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The comparison of endothelial function between conduit artery and microvasculature in patients with coronary artery disease

Running title: FMD and RHI in coronary artery disease

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Abstract

Background: Flow-mediated dilation (FMD) and reactive hyperemia-peripheral arterial tonometry (RH-PAT) are both established modalities to assess vascular endothelial function. However, clinical significance of FMD and RH-PAT may be different because these methods measure vascular function in different vessels (conduit arteries and resistance vessels).

Methods: To elucidate differences in the clinical significance of FMD and RH-PAT, a

simultaneous determination of FMD was performed and reactive hyperemia index (RHI) measured by RH-PAT in 131 consecutive patients who underwent coronary angiography for suspicion of coronary artery disease (CAD).

Results: There was no significant correlation between FMD and RHI in patients overall.

When patients were divided into four groups: FMD \geq 6%/RHI \geq 1.67 group, FMD \geq 6%/RHI < 1.67 group, FMD < 6%/RHI \geq 1.67 group and FMD < 6%/RHI < 1.67 group, the highest incidence of multi-vessel CAD was seen in the FMD < 6%/RHI < 1.67 group (52%). Multiple logistic regression analysis showed that a prevalence of both FMD < 6% and RHI < 1.67 was an independent predictor of multi-vessel CAD (odds ratio: 4.160, 95% confidence interval: 1.505–11.500, p = 0.006). RHI was negatively correlated with the baseline vessel diameter (R = -0.268, p = 0.0065) and maximum vessel diameter (R = -0.266, p = 0.0069) in patients with FMD < 6%, whereas these correlations were absent in patients with FMD \geq 6%.

Conclusions: Present results suggest that noninvasive assessment of vascular endothelial functions provide pathophysiological information on both conduit arteries and resistance vessels in patients with CAD.

Key words: flow mediated-dilation, reactive hyperemia-peripheral arterial tonometry, reactive hyperemia index, vascular endothelial function, coronary artery disease

Introduction

The endothelium plays a seminal role in the regulation of vascular tone, new vessel growth, thrombogenicity, and inflammation [1]. Moreover, endothelial dysfunction is associated with cardiovascular events. Brachial artery flow-mediated dilation (FMD) and reactive hyperemia-peripheral arterial tonometry (RH-PAT) are established methods to assess vascular endothelial function. FMD measures the ability of the brachial artery to release endothelial nitric oxide (NO) during reactive hyperemia after a 5-min occlusion of the artery with a blood pressure cuff [2, 3]. Celermajeret et al. [4] first observed this response in vivo by using ultrasound to measure changes in the diameter of the brachial artery, and later demonstrated this response to be mainly NO-dependent [5]. Importantly, peripheral vascular

endothelial function as assessed by FMD correlates with coronary artery endothelial function [6]. In addition, FMD has independent prognostic value to predict future cardiovascular events that may exceed the predictive ability of traditional risk factors [7]. On the other hand, RH-PAT has been recently introduced as a new surrogate marker to evaluate endothelial function. RH-PAT is a noninvasive, automatic, and quantitative method of clinical assessment based on digital measurements of the hyperemic response [8]. Although FMD reflects endothelial function of large conduit arteries [9]. Reactive hyperemia index (RHI) as measured by RH-PAT reflects endothelial function of the microvasculature (i.e., resistance vessels) [10] and depends more on endothelium-derived hyperpolarizing factor (EDHF) than NO [11, 12]. However, endothelial function measured by RH-PAT also predicts cardiovascular events [13].

Although both FMD and RH-PAT can predict cardiovascular events, it was hypothesized that the clinical significance of these two vascular endothelial function tests in patients with cardiovascular diseases are different because these methods measure vascular function in different vessels (conduit arteries or resistance vessels). In this study, both FMD and RH-PAT-based RHI were simultaneously measured in patients with coronary artery disease (CAD) to elucidate differences in clinical significance of each method for assessment of vascular endothelial function.

Methods

Subjects and study outline

Simultaneous measurement of FMD and RH-PAT were performed in 131 consecutive patients, who underwent diagnostic coronary angiography due to suspicion of CAD (including stable angina pectoris, old myocardium infarction, coronary spastic angina and chest pain syndrome) at Dokkyo Medical University Hospital. Patients were excluded if they had acute

coronary syndrome, valvular heart disease, atrial fibrillation/flutter, permanent pacemaker implantation, impaired left ventricular systolic function (left ventricular ejection fraction < 40%), aortic dissection, malignancy or serious liver diseases, or were on hemodialysis. The Dokkyo Medical University review board approved the study protocol, and written informed consent was obtained from each patient.

Simultaneous measurement of FMD and RHI

Simultaneous measurement of FMD and RHI was performed in the morning of the day before coronary angiography, according to the method previously described by Tomiyama et al. [14]. In brief, the subjects were instructed to fast overnight and to abstain from alcohol, smoking, caffeine and antioxidant vitamins for at least 12 h before the measurements. They were asked to rest in the sitting position in a quiet, dark, air-conditioned room (22°C to 25°C) for 5 min. Then, they were asked again to rest for at least 15 min in the supine position in the same room before FMD and RH-PAT procedures. Blood pressure was measured in the left arm using a mercury sphygmomanometer with an appropriately sized cuff and recorded to the nearest 2 mmHg. After blood pressure was measured, a 10-MHz linear array ultrasound transducer (Unex EF 18G, UNEX Corp., Nagoya, Japan) was placed on the proximal right brachial artery to measure FMD, and the manchette was rolled at the forearm. For the RH-PAT procedure (EndoPAT-2000, Itamar Medical Ltd., Caesarea, Israel), a peripheral arterial tonometry probe was placed on the right index finger and a control tonometry probe was also placed on the left index finger to eliminate sympathetic nerve effects. The RH-PAT probes were exchanged for each patient. For FMD measurement, ultrasound longitudinal images were recorded at baseline and continuously from 30 s before to ≥ 2 min after cuff deflation following compression with a cuff pressure that was 50 mmHg above the systolic blood pressure of the right forearm for 5 min. The diastolic diameter of the brachial artery

was determined semi-automatically using an instrument equipped with software for monitoring the brachial artery diameter. FMD was estimated as percent change of brachial artery diameter at maximal dilation during hyperemia compared with the baseline value. In the RH-PAT procedure, the RHI value was calculated as the ratio of reactive hyperemia between two hands. Moreover, other parameters obtained during the FMD procedure such as baseline vessel diameter, maximum vessel diameter and blood flow velocity increase (maximum blood flow velocity/baseline blood flow velocity) were also measured after forearm cuff deflation following compression.

Assessment of the results of coronary angiography

The angiographic findings were visually assessed for all atherosclerotic coronary lesions by an investigator who was unaware of the study design. According to the classification of the American Heart Association, the percent diameter stenosis was evaluated for each lesion and the lesion location was assessed. The number of affected vessels was assessed, considering that $\geq 75\%$ diameter stenosis was a significant atherosclerotic coronary lesion. If there were no significant stenotic lesions, provocation of coronary artery spasm was performed with an intracoronary injection of acetylcholine. Acetylcholine chloride was injected in incremental doses of 25 and 50 μ g into the right coronary artery and of 25, 50, and 100 μ g into the left coronary artery over 20 s, with at least a 3 min interval between each injection. The patients who had a positive acetylcholine test were diagnosed with coronary spastic angina and those with a negative acetylcholine test were diagnosed with chest pain syndrome.

Coronary risk factor assessment

Prior to FMD and RH-PAT procedures, information on coronary risk factors such as

hypertension, diabetes, dyslipidemia and smoking habit were obtained from each patient, as well as information on medication usage. Height and body weight were measured, and body mass index (BMI) was calculated as body weight (kg)/(height [m])². Blood pressure was measured using a mercury sphygmomanometer with an appropriately sized cuff and recorded to the nearest 2 mmHg. Just after FMD and RH-PAT procedures, peripheral blood samples were taken via the antecubital vein. Serum creatinine level was measured using an enzymatic method, and the estimated glomerular filtration rate (eGFR) was calculated by a formula provided by the Japanese Society of Nephrology Chronic Kidney Disease (CKD) Practice Guide: eGFR (mL/min/1.73 m²) = $194 \times (\text{serum creatinine level } [\text{mg/dL}])^{-1.094} \times (\text{age } [\text{y}])^{-1.094} \times (\text{age } [\text{y}])^{-1.0$ ^{0.287}. The product of this equation was multiplied by a correction factor of 0.739 for women [15]. Total cholesterol and triglyceride levels were determined using enzymatic methods, high-density lipoprotein cholesterol (HDL-C) was measured using the precipitation method and low-density lipoprotein cholesterol (LDL-C) was calculated using the Friedewald formula: LDL-C = total cholesterol – HDL-C – (triglyceride/5). The LDL-C could not be calculated in those patients with a triglyceride level over 400 mg/dL. Hemoglobin A1c was measured by high-performance liquid chromatography and values were expressed according to the National Glycohemoglobin Standardization Program.

Statistical analysis

Data were expressed as the mean ± standard deviation (SD) or median and interquartile range. Normality for distribution of continuous variables was assessed using the Shapiro-Wilk test. Multiple group comparisons were performed using one-way analysis of variance followed by the post-hoc Bonferroni test for continuous variables and the Fisher exact test for categorical variables. Intra-group comparisons for normally distributed continuous variables were performed using an unpaired t test. The correlation between two

variables was determined by the Pearson correlation coefficient. Logistic regression analysis was performed to predict multi-vessel CAD using age, gender, various risk factors and vascular endothelial function parameters as independent variables. Variables that could predict multi-vessel disease using a simple logistic regression model were first we determined, then multiple regression analysis was performed using the variables identified by the simple logistic regression model. All statistical analyses were performed using the statistical package for Social Science (Dr. SPSS II for Windows, SPSS Inc., Tokyo, Japan). P < 0.05 was considered significant.

Results

Relationship between both values of FMD and RHI

In all 131 patients, there was no significant correlation between FMD and RHI (R = 0.119). Then, the patients were divided into four groups, based on cut off values of 6% for FMD and 1.67 for RHI, according to previous reports [16, 17], i.e., FMD \geq 6%/RHI \geq 1.67 group (n = 22; 17%), FMD \geq 6%,/RHI < 1.67 group (n = 7; 5%), FMD < 6%/RHI \geq 1.67 group (n = 81; 62%) and FMD < 6%/RHI<1.67 group (n = 21; 6%) (Fig. 1). Baseline characteristics were compared among these four groups. There were no significant differences among the four groups in age, sex, traditional risk factors and medications. All patients in groups with RHI < 1.67 had significant coronary artery stenosis. In the FMD < 6%/RHI \geq 1.67 group, 86% of patients had stable angina. The incidence of old myocardial infarction and multi-vessel CAD (\geq 2 vessels) were both higher in FMD < 6% groups, compared with FMD \geq 6% groups. Among the four groups, the highest incidence of multi-vessel CAD was seen in the FMD < 6%/RHI < 1.67 group (52% of patients in this group) (Table 1).

Prediction of multi-vessel CAD using FMD and RHI

In all 131 patients, multi-vessel CAD was seen in 34 (26.0%) patients. Logistic regression analysis was performed to try to discriminate the 34 multi-vessel CAD patients from the remaining 97 patients who had no coronary artery stenosis or just single-vessel disease. In simple logistic regression analysis, age, gender, coronary risk factors and vascular endothelial function parameters to predict multi-vessel disease were used. These analyses showed that the prevalence of both FMD < 6% and RHI < 1.67 was a significant predictor of multi-vessel disease (odds ratio [OR]: 4.161, 95% confidence interval [CI]: 1.574–10.998, p = 0.004); and age (OR: 1.026, 95% CI: 0.986–1.067, p = 0.206), BMI (OR: 1.077, 95% CI: 0.974–1.190, p = 0.149), systolic blood pressure (OR: 1.020, 95% CI: 0.996–1.045, p = 0.109), hemoglobin A1c (OR: 1.439, 95% CI: 0.892–2.322, p = 0.136) and eGFR (OR: 0.981, 95% CI: 0.957–1.006, p = 0.128) were potential predictors (p < 0.3) (Fig. 2). Multiple logistic regression analysis using all of these variables showed that only the prevalence of both FMD < 6% and RHI < 1.67 independently predicted multi-vessel CAD (OR: 4.160, 95% CI: 1.505–11.500, p = 0.006) (Table 2).

RHI and the other parameters measured with FMD

Next, other parameters measured during the FMD procedure separately in 29 patients with FMD \geq 6% and 102 patients with FMD < 6% were assessed. In the patients with FMD \geq 6%, there were no significant differences in baseline vessel diameter, maximum vessel diameter and blood flow velocity increase between 22 patients with RHI \geq 1.67 and 7 patients with RHI < 1.67. In patients with FMD < 6%, there were also no significant differences in these other parameters among 81 patients with RHI \geq 1.67 and 21 patients with RHI < 1.67 (Table 3). However, RHI was negatively correlated with the baseline vessel diameter (R = -0.268, p = 0.0065) and maximum vessel diameter (R = -0.266, p = 0.0069) in the patients with FMD < 6%, whereas these correlations were absent in patients with FMD \geq 6% (Fig. 3).

Discussion

In the present study, it was first demonstrated that FMD and RHI were not correlated in patients who underwent coronary angiography for the suspicion of CAD. Next, patients were divided into four groups, based on cut off values of 6% for FMD and 1.67 for RHI. As a result, 62% of the patients showed FMD < 6% but RHI \geq 1.67, and 52% of patients with FMD < 6% and RHI < 1.67 had multi-vessel CAD. Multiple regression analysis showed that the prevalence of both FMD < 6% and RHI < 1.67 independently predicted multi-vessel CAD. Finally, the relationship was assessed between other parameters measured during the FMD procedure and RHI. As a result, RHI was negatively correlated with brachial artery diameter in patients with low FMD, whereas a correlation was absent in patients with normal FMD.

Flow-mediated dilation and RH-PAT are both extremely effective noninvasive methods of evaluating vascular endothelial function, but have some physiological and clinical differences that depend on the vessels evaluated with each method. There are only a few studies that examined the relationship between FMD and RH-PAT based on RHI. Dhindsa et al [18], demonstrated that FMD was significantly and positively associated with RHI in apparently healthy normotensive subjects. The Framingham Heart Study demonstrated that FMD and RHI were not correlated in multivariable models [19], whereas the Gutenberg Heart Study showed a modest correlation [20]. However, in both of these studies, FMD, which represents endothelial function of large conduit arteries, and was particularly sensitive to impairment by traditional risk factors. In contrast, RHI, which represents endothelial function of resistance vessels, was more sensitive to metabolic risk factors, such as diabetes and obesity. Moreover, endothelial dysfunction in the conduit arteries and resistance vessels could also reflect different stages of atherosclerosis. Endothelial dysfunction in conduit arteries might be more important in patients with existing atherosclerosis, whereas that in resistance

vessels might be an early indicator of arteriosclerosis risk [19–21]. Recently, Tomiyama et al. [14] found no correlation between FMD and RH-PAT when the two parameters were measured simultaneously, and results were similar to the present results. They demonstrated that autonomic nervous activation, especially sympathetic nerve activation induced by reactive hyperemia, affected RHI more than FMD.

Although the relationship between FMD and RHI is controversial as described above, there was no correlation between these two parameters In all patients in the present study. However, analysis herein, after dividing patients into four groups provided some new information. Patients with stenotic lesions (i.e., coronary spastic angina or chest pain syndrome) were present in groups with low RHI. In addition, the highest incidence of multi-vessel CAD was seen in the group with the prevalence of both FMD < 6% and RHI < 1.67. This result suggests that the presence of low values of both FMD and RHI would be a strong risk factor for severe CAD. Furthermore, multiple regression analysis showed that the incidence of both FMD < 6% and RHI < 1.67 could independently predict multi-vessel CAD also supports this suggestion. Woo et al. [22] compared FMD and RHI in patients with CAD and demonstrated that the value of each parameter was significantly lower in patients with multi-vessel and complex CAD. Their receiver-operating characteristic curve analysis showed that both FMD and RHI had a similar value for predicting the presence of CAD and its complexity. They concluded that RH-PAT would be a more useful method for evaluating vascular endothelial function in patients with CAD, because it is less operator-dependent and it is noninvasive compared with FMD. According to the present results, however, it is envisioned herein that the assessment of vascular endothelial functions of both conduit arteries and resistance vessels using the simultaneous measurement of FMD and RH-PAT would be more sensitive for the prediction of severe CAD.

Another novel finding of the present study is that RHI was negatively correlated with

brachial artery diameter at baseline as well as at the maximum response after forearm cuff deflation following compression in patients with FMD < 6%, whereas these correlations were absent in patients with FMD \ge 6%. Thus, lower RHI is associated with larger baseline brachial artery diameter, since the change in vessel diameter at maximum response after forearm cuff deflation was small in cases with low FMD. This result suggests that reactive hyperemic flow response of resistance vessels might depend on vascular remodeling in the conduit artery. Endothelial function contributes to the maintenance of vasodilator tone by endothelium-dependent relaxing factors (EDRFs), including NO and EDHF [11, 12]. Endothelium-dependent vasodilation in the conduit artery is mediated mainly by NO, whereas the dilation of resistance vessels is mediated by NO and EDHF together [23]. In patients with low FMD, basal NO bioavailability might decrease. Since decreased NO bioavailability leads to positive vessel remodeling [24], it is postulated that EDHF contributes more to the endothelium-dependent vascular response in resistance vessels than NO in coronary artery disease patients with low FMD.

Limitations of the study

The present study has several potential limitations. First, the sample size was too small to draw definitive conclusions. Although it was suggested that both low FMD and low RHI are risk factors for severe CAD, the number of patients in this group was small. In addition, a negative correlation was observed between RHI and brachial artery diameter in patients with FMD < 6%, but not in those with FMD \geq 6%. However, the number of patients with FMD \geq 6% was small (n = 29), whereas there were 81 patients with FMD < 6%, so the absence of a correlation in patients with FMD \geq 6% may be a type II error. Further assessment using a larger number of patients is needed. Second, this study was a cross-sectional study, and logistic regression analysis was used to discriminate patients with

multi-vessel disease from others. To evaluate the value of FMD and RH-PAT to predict

severe CAD, a prospective observational study is required. Finally, the mechanism for

correlation between RHI and brachial artery diameter in patients with FMD < 6% may be

somewhat speculative. Even considering these limitations, however, it is believed that this

study showed important and clinically significant differences between FMD and RHI, and the

advantages of simultaneous measurement of both values.

Conclusions

From the present study it can be envisioned that noninvasive assessment of vascular

endothelial functions provide pathophysiological information on both conduit arteries and

resistance vessels, and that this information is relevant to CAD. A unique classification by

simultaneous measurement of FMD and RHI would allow the prediction of the severity of the

coronary artery lesions and the presence of advanced atherosclerotic coronary artery disease.

Conflict of interest: None declared

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Figure 1. The relationship between flow-mediated dilation (FMD) and reactive hyperemia index (RHI) measured by reactive hyperemia-peripheral arterial tonometry (RH-PAT) in all patients. There was no significant correlation between FMD and RHI. Then, patients were divided into four groups, according to cut-off values of 6% for FMD and 1.67 for RHI: FMD \geq 6%/RHI \geq 1.67 group, FMD \geq 6%/RHI < 1.67 group, FMD < 6%/RHI < 1.67 group.

Figure 2. Simple logistic regression model to determine variables that predicted multi-vessel coronary artery disease. The prevalence of both flow-mediated dilation (FMD) < 6% and reactive hyperemia index (RHI) < 1.67 was a significant predictor of multi-vessel disease; age, body mass index, systolic blood pressure, hemoglobin A1c and estimated glomerular filtration rate were also potential predictors of multi-vessel disease.

Figure 3. The correlation between reactive hyperemia index (RHI) and the other parameters obtained during flow-mediated dilation (FMD) procedure. **A.** In patients with FMD \geq 6%, there were no significant correlations; **B.** In patients with FMD < 6%, however, RHI was

negatively correlated with the baseline vessel diameter and maximum vessel diameter.						

Fig. 1

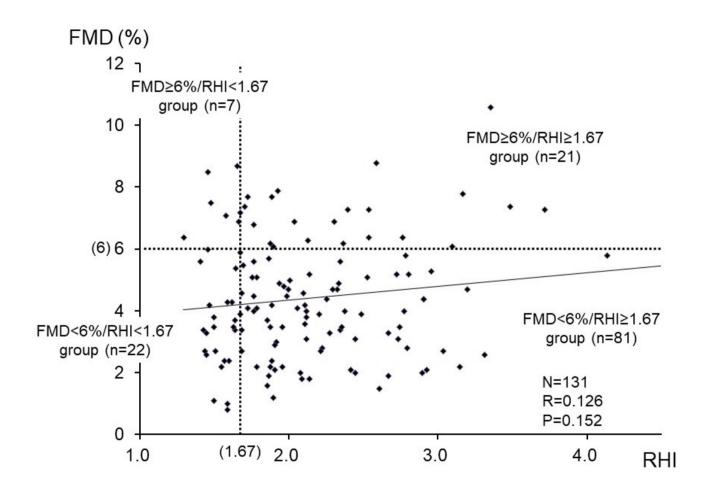


Fig. 2

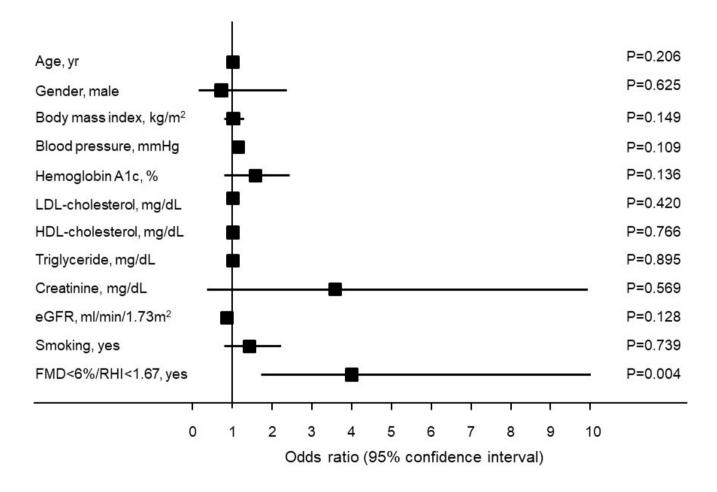


Fig. 3

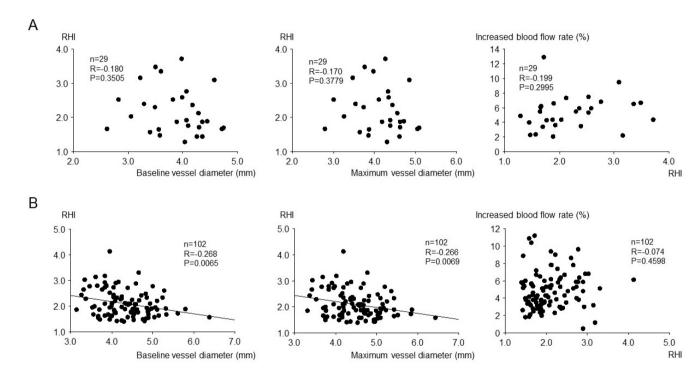


Table 1 Baseline characteristics

	FMD≥6%/ RHI≥1.67 (n=22)	FMD≥6%/ RHI<1.67 (n=7)	FMD<6%/ RHI≥1.67 (n=81)	FMD<6%/ RHI<1.67 (n=21)	P value
Age, yr	64±10	70±7	67±12	69±8	0.413
Male gender, n (%)	17 (77)	5 (71)	62 (77)	20 (95)	0.266
Body mass index, kg/m ²	25.2 ± 4.1	25.5±3.3	23.6±3.6	24.8±3.2	0.137
Risk factors, n (%)					
Hypertension	16 (73)	5 (71)	55 (68)	13 (62)	0.891
Diabetes	10 (45)	2 (29)	36 (44)	14 (67)	0.218
Dyslipidemia	19 (83)	19 (83)	12 (80)	12 (80)	0.207
Smoking	16 (72)	4 (57)	53 (65)	14 (67)	0.874
Systolic blood pressure	131±17	128±14	134±18	132±14	0.959
LDL-cholesterol, mg/dL	94±23	79±13	82±23	78±24	0.103
HDL-cholesterol, mg/dL	48±13	43±12	50±14	45±13	0.911
Triglyceride, mg/dL	111±75	74±38	88±52	75±57	0.166
Hemoglobin A1c, %	6.3 ± 0.9	6.1±0.5	6.3±0.8	6.5 ± 0.7	0.700
Creatinine, mg/dL	0.79 ± 0.16	0.77 ± 0.17	0.8 ± 0.2	0.9 ± 0.2	0.226
eGFR. ml/min/1.73m ²	75.4±15.1	6.6 ± 6.7	6.6 ± 6.7	6.6±6.7	0.399
Medications, n (%)					
ACE inhibitors/ARBs	8 (36)	5 (71)	33 (41)	7 (33)	0.090
Sulfonylureas	3 (14)	0 (0)	10 (12)	3 (14)	0.777
Insulins	1 (5)	0 (0)	3 (4)	0 (0)	0.762
Statins	16 (73)	6 (86)	64 (79)	21 (100)	0.096
Basal disease					
Stable angina pectoris	6 (27)	6 (86)	21 (68)	5 (23)	0.009
Old myocardial infarction	11 (50)	1 (14)	48 (59)	16 (76)	0.030
Coronary spastic angina	4 (18)	0 (0)	7 (9)	0 (0)	0.150
Chest pain syndrome	1 (5)	0 (0)	5 (6)	0 (0)	0.611
Affected vessel number					
No stenotic lesion	5 (23)	0 (0)	12 (15)	0 (0)	0.099
Single vessel disease	16 (73)	4 (57)	49 (60)	10 (48)	0.364
Multi-vessel disease	1 (5)	3 (43)	20 (25)	11 (52)	0.005

FMD indicates flow-mediated dilation; RHI, reactive hyperemia index; LDL, low density lipoprotein; HDL, high density lipoprotein; eGFR, estimated gldmerular filtration rate; ACE, angiotensin converting enzyme; ARB, angiotensin receptor blocker

Table 2 Multiple logistic regression analysis for the prediction of multi-vessel coronary artery disease

	Wald λ^2	Odds ratio 95% confidence interval		P value
Age, yr	0.609	1.002	0.968-1.079	0.435
Body mass index, kg/m ²	1.151	1.068	0.947-1.204	0.283
Systolic blood pressure, mmHg	1.133	1.016	0.987-1.045	0.287
Hemoglobin A1c, %	1.200	1.346	0.791-2.289	0.273
eGFR, ml/min/1.73m ²	0.183	0.993	0.964-1.024	0.669
FMD<6%/RHI<1.67, yes	7.549	4.160	1.505-11.500	0.006

Table 3 Other parameters measured during FMD procedure

	FN	1D≥6% (n=29))	FMD<6% (n=102)			
	RHI≥1.67 (n=22)	RHI<1.67 (n=7)	P value	RHI≥1.67 (n=81)	RHI<1.67 (n=21)	P value	
Baseline vessel diameter, mm	3.93±0.53	3.69±0.60	0.313	4.31±0.56	4.46±0.64	0.313	
Maximum vessel diameter, mm	4.21±0.56	3.95±0.63	0.314	4.47±0.57	4.60±0.64	0.380	
Blood flow velocity increase	5.68±.2.42	4.17±1.46	0.133	4.83±2.00	4.93±2.55	0.844	