 (23):8476-8490.
 25. Yamaguchi T, Wang HL, Morales M (2013) Glutamate neurons in the substantia nigra compacta and retrorubral field. *Eur J Neurosci* 38 (11):3602-3610.
 26. Yamaguchi T, Qi J, Wang HL, Zhang S, Morales M (2015) Glutamatergic and dopaminergic neurons in the mouse ventral tegmental area. *Eur J Neurosci* 41 (6):760-772.
 27. Neuhoff H, Neu A, Liss B, Roeper J (2002) I(h) channels contribute to the different functional properties of identified dopaminergic subpopulations in the midbrain. *J Neurosci* 22 (4):1290-1302.
 28. Wang HL, Morales M (2009) Pedunculo-pontine and laterodorsal tegmental nuclei contain distinct populations of cholinergic, glutamatergic and GABAergic neurons in the rat. *Eur J Neurosci* 29 (2):340-358.
 29. Martinez-Gonzalez C, Wang HL, Micklem BR, Bolam JP, Mena-Segovia J (2012) Subpopulations of cholinergic, GABAergic and glutamatergic neurons in the pedunculo-pontine nucleus contain calcium-binding proteins and are heterogeneously distributed. *Eur J Neurosci* 35 (5):723-734.
 30. Frassoni C, Spreafico R, Bentivoglio M (1997) Glutamate, aspartate and co-localization with

1
2 calbindin in the medial thalamus. An immunohistochemical study in the rat. *Exp Brain Res* 115
3 (1):95-104.

- 4
5
6 31. Hu H, Gan J, Jonas P (2014) Interneurons. Fast-spiking, parvalbumin⁺ GABAergic interneurons:
7 from cellular design to microcircuit function. *Science* 345 (6196):1255-1263.
8
9 32. Kosaka T, Kosaka K, Hataguchi Y, Nagatsu I, Wu JY, Ottersen OP et al (1987) Catecholaminergic
10 neurons containing GABA-like and/or glutamic acid decarboxylase-like immunoreactivities in
11 various brain regions of the rat. *Exp Brain Res* 66 (1):191-210.
12
13 33. Moriizumi T, Hattori T (1992) Anatomical and functional compartmentalization of the
14 subparafascicular thalamic nucleus in the rat. *Exp Brain Res* 90 (1):175-179.
15
16 34. Pappas SS, Kennedy T, Goudreau JL, Lookingland KJ (2011) Opioid-mediated regulation of A11
17 diencephalospinal dopamine neurons: pharmacological evidence of activation by morphine.
18 *Neuropharmacol* 61 (4):614-621.
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

1
2
3
FIGURE CAPTIONS

4 **Fig. 1** Calbindin-immunoreactive (Calb-IR) cells were present throughout the entire A11 region with a
5 gradient of distribution.
6

7 Sixteen-micrometer-thick rat brain coronal sections were processed for double immunofluorescent labeling
8 for TH and Calb. Photomicrographs were taken under a fluorescence microscope for TH (red in a–c) and
9 Calb (green in a'–c') at three levels (rostral, –3.16 mm; middle, –4.16 mm; caudal, –4.52 mm from bregma).
10 Note that Calb-IR cells are intermingled with TH-IR cells throughout the entire A11 region, which is
11 defined by the presence of large A11 TH neurons (arrows) distinguishable from small non-A11 TH neurons
12 (arrowheads in c). Calb-IR neurons exhibit a gradient of distribution with a higher density at the middle of
13 the A11 region (b'). 3V, third ventricle; fr, fornix retroflexus; mt, mammillothalamic tract. Scale bar in c' =
14 0.1 mm
15
16
17
18
19
20

21 **Fig. 2** Three types of neurons with distinct neurochemical properties are present in the A11 region. Rat
22 brain coronal sections, 16- μ m-thick, were processed for double immunofluorescent labeling of TH and
23 Calb. Lower magnification images were taken under a fluorescent microscope at three different levels
24 from bregma (top panel; rostral, –3.16 mm; middle, –4.16 mm; caudal, –4.52 mm from bregma).
25 Delimited areas in lower magnification pictures are shown at higher magnification under a fluorescence
26 microscope, revealing TH (red in a–c) and Calb (green in a'–c') expression at the three levels. Merged
27 pictures at each bregma level are also shown in a''–c''. Note that the three cell types are intermingled in
28 the A11 region; neurons co-expressing both TH and Calb (double arrows), neurons expressing TH, but not
29 Calb (arrows), and neurons expressing Calb but not TH. Calb-IR processes extend among TH-IR cells.
30 Calb-IR processes are also found close to TH-IR cells. 3V, third ventricle; A11, A11 region; fr, fornix
31 retroflexus; mt, mammillothalamic tract. Scale bar in c' = 0.1 mm
32
33
34
35
36
37
38
39

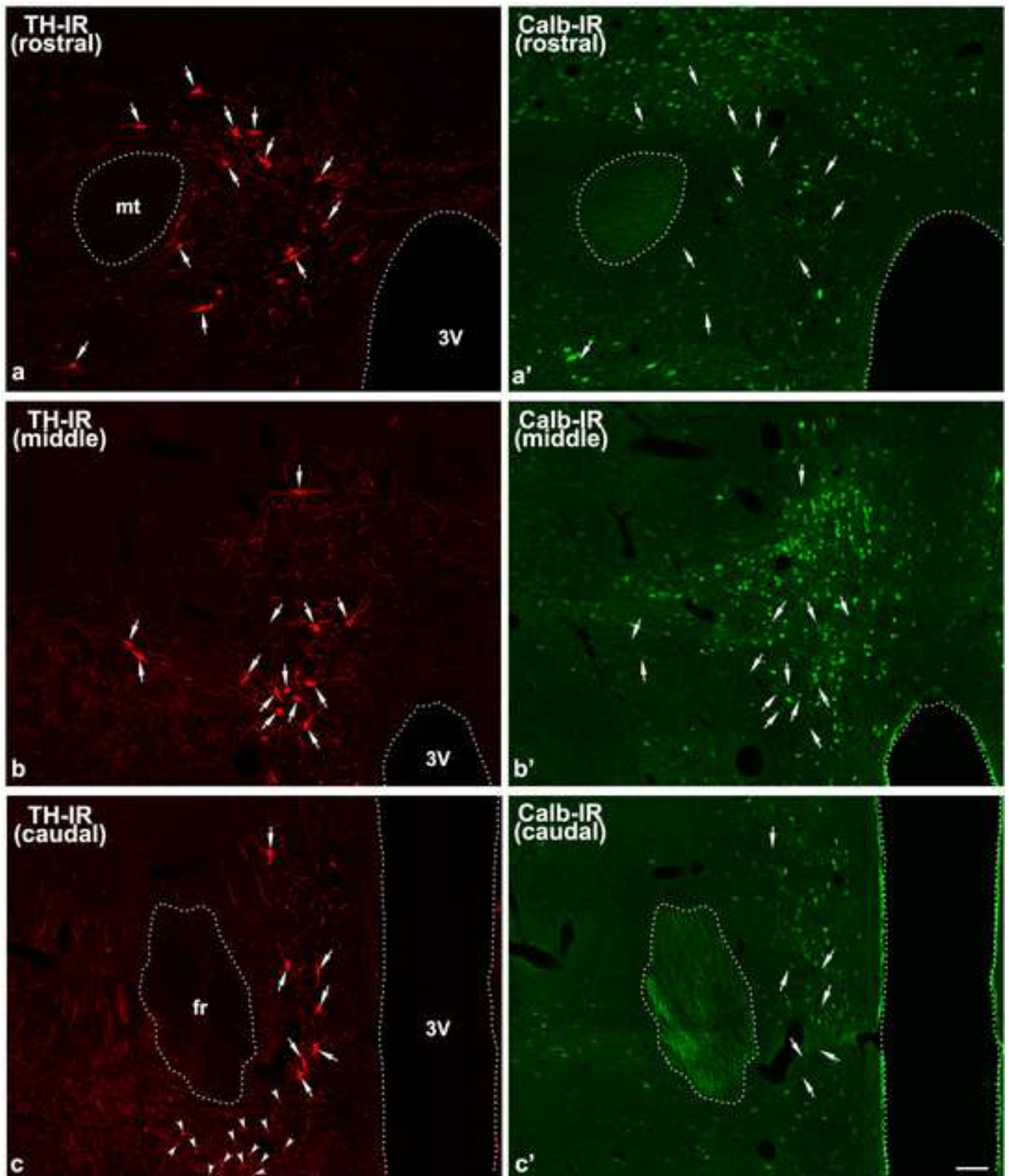
40 **Fig. 3 Schematic diagram of three neuron types observed in the A11 region.**

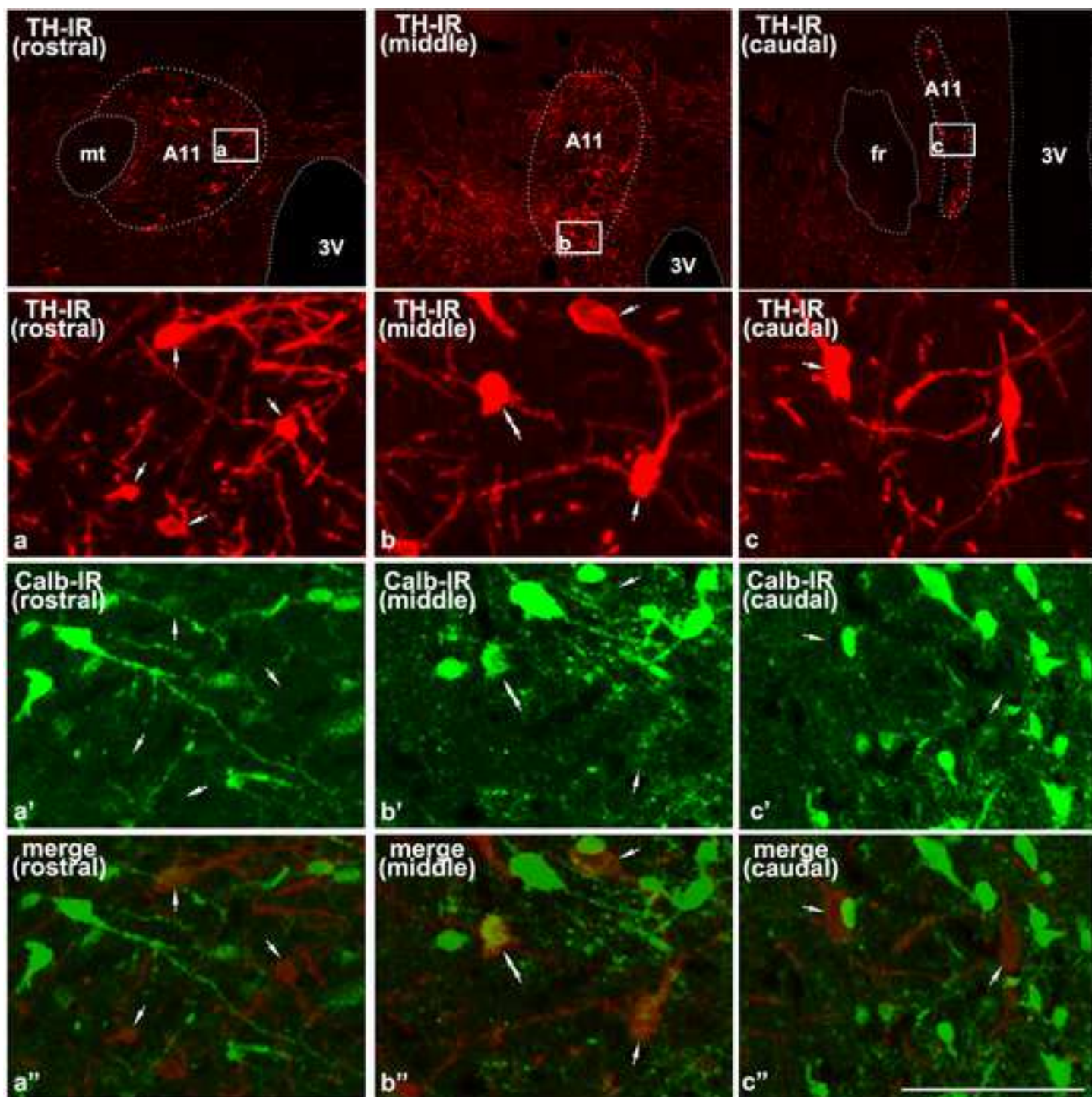
41 Green, red, and blue dots represent Calb-, TH-, and Calb-/TH-double immunoreactive cell bodies,
42 respectively. The average numbers of Calb-IR cell bodies observed at the three levels are shown in the left
43 column, and the average numbers of TH- and TH-/Calb-double immunoreactive cell bodies are shown in
44 the right column. 3V, third ventricle; fr, fornix retroflexus. rostral A11 region, –3.16 mm; middle, –4.16
45 mm; caudal, –4.52 mm from bregma.
46
47
48
49
50

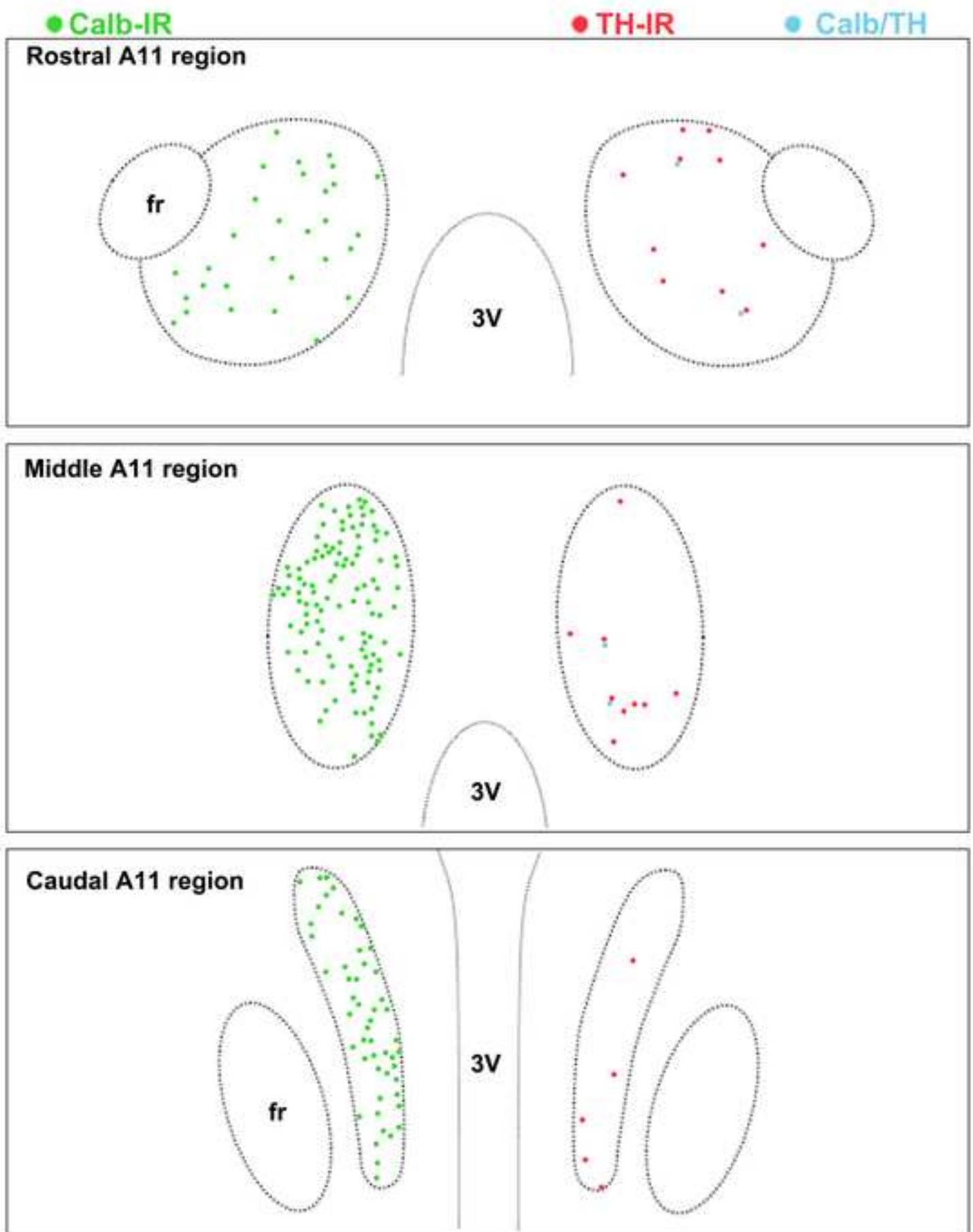
51 **Fig. 4** Parvalbumin-immunoreactive (PV-IR) processes were observed in the A11 region, but there were no
52 PV-IR cell bodies. Sixteen-micrometer-thick rat brain coronal sections were processed for double
53 immunofluorescent labeling for TH and GAD. Consecutive sections were processed for PV
54 immunolabeling. Low magnification pictures taken under a fluorescence microscope show TH
55 immunoreactivity (red in a–c) and GAD immunoreactivity (green in a'–c'') at three levels (rostral, –3.16
56 mm; middle, –4.16 mm; caudal, –4.52 mm from bregma).
57
58
59
60
61
62
63
64
65

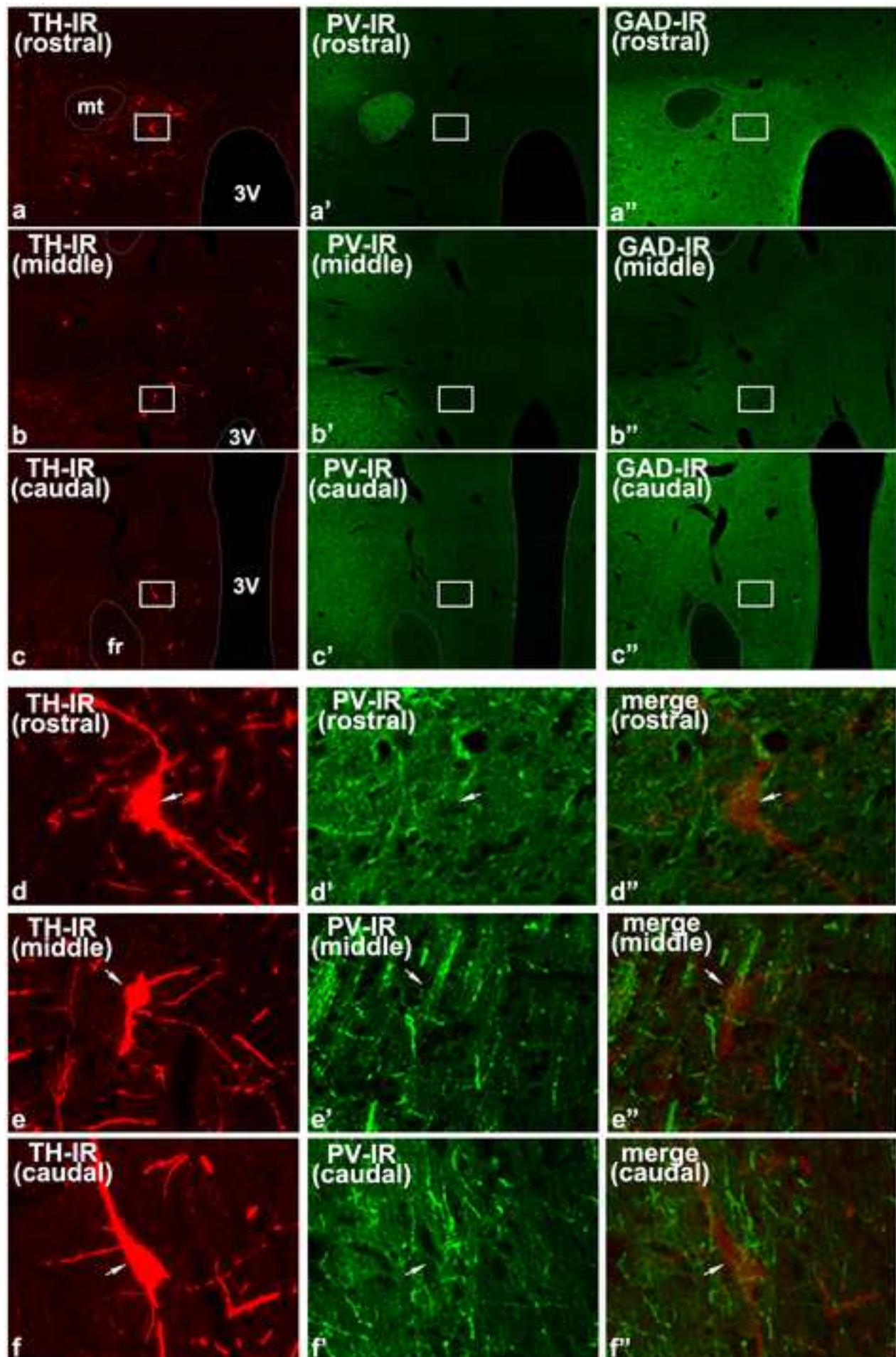
1
2 mm; middle, -4.16 mm; caudal, -4.52 mm from bregma). Note that a large number of intensely GAD-IR
3 processes were observed in the hypothalamus (ventral) region including A11, and more weakly GAD-IR
4 processes were present in the thalamus (dorsal). The delimited areas in a-c are shown at higher
5 magnification in d-f and areas corresponding to d-f in consecutive sections of Calb immunolabeling are
6 shown in d'-f'. Arrows in d'-f' indicate the locations of TH neurons. Note that PV-IR processes are found
7 at the surrounding location where TH positive cells are present. 3V, third ventricle; fr, fasciculus retroflexus;
8 mt, mammillothalamic tract. Scale bars = 0.1 mm (in c' for a - c'; in f' for d - f')

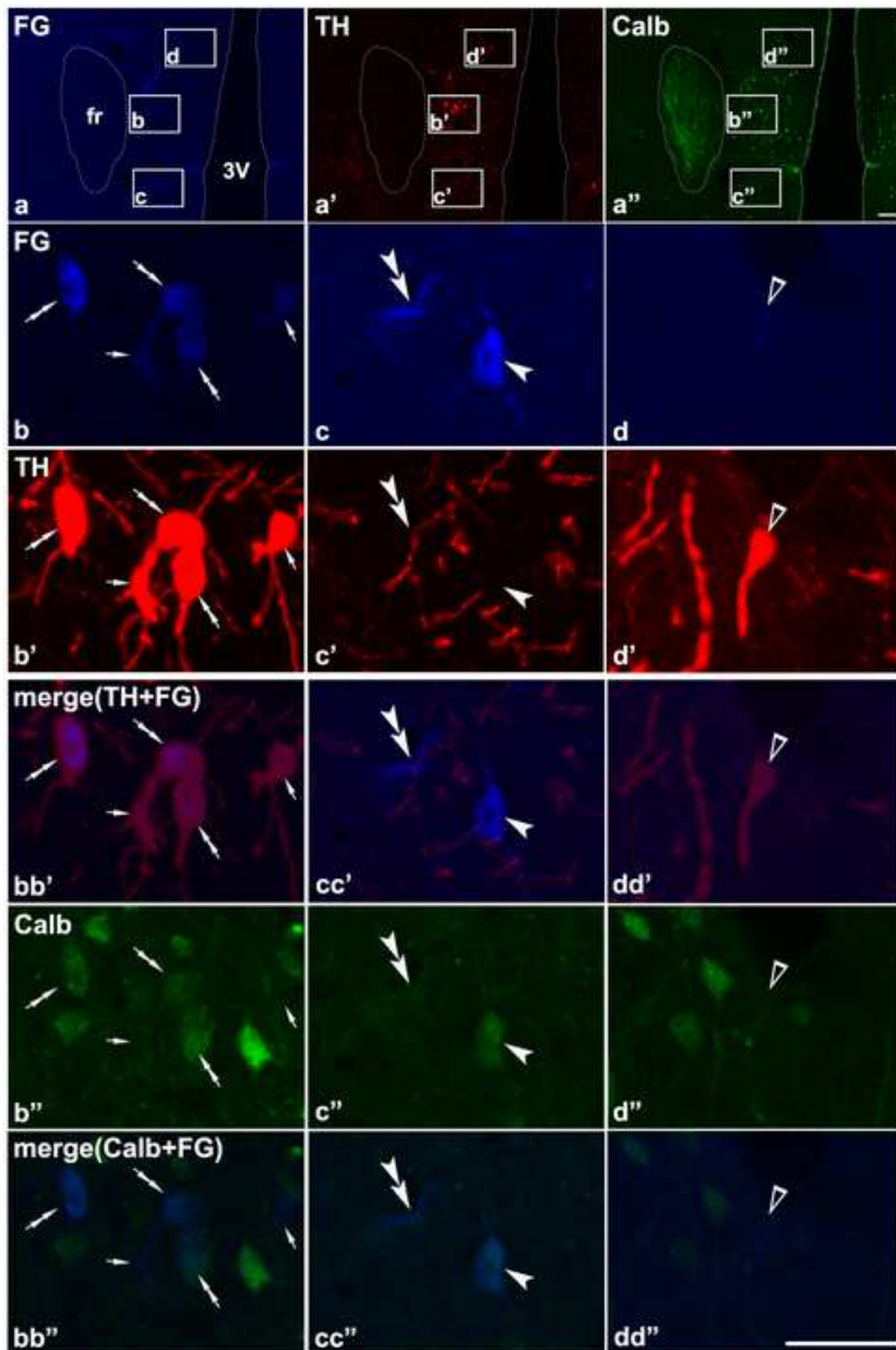
9
10
11
12
13
14
15 **Fig. 5** Four types of A11 neurons were labeled by the retrograde tracer Fluorogold injected into the rat
16 spinal cord. Rat brain coronal sections, 16- μ m-thick, were prepared from brains of rats injected with
17 Fluorogold (FG) into the spinal cord. The brain sections were processed for double immunofluorescent
18 labeling of TH and Calb. Low-magnification images (a-a'') were taken under a fluorescence microscope,
19 revealing FG (blue in a), TH (red in a'), and Calb (green in a'') expression. The delimited areas in a-a'' are
20 shown at higher magnification in b-d''. Pictures in bb'-dd' are merged images of FG and TH
21 immunolabeling, and bb''-dd'' are merged images of FG and Calb immunolabeling. Note that four types of
22 FG-positive neurons are observed in the A11 region: FG-positive neurons expressing both TH and Calb
23 (double arrows), FG-positive neurons expressing TH and lacking Calb (arrows), FG-positive neurons
24 lacking TH and expressing Calb (arrowheads), and FG-positive neurons lacking both TH and Calb (double
25 arrowheads). TH-positive neurons lacking FG and Calb (open triangles) are also observed in d-d'. 3V, third
26 ventricle; fr, fasciculus retroflexus. Scale bars = 0.1 mm (in a'' for a-a'' and in d'' for b-d'')











Quantification of three neuron types within the A11 region at three different levels

	Number of each type of neuron (mean \pm SEM)			Percentage of Calb/TH in Calb or TH neurons	
	Calb	TH	Calb/TH	Calb/TH in Calb	Calb/TH in TH
rostral	35 \pm 11	10 \pm 1	2 \pm 1	5.8 %	20.0%
middle	113 \pm 15	9 \pm 2	3 \pm 1	2.5%	30.1%
caudal	51 \pm 2	5 \pm 1	0 \pm 1	0.6%	6.3%

Each type of neuron (Calb, calbindin-immunoreactive; TH, tyrosine hydroxylase-immunoreactive; Calb/TH, Calbindin/TH-double positive) within the A11 region were quantified at three different levels ($n = 4$). The percentage of Calb/TH-double positive neurons in the total number of Calb or TH numbers were calculated for each subject.