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1	Original articles
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3	Usefulness of the acceleration time ratio in the diagnosis of internal carotid artery origin
4	stenosis
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23	Short title: The acceleration time ratio for diagnosis of internal carotid artery origin stenosis
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25	

26 Abstract

27	Purpose: Acceleration time (AcT) ratio on internal carotid artery (ICA) is increased in ICA stenosis.
28	However, there are few reports that directly compared AcT ratio to digital subtraction angiography
29	(DSA) findings.
30	Methods: We evaluated 177 vessels with DSA and carotid artery ultrasonography. AcT ratio was
31	calculated as AcT of ICA (ICA-AcT)/AcT of the ipsilateral common carotid artery (CCA). We
32	evaluated the correlation of DSA-NASCET stenosis with the origin of ICA or the peak systolic
33	velocity (ICApsv) in the stenotic region, ICApsv/peak systolic velocity of CCA (CCApsv),
34	ICA-AcT and AcT ratio. Sensitivity and specificity for stenosis \geq 70% were calculated based on the
35	ICApsv, ICApsv/CCApsv, ICA-AcT, and AcT ratio.
36	Results: Using NASCET criteria, 34 vessels had 70% or greater stenosis. DSA-NASCET showed a
37	significant positive correlation with ICApsv, ICApsv/CCApsv, ICA-AcT and AcT ratio ($p < 0.0001$).
38	When the cut-off value for ICApsv was set at 176 cm/s, ICApsv/CCApsv at 2.42,
39	ICA-AcT at 0.095 s, and the AcT ratio at 1.35, the sensitivity was 97.1%, 97.1%, 82.4%, and 97.1%,
40	and the specificity was 94.4%, 91.0%, 83.2%, and 83.2%, for DSA-NASCET \geq 70%, respectively.
41	Conclusion: AcT ratio is a beneficial parameter for evaluating ICA stenosis as well as ICApsv and
42	ICApsv/CCApsv.
43	
44	Keywords
45	Acceleration time ratio, peak systolic velocity, Internal carotid artery stenosis, digital subtraction

46 angiography, acoustic shadow

48 Introduction

49 A management of atherosclerotic risk factors and medications such as statins are 50 considered for asymptomatic extracranial internal carotid artery (ICA) stenosis but carotid artery 51 stenting (CAS) and carotid endarterectomy (CEA) are also considered for patients with an expected 52 long-term prognosis[1]. For symptomatic extracranial ICA stenosis, CAS and CEA are considered in addition to best medical treatment for cases of severe stenosis of 70% or more based on the North 53 54 American Symptomatic Carotid Endarterectomy Trial (NASCET)[2]. Therefore, from the viewpoint 55 of primary and secondary prevention of ischemic stroke, non-invasive and simple diagnosis of extracranial internal carotid artery (ICA) stenosis is important, and carotid artery ultrasonography is 56 57 widely used for diagnosing extracranial ICA stenosis by carotid artery ultrasonography.

Peak systolic velocity (PSV) is widely used for the diagnosis of extracranial ICA stenosis by carotid artery ultrasonography. When PSV is 125 cm/s or higher, stenosis of 50% or more is suspected, but when PSV is 230 cm/s or higher, stenosis of 70% or more is indicated based on the North American Symptomatic Carotid Endarterectomy Trial (NASCET)[3]. In addition, ICA to common carotid artery (CCA) PSV ratio is also useful for diagnosis of extracranial ICA stenosis according to NASCET criteria[4].

However, acoustic shadows will appear on ultrasonography when there is calcification,
 making observation of that region difficult. Therefore, diagnosis of stenosis becomes impossible by
 directly measuring PSV when there is circumferential calcification of the carotid arteries.

67 Conversely, it is known that the acceleration time (AcT) on the peripheral artery is 68 extended where is stenosis and the usefulness of diagnosing of extracranial ICA stenosis has been 69 suggested[5-7] in addition to diagnosis of restenosis after CAS[8]. However, there are a limited 70 number of subjects in whom AcT to findings of cerebral angiography were directly compared [7] 71 and, moreover, only one study has been conducted to directly compare the AcT ratio, which is 72 calculated by dividing the AcT of ICA by the AcT of the ipsilateral CCA, and cerebral 73 angiography[8]. However, in their study, only subjects receiving CAS was included.

74 75 Therefore, we examined the usefulness of the AcT ratio for diagnosing extracranial ICA stenosis, especially ICA origin stenosis.

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78 Methods

The subjects were 97 consecutive patients (mean age: 68.4 years, SD: ±10.8, 76 men) that were hospitalized in the Department of Neurology, Dokkyo Medical University, for atherothrombotic cerebral infarction and received both carotid artery ultrasonography and digital subtraction angiography (DSA) between April 1, 2014 and March 31, 2017. Seventeen vessels were excluded because the internal carotid arteries were obstructed at their origins; therefore, 177 vessels were retrospectively analyzed.

HT, YT, KI, AI, HI, AS, and MO performed carotid artery ultrasonography using
SSA-770A (Toshiba, Japan). Ultrasound imaging was performed with the subject lying supine, the
head turned away from the side being scanned and the neck extended.

88 Measurement of pulsed-wave Doppler of CCA was carried out approximately 2 cm from 89 the carotid sinus using a linear-array probe (5-11 MHz). Pulsed-wave Doppler of ICA was 90 performed in the region approximately $3.5 \text{ cm} (3.50 \pm 0.92 \text{ cm})$ from the ICA bifurcation using a 91 convex-array probe (1.9–6 MHz). The sample volume of the pulsed-wave Doppler was set at 1/2 or 92 longer than the vessel diameter, and the Doppler insonation angle against the direction of jet flow or 93 the blood vessel direction was 60 degrees or smaller. Additionally, power or color Doppler was used to observe the origin of the ICA and the PSV (ICApsv) was measured after setting the sample 94 95 volume in a way that sufficiently covers the stenotic lesion for cases in which stenosis was present. We measured the ICApsv at the origin of the ICA in cases in which stenosis was not present. We 96 97 measured the PSV that was higher around the acoustic shadow in cases in which the point at which 98 stenosis was most severe was unclear due to calcification. The ICApsv/CCApsv is calculated as 99 ICApsv/(PSV of the ipsilateral CCA). The measurements of ICApsv and CCApsv were performed 100 by pulsed-wave Doppler at the maximum peak of the waveform [4] (Fig. 1).

101 Measurement of AcT was carried out in accordance with the report by Takekawa et al.[6] 102 (Fig. 1). More specifically, we showed the monomodal peak pattern and defined AcT as the time up 103 to PSV for cases where there was no distinct inflection point. We showed the monomodal peak 104 pattern and defined AcT as the time up to the inflection point when there was a distinct inflection 105 point. When there were bimodal peaks, AcT was defined as the time up to the first peak. AcT, 106 defined as time from initiation of the upstroke to the first maximum peak of the waveform, was 107 measured by the average of five heartbeats. We calculated the AcT for ICA (ICA-AcT) and CCA. 108 The AcT ratio is calculated as ICA-AcT/(AcT of the ipsilateral CCA).

109	ICA origin stenosis diagnosed via DSA was evaluated by NT using
110	the NASCET method (DSA-NASCET).
111	We evaluated the correlation of DSA-NASCET with ICApsv, ICApsv/CCApsv, ICA-AcT
112	and AcT ratio using the Pearson correlation coefficient, and examined whether DSA-NASCET was
113	predictable based on each item using single regression analysis. Also, we calculated the sensitivity
114	and specificity for DSA-NASCET \geq 50% and \geq 70% based on the ICApsv, ICApsv/CCApsv,
115	ICA-AcT, and AcT ratio using a receiver operating characteristic (ROC) curve. Then, positive
116	predictive value (PPV), negative predictive value (NPV) and accuracy for these parameters were
117	calculated.
118	Also, we calculated the inter-rater reliability for the ICA-AcT, AcT ratio, ICApsv and
119	ICApsv/CCApsv of five blood vessels using the intraclass correlation coefficients (ICC). IBM SPSS
120	(ver. 24.0, Tokyo, Japan) was used for statistical processing and plotting, and $p < \ 0.05$ was
121	considered statistically significant.
122	HT, KS and HK were involved in overseeing the entire study.
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125	Results
126	1. All vessels
127	There were 55 blood vessels (31.1%) with DSA-NASCET \geq 50% and 34 blood
128	vessels (19.2%) with DSA-NASCET \geq 70%. No patient underwent CAS. The ICCs for ICApsv,
129	ICApsv/CCApsv, ICA-AcT and AcT ratio were 0.988, 0.996, 0.888 and 0.842, respectively.
130	DSA-NASCET showed correlations with ICA-AcT (r = 0.647, p < 0.0001), AcT ratio (r =
131	0.744, p <0.0001), ICApsv/CCApsv (r = 0.670, p < 0.0001) and with ICApsv (r = 0.833, p < 0.0001).
132	Furthermore, we could predict DSA-NASCET based on the ICApsv, ICApsv/CCApsv, ICA-AcT,
133	and AcT ratio using simple linear regression analysis (Fig. 2a, b, c, d). Also, when we excluded the
134	vessel showing the left ICApsv/CCApsv of 28.6 (left carotid artery, Fig. 1b dotted arrow) in an
135	86-year-old male patient, the correlation coefficient between ICApsv/CCApsv and
136	DSA-NASCET increased to 0.788.
137	On observing the diagnostic yield for DSA-NASCET \geq 50% on the ROC curve, the area
138	under the curve (AUC) of ICApsv was 0.985, ICApsv/CCApsv was 0.970, ICA-AcT was 0.861, and
139	the AcT ratio was 0.958, indicating increased usefulness of the ICApsv, ICApsv/CCApsv and AcT

140	ratio (Fig. 3a). When the cut-off value of ICApsv was set at 112 cm/s, ICApsv/CCApsv at 1.95,
141	ICA-AcT at 0.085 s, and AcT ratio at 1.31, the sensitivity was 94.5%, 87.3%, 80.0%, and 94.5%,
142	and the specificity was 93.4%, 96.5%, 82.0%, and 91.0%, respectively. Meanwhile, the AUC for
143	DSA-NASCET \geq 70% was 0.978 for ICApsv, 0.963 for ICApsv/CCApsv, 0.888 for ICA- AcT, and
144	0.945 for the AcT ratio (Fig. 3b). Additionally, when the cut-off value for ICApsv was set at 176
145	cm/s, ICApsv/CCApsv at 2.42, ICA-AcT at 0.095 s, and the AcT ratio at 1.35, the sensitivity was
146	97.1%, 97.1%, 82.4%, and 97.1%, and the specificity was 94.4%, 91.0%, 83.2%, and 83.2%,
147	respectively.
148	
149	2. The diagnostic accuracy of the combined parameters of AcT and PSV
150	The diagnostic yield of DSA-NASCET \geq 50% had slightly lower sensitivity and NPV
151	compared with ICApsv; However accuracy in the setting of ICApsv \geq 112 cm/s and AcT ratio \geq
152	1.31 showed highest (97.2%) (Table 1).
153	In contrast, the diagnostic yield of DSA-NASCET \geq 70% were highest in the setting of 1)
154	ICApsv \geq 176 cm/s or 2) ICApsv \geq 176 cm/s and AcT ratio \geq 1.35 (94.9%). The diagnostic yield
155	of combination of ICApsv and AcT ratio had slightly lower sensitivity and NPV, but showed higher
156	specificity and PPV, compared to that of ICApsv only (Table 1).
157	
158	3. Vessles with calcification-related acoustic shadow
159	We identified 30 vessels with calcified plaque-related acoustic shadow which
160	hampered a direct measurement of ICApsv. Among them, 17 vessels (56.7%) had
161	DSA-NASCET \geq 50% and 10 vessels (33.3%) had DSA-NASCET \geq 70%. Median calcification
162	length was 0.9 cm (range, 0.6-1.6). DSA-NASCET showed correlations with ICApsv (r = 0.661, p <
163	0.0001), ICApsv/CCApsv (r = 0.683, p < 0.0001), ICA-AcT (r = 0.639, p < 0.001) and AcT ratio (r
164	= 0.670, p < 0.0001).
165	In contrast, on observing the diagnostic yield for DSA-NASCET \geq 50% on the ROC curve,
166	the AUC of ICApsv was 0.516, ICApsv/CCApsv was 0.914, ICA-AcT was 0.839, AcT ratio was
167	0.887 (Fig.3c). Meanwhile, the AUC for DSA-NASCET \geq 70% was 0.648 for ICApsv, 0.895 for
168	ICApsv/CCApsv, 0.753 for ICA-AcT, and 0.860 for the AcT ratio (Fig. 3d). However, the AUCs for
169	DSA-NASCET \geq 50% or DSA-NASCET \geq 70% for ICApsv, ICApsv/CCApsv, ICA-AcT and

AcT ratio in the 30 vessels with acoustic shadow were smaller compared to those in all 177 vessels.
Particularly, the AUC for ICApsv was smaller in these vessels.

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- 173

174 Discussion

175 The present study focused on atherothrombotic cerebral infarction, and compared 176 DSA-NASCET to carotid artery ultrasonography, that is, ICApsv, ICApsv/CCApsv, ICA-AcT, and 177 the AcT ratio, to examine the usefulness of these items in diagnosing the level of stenosis. Our 178 showed that DSA-NASCET had a significantly positive correlation with results 179 ICApsv, ICApsv/CCApsv, ICA- AcT, and AcT ratio. Based on the AUC of the ROC curve, ICApsv and ICApsv/CCApsv had the highest utility, followed by AcT ratio. Among vessels with calcified 180 181 lesions, we showed utility of ICApsv/CCApsv and AcT ratio. Furthermore, when these parameters 182 were combined with ICApsv and AcT ratio, the diagnostic yield might be significantly improved.

183 Measuring the PSV in the stenotic region by pulsed-wave Doppler is known to be effective 184 and is widely used for prediction of DSA-NASCET stenosis [3]. It has been reported that PSV >125-150 cm/s predicts NASCET 50-69% stenosis and PSV > 200-230 cm/s predicts NASCET 70-185 186 99% stenosis [3,10]. The utility of ICApsv/CCApsv has also been reported. ICApsv/CCApsv of 2-4 187 indicates NASCET 50-69% stenosis and ICApsv/CCApsv > 4 indicates NASCET 70-89% stenosis [4]. However, in our study, we determined the cut-off values lower than the previous studies. In 188 189 order to measure PSV, it is essential to correct the Doppler insonation angle so that it is equal to or 190 smaller than 60 degrees[11]. However, the blood flow direction at the stenotic lesion is not always 191 parallel to the blood vessel direction. Because of this, there are differences in the PSV and percent 192 stenosis depending on whether the Doppler insonation angle is used as a reference or the blood 193 vessel direction is used as a reference[12]. Therefore, we believe that cut-off values for percent 194 stenosis diagnosis by ICApsv may vary from study to study. Similarly, cut-off values for 195 ICApsv/CCApsv using ICApsv may differ depending on the studies. In fact, in our study, we did not 196 have a fixed rule as to whether the Doppler insonation angle should be corrected in accordance with 197 the blood vessel direction or the jet flow direction. Also, we used the ICApsv that was higher around 198 the acoustic shadow for cases where the point with the most severe stenosis was unclear due to 199 calcification. Therefore, it cannot be ruled out that we obtained cut-off values for ICApsv or

ICApsv/CCApsv similar to those in previous studies because we used the ICApsv at the point with
 the most severe stenosis.

202 Conversely, a few studies have reported the diagnostic yield of AcT or AcT ratio on ICA 203 stenosis (Table 2). A part of the study by Tamura et al [7] and the study by Kamiya et al [8] directly 204 compared AcT or AcT ratio and DSA-NASCET. However, the study by Kamiya et al [8] included 205 only the vessels in which CAS was performed. Comparing to the previous reports, the cut-off value 206 of ICA-AcT predicting stenosis was lower in our study. In general, AcT often refers to the time 207 required to reach PSV. However, we defined AcT as the time up to the inflection point in cases with 208 monomodal peak pattern with a distinct flection point and we defined AcT as the time up to first 209 peak in cases with bimodal peaks. Therefore, it is possible that shorter cut-off values for ICA-AcT 210 were obtained in previous studies than those in the present study.

211 In the study by Tamura et al [7], DSA was performed in 11 vessels, and among the vessels 212 with DSA-NASCET $\geq 10\%$ mean ICA-AcT was 138.5 ± 26.3 s. However, AcT ratio was not shown 213 in those vessels. DSA-NASCET and AcT ratio have not been compared in large sample studies. 214 Takekawa et al[6] have compared AcT ratio and diameter stenosis used in the criteria of the 215 European Carotid Surgery Trial[14] using carotid artery ultrasonography. However, the cut-off value 216 for AcT ratio was lower in our study. An accurate evaluation of the vascular lumen is possible with 217 DSA but it is difficult to evaluate the vascular adventitia and the vascular endometrium without 218 plaques. Therefore, the possibility cannot be ruled out that there was an error in the evaluation using 219 the NASCET method, which makes use of the ratio of a normal vascular diameter and the vascular 220 diameter of the stenotic region.

Although in a small sample size (30 vessels), we suggest the usefulness of ICA-AcT and AcT ratio as well as ICApsv/CCApsv in vessels with calcification-related acoustic shadow which interfered with direct measurement of ICApsv in the stenotic lesions. Our observation from the usefulness of ICA-AcT and AcT ratio in cases with the median length of calcified lesions of 0.9cm suggests ICA-AcT and AcT ratio could be also useful in cases with long calcified lesions.

There are several limitations to the present study. One is that there was no fixed rule for the correction of the Doppler insonation angle. Moreover, there are cases where the ICApsv cannot be measured at the point where stenosis is most severe. Additionally, because evaluation using TTE was not conducted, we were unable to verify whether the influence of valvular heart disease and EF was excluded from the AcT ratio. Furthermore, the influence of ICA kinks was not evaluated. In the

231	future, detailed investigation that takes these factors into consideration is required. Nevertheless, our
232	study suggests a combined use of AcT ratio and other parameters will enable us to make an accurate
233	diagnosis of stenosis rate. We believe the usefulness of the AcT ratio as shown in the present study
234	will be valuable in cases where the ICApsv in the stenotic region cannot be measured directly
235	because no study has directly compared the DSA-NASCET and the AcT ratio.
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238	Conclusions
239	We compared ICApsv, ICApsv/CCApsv, ICA-AcT, and the AcT ratio to DSA-NASCET.
240	Our results showed that the AcT ratio as well as ICApsv and ICApsv/CCApsv is highly useful. We
241	believe that the AcT ratio is a useful evaluation method for diagnosing stenosis in cases where the
242	ICApsv or ICApsv/CCApsv in the stenotic region cannot be measured owing to acoustic shadows
243 244	caused by calcification.
245	
246	Compliance with ethical standards
247	All procedures were conducted in accordance with the ethical standards of the responsible
248	committee on human experimentation (institutional and national) and with the Helsinki Declaration
249	of 1975, as revised in 2008. Informed consent was obtained from all subjects prior to study inclusion.
250	The Institutional Review Board of Dokkyo Medical University Hospital approved this study.
251	
252	
253	Conflict of Interest
254	There are no financial or other relations that could lead to a conflict of interest.
255	

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