



1 Article

2 Association between passive smoking from the 3 mother and pediatric Crohn's disease: a Japanese 4 multicenter study

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31 **Abstract:** Smoking is a risk factor for adult-onset Crohn's disease (CD). Although passive smoking
32 from family members is a major concern, especially in pediatric CD, the number of existing
33 epidemiological studies is limited. This multicenter case–control study aimed to assess the effects of
34 familial smoking on pediatric CD. We examined 22 pediatric CD cases and 135 controls. The subjects'
35 mothers were given a self-administered questionnaire about family smoking before disease onset in
36 the CD group or the corresponding period in the control group. Univariable logistic regression
37 model was used to calculate odds ratios (ORs) and 95% confidence intervals (CIs), whereas dose–
38 response relationship analyses were performed for more in-depth evaluations. Univariable analyses
39 indicated that passive smoking from the mother (OR, 2.09; 95% CI, 0.61–7.10) was not a significant
40 but candidate risk factor for developing pediatric CD. In contrast, the dose–response relationship
41 analyses revealed that passive smoking from the mother (OR, 1.17; 95% CI, 1.04–1.31) was
42 significantly associated with pediatric CD. Therefore, passive smoking from the mother may be
43 predominantly associated with the development of pediatric CD. Further follow-up studies
44 comprising environmental measurements of passive smoking exposure doses and genetic factors
45 interaction analysis are necessary.

46 **Keywords:** dose–response relationship; epidemiology; maternal smoking; passive smoking;
47 pediatric Crohn's disease

48

49 1. Introduction

50 Pediatric Crohn's disease (CD), one of the main forms of pediatric inflammatory bowel disease
51 (IBD), is often characterized by discontinuous mucosa-to-serosa inflammatory lesions. Genetics is
52 known to play a key role during the early onset of IBD; however, the lack of complete penetrance of
53 IBD among monozygotic twins [1,2], as well as its limited familial occurrence [3,4] and its increasing
54 prevalence in Japan (age-standardized prevalence of child IBD 7.2/100,000 cases in 2013) [5]—which
55 was one of traditionally low-incidence countries—indicate that environmental factors also play an
56 important role in the onset and development of these disorders.

57 Active cigarette smoking is one of the most consistently observed environmental influences on
58 IBD; in fact, it is considered a risk factor for the development of CD [6,7]. Active smoking begun by
59 age 15, though not allowed in many countries, was found to be associated with a future diagnosis of
60 CD [8]. Conversely, the effects of fetal smoke exposure are still controversial: on the one hand, a case–
61 control study reported a modest protective role of maternal smoking during pregnancy against
62 pediatric CD [9]; on the other hand, other studies reported an association between maternal smoke
63 exposure and the risk of young onset CD [8,10]. Furthermore, a recent gene–smoking interaction
64 study in mice and humans suggested that some variants of nucleotide-binding oligomerization
65 domain-containing protein 2 (NOD2) may interact with tobacco smoke, eventually modifying the
66 risk for CD [11]. In contrast, although significant associations between NOD2 variants and CD have
67 never been clarified in the Asian population, an increase in the risk of CD has been confirmed in
68 Japanese smokers [12].

69 The association with familial passive smoking is a major concern in pediatric IBD. Although a
70 previous meta-analysis failed to find any significant association between passive smoking and CD
71 [13], pieces of evidence regarding passive smoking-related increases in the risk of pediatric or
72 adolescent onset CD are gradually being accumulated [8,10,14–16]. Furthermore, a significant
73 association between passive smoking and CD in the Japanese population has been recently identified
74 [17]; however, the relationship between passive smoking and pediatric CD still needs to be clarified.

75 We conducted a multicenter case–control study in Japan to identify whether familial passive
76 smoking is a risk factors for pediatric IBD. Since passive smoking is a potentially modifiable factor—
77 provided a widespread sensibilization and understanding of its potential risk for pediatric CD—this
78 first report aimed to investigate the CD-related impact of familial passive smoking on the Japanese
79 pediatric population.

80 2. Materials and Methods

81 2.1. Study Design and Materials

82 This multicenter case–control study, which involved five hospitals located in the eastern and
83 western areas of Japan, included pediatric IBD patients attending the hospitals for clinical
84 management from October 2010 to March 2016; in particular, the enrolled patients had been
85 diagnosed with CD or ulcerative colitis (UC) at or below the age of 15 years. Diagnostic criteria were
86 determined by the Crohn's Disease Study Committee of the Japanese Society of Gastroenterology
87 and the Research Committee of Ulcerative Colitis of the Japanese Ministry of Health and Welfare for
88 CD and UC, respectively [18]. On the other hand, voluntary controls, including students and their
89 related persons, were recruited from universities and colleges located in urban areas of eastern (i.e.,
90 Tokyo and Saitama) and western (i.e., Mie) Japan. Any subject in the control group who had a history
91 of gastrointestinal disorder, autoimmune disorder, or intractable diseases was excluded.

92 The sample eventually comprised 94 pediatric IBD patients (i.e., CD, 30 [32%]; UC, 64 [68%]) and
93 164 controls. Besides, a questionnaire-based survey was simultaneously conducted in collaboration
94 with the subjects' mothers.

95 2.2. Questionnaires

96 A self-administered written questionnaire referring to the period going from before the mother's
97 pregnancy to the date of the child's IBD diagnosis—or a corresponding period for controls—was
98 submitted to and filled by the mother of each subject. The questionnaire comprised five different
99 categories of questions: (i) factors during the pre-pregnancy and post-partum periods (e.g., fertility
100 treatment, disease during pregnancy, gestational age at birth, birth weight, hospitalization in the
101 neonatal intensive care unit, breastfeeding, food fattiness, and mental health-related issues); (ii)
102 childhood diseases before the IBD diagnosis (e.g., asthma, atopy, hay fever, lactose intolerance, and
103 food allergies), surgery (e.g., tonsillectomy and appendectomy), regular medications, and X-ray
104 diagnoses; (iii) family smoking habits; (iv) childhood lifestyle before the IBD diagnosis; and (v)
105 dietary habits from infancy to childhood.

106 2.3. Measurement

107 Active and passive smoking were assessed for each family member (i.e., father, mother,
108 sibling[s], and grandparent[s]) separately by the following binary questions: "Did the person have a
109 smoking habit"? and "Did the person smoke in front of the child"? Moreover, the number of
110 cigarettes smoked was assessed by the following question: "How many cigarettes did the person
111 smoke in a day"? In the dose-response model as described below, if the answer to the question "Did
112 the person smoke in front of the child?" was yes, the increasing number of cigarettes smoked per day
113 of the family member indicated a higher passive smoking dose level of the subject.

114 2.4. Statistical Analysis

115 To investigate the influence of familial smoking on the child's risk for developing CD, the
116 category (iii) of the questionnaire was analyzed for 22 CD patients and 135 controls. In fact, six control
117 subjects were excluded due to either gastrointestinal disorders (i.e., irritable bowel syndrome,
118 invagination, and intestinal obstruction), autoimmune disorders (i.e., Sjögren syndrome), or
119 intractable diseases (i.e., phenylketonuria and Kawasaki disease). Besides, six CD cases and 15
120 controls were excluded due to lacking information concerning the active smoking status of all family
121 members; moreover, two CD cases and eight controls were also excluded due to lacking information
122 concerning the number of cigarettes smoked by all active smoking family members. Nevertheless, all
123 the CD cases and controls who had not provided the aforementioned pieces of information were
124 included anyways, after having assumed the missing values being either zero or negative from the
125 negative response to the active smoking status. Furthermore, for simplicity concerns, all the UC cases
126 were excluded in order to focus solely on the contribution from the inhalation pathway; the
127 relationship between tobacco exposure and pediatric UC will be discussed elsewhere via an ingestion
128 pathway-related hypothesis.

129 Univariable associations between family smoking and pediatric CD were compared using
130 Fisher's exact test and *t*-test for the categorical and quantitative variables, respectively. A *p*-value <
131 0.05 was considered statistically significant. Logistic regression analysis was used to estimate the
132 odds ratios (ORs) and 95% confidence intervals (CIs).

133 To assess the influence of familial passive smoking, we assumed a dose-response relationship
134 between the inhalation intake of passive smoke and the development of pediatric CD. Generally, the
135 inhalation intake of passive smoke (*D*) is proportional to the child's peripheral air concentration of
136 tobacco smoke (C_A), which can be expressed by the following equation [19]:

$$D = B\tau C_A \quad (1)$$

137 where *B* is the child's breathing rate and τ is the exposure duration. We assumed that the child's
138 peripheral air concentration of tobacco smoke is proportional to the number of cigarettes smoked in
139 front of the child, so that the child's peripheral air concentration of tobacco smoke can be written as
140 follows:

$$C_A = a \sum_j n_j \quad (2)$$

141 where j denotes a family member (father = 1, mother = 2, sibling = 3, and grandparent = 4), n_j is the
 142 number of cigarettes smoked in front of the child, and a is a proportionality factor. We also assumed
 143 that the number of cigarettes smoked in front of the child is proportional to the number of cigarettes
 144 smoked in a day N_j , so that the equation (2) can be rewritten as follows:

$$C_A = \sum_j \alpha_j N_j SHS_j \quad (3)$$

145 where α_j is the redefined proportionality factor for the family member j , and SHS_j is the answer to
 146 the question related to passive smoking (Yes = 1 or No = 0). Consequently, the dose–response
 147 relationship model in this study can be expressed as follows [20,21]:

$$\ln\left(\frac{p(D)}{1-p(D)}\right) = \beta_0 + \beta_1 D = \beta_0 + \beta_1 B \tau \sum_j \alpha_j N_j SHS_j \quad (4)$$

148 where $p(D)$ is the probability of developing pediatric CD, and β_0 and β_1 are the regression
 149 coefficients. Furthermore, since the child's breathing rate and exposure duration vary among
 150 different individuals, we assumed that the mean values of those could be determined; as a result, the
 151 equation (4) can be expressed more simply as follows:

$$\ln\left(\frac{p(D)}{1-p(D)}\right) = \beta_0 + \sum_j \beta_j N_j SHS_j \quad (5)$$

152 As described later, the sex-adjusted model can be expressed by the following equation:

$$\ln\left(\frac{p(D)}{1-p(D)}\right) = \beta_0 + \beta_1 N_1 SHS_1 + \beta_2 N_2 SHS_2 + \beta_3 N_3 SHS_3 + \beta_4 N_4 SHS_4 + \beta_5 Sex. \quad (6)$$

153 All statistical analyses were performed using IBM SPSS Statistics V25 (IBM Corp., Armonk, NY).

154 As a sensitivity analysis, multiple imputation analysis was performed using expectation
 155 maximization (EM) with the bootstrapping method in order to deal with missing data. Further, to
 156 perform the dose–response relationship model calculations in R, we defined the product $N_j SHS_j$ as
 157 a new variable—defined hereafter as passive smoking from each family member (cigarettes in a
 158 day)—in the dose–response models. The missing values of passive smoking were imputed under a
 159 missing at random assumption. Since the $N_j SHS_j$ values are not negative integers, a log–linear
 160 transformation could be implemented. We independently analyzed 10 EM imputed after
 161 bootstrapped datasets in the dose–response models described in the equations (5) and (6). These
 162 analyses were performed using EZR v1.40, a graphical user interface for R v3.5.2 [22]. Further, the
 163 Amelia II package [23] was used for multiple imputations; we averaged the estimates of the variables
 164 to a single mean estimate and, subsequently, adjusted standard errors according to Rubin's rules, by
 165 using mice adds and mice packages in R [24,25].

166 2.4. Ethical considerations

167 This study was approved by the institutional review board of the Dokkyo Medical University
 168 (No. dmu27008), as well as by the local ethics committees of each involved hospital. Written informed
 169 consent was obtained from all patients and controls.

170 3. Results

171 Regarding the characteristics of the 22 CD patients and 135 controls, there were no significant
 172 differences in either the age at recruitment, number of active smokers in the family, or number of
 173 persons causing passive smoking; in contrast, a significant difference was detected in terms of sex
 174 ($p=0.039$) (Table 1). The median age at CD diagnosis was 12 years (range 0–14). Besides, one or more

175 smokers smoked in front of the child—the definition of “passive smoking” in this study—more
 176 frequently in the control group than in the CD group.

177 **Table 1.** General characteristics of the study participants analyzed in CD cases and controls.

Characteristics	CD (n=22)	Controls (n=135)	p-value ¹
Sex, n (%)			
Male	15 (68.2)	59 (43.7)	0.039
Female	7 (31.8)	76 (56.3)	
Age at recruitment, years			
Mean (SD)	14.4 (3.5)	15.6 (4.0)	0.166
Median (Range)	14.5 (6–22)	18 (4–24)	
Age at diagnosis, years			
Mean (SD)	10.8 (3.8)		
Median (Range)	12 (0–14)		
Family smoking, n (%)			
One or more smokers	17 (77.3)	100 (74.1)	1.000
No smoker	5 (22.7)	35 (25.9)	
Smoking in front of the child, n (%)			
Yes (one or more smokers)	5 (22.7)	61 (45.2)	0.062
No	17 (77.3)	74 (54.8)	

178 CD, Crohn’s disease; SD, standard deviation.

179 ¹ p-value from Fisher’s exact test (sex, smoking) or *t*-test (age).

180 Table 2 shows the results of the univariable analyses about active and passive smoking as well
 181 as the number of cigarettes smoked in a day by each family member. Albeit no significant association
 182 was found, maternal active and passive smoking seemed to be related to an increased risk of pediatric
 183 CD (active smoking: OR, 1.87; 95% CI, 0.69–5.03; passive smoking: OR, 2.09; 95% CI, 0.61–7.10). The
 184 frequency of a smoking father was about twice that of a smoking mother or grandparent. The ratios
 185 were nearly identical between the CD and controls groups. The number of cigarettes smoked by the
 186 father was much higher than the number smoked by the mother or the grandparent. However, there
 187 was no significant association between paternal smoking and the risk of pediatric CD. The presence
 188 of a smoking sibling was extremely low, i.e. only four in the control group was observed.

189 **Table 2.** Comparison of active and passive smoking and number of cigarettes smoked by each
 190 family member in pediatric CD compared with controls: univariable analysis.

	CD (n=22)	Controls (n=135)	p-value ¹	OR (95% CI)
	Smoking, n (%)			
Father	13 (59.1)	86 (63.7)	0.812	0.82 (0.33–2.06)
Mother	7 (31.8)	27 (20.0)	0.263	1.87 (0.69–5.03)
Sibling	0 (0.0)	4 (3.0)	1.000	–
Grandparent	7 (31.8)	38 (28.1)	0.800	1.19 (0.45–3.15)
	Smoking in front of the child, n (%)			
Father	4 (18.2)	50 (37.0)	0.095	0.38 (0.12–1.18)
Mother	4 (18.2)	13 (9.6)	0.263	2.09 (0.61–7.10)
Sibling	0 (0.0)	1 (0.7)	1.000	–
Grandparent	1 (4.5)	19 (14.1)	0.312	0.29 (0.04–2.29)
	Number of cigarettes smoked, cigarettes/day (SD)			
Father	10.1 (11.1)	10.4 (9.6)	0.905	1.00 (0.95–1.04)
Mother	4.0 (7.8)	2.4 (5.3)	0.253	1.04 (0.97–1.11)
Sibling	0.0 (0.0)	0.3 (2.0)	0.506	–
Grandparent	5.0 (8.6)	5.1 (11.0)	0.952	1.00 (0.96–1.04)

191 CD, Crohn's disease; OR, odds ratio; CI, confidence interval; SD, standard deviation.

192 ¹ p-value from Fisher's exact test (active smoking, smoking in front of the child) or *t*-test (number of cigarettes
193 smoked).

194 Table 3 indicates the results of the dose–response relationship model. Passive smoking from the
195 mother was significantly associated with pediatric CD (OR, 1.17 [cigarettes/day]; 95% CI, [1.04–1.31]),
196 even after sex-adjustment (adjusted OR, 1.16 [cigarettes/day]; 95% CI, [1.04–1.30]); besides, sex did
197 not remain significant in the multivariable dose–response model (adjusted OR, 2.62; 95% CI, [0.97–
198 7.10]). Furthermore, the results of the Hosmer–Lemeshow test were not statistically significant either
199 in the unadjusted ($\chi^2 = 1.21$, $p = 0.876$) or sex-adjusted ($\chi^2 = 3.03$, $p = 0.805$) dose–response models.

200 **Table 3.** Crude and multivariable odds ratios and 95% confidence intervals in the dose–response
201 relationship models between pediatric CD and passive smoking.

	Crude OR (95% CI)	Multivariable OR (95% CI)
Source of passive smoking (cigarettes/day)		
Father	0.92 (0.85–1.01)	0.92 (0.84–1.00)
Mother	1.17 (1.04–1.31)	1.16 (1.04–1.30)
Sibling	–	–
Grandparent	0.89 (0.75–1.05)	0.89 (0.75–1.06)
Sex		
Male	–	2.62 (0.97–7.10)

202 CD, Crohn's disease; OR, odds ratio; CI, confidence interval.

203 Table 4 shows the results of sensitivity analysis. Passive smoking from the mother was
204 significantly associated with pediatric CD (OR, 1.10 [cigarettes/day]; 95% CI, [1.01–1.20]), even
205 following sex-adjustment (adjusted OR, 1.10 [cigarettes/day]; 95% CI, [1.00–1.20]). Sex remained
206 significant in the multiple imputation analysis using EM with the bootstrapping method unlike the
207 listwise deletion results in Table 3.

208 **Table 4.** Sensitivity analyses of the dose–response models between pediatric CD and passive smoking.

	Crude OR (95% CI)	Multivariable OR (95% CI)
Source of passive smoking (cigarettes/day)		
Father	0.99 (0.94–1.03)	0.98 (0.93–1.03)
Mother	1.10 (1.01–1.20)	1.10 (1.00–1.20)
Sibling	1.06 (0.68–1.66)	1.10 (0.67–1.79)
Grandparent	0.91 (0.80–1.03)	0.92 (0.81–1.04)
Sex		
Male	–	3.52 (1.44–8.59)

209 CD, Crohn's disease; OR, odds ratio; CI, confidence interval.

210 4. Discussion

211 The present study identified an association between passive smoking from the mother and the
212 development of pediatric CD. Although the answers to the binary questionnaires failed to reveal any
213 significant associations between passive smoking and pediatric CD, the results from the dose–

214 response model support our hypothesis that passive smoking is a risk factor for the development of
215 Japanese pediatric CD.

216 Notably, pieces of evidence that passive smoking increases the risk of pediatric or adolescent-
217 onset CD are being gradually accumulated, although yet not conclusive. A previous age- and sex-
218 matched case-control study reported an association between passive smoking and an increased risk
219 of pediatric CD with a dose-response effect [14]. Similarly, in the present study, a dose-response
220 relationship between passive smoking from the mother and the development of pediatric CD was
221 observed. Furthermore, with regards to Japanese pediatric CD, a significant difference in sex
222 distribution (i.e., male-to-female ratio = 1.8 [1,266/689]) was previously reported [26]; consistently, the
223 male-to-female ratio in the present study was 2.1 (15/7).

224 Remarkably, passive smoking from one or more family members was inversely associated with
225 pediatric CD (Table 1); the same trends were seen also with regards to passive smoking from the
226 father or grandparent (Table 2). A recent Japanese case-control study only reported a positive
227 significant association between passive smoking and CD [17]; in fact, these results seem to be
228 inconsistent with those of the present study. In Japan, among smokers, the percentage of fathers and
229 mothers who smoked indoors were 57% (= 16,131/28,314) and 70% (= 5,379/7,642), respectively, in
230 2001 [27], and 36% (= 295/822) and 64% (= 527/823), respectively, in 2014 [28]. As shown in Table 2,
231 among the smokers, the percentage of fathers who smoked in front of the children in the CD and
232 control groups was 31% and 58%, respectively, consistently with the aforementioned indoor smoking
233 studies; however, the value in the control group (58%) is much larger than that of the CD group both
234 in this study (31%) and in the study from 2014 (36%). On the other hand, the percentages of mothers
235 who smoked in front of the children among the smoking mothers were 57% in the CD group and 48%
236 in the control group, thus in good agreement with each other as well as with the previous indoor
237 smoking studies (70% and 64%). A possible explanation could be that the statistical fluctuation in the
238 present study due to its small sample might have resulted in an increased percentage of fathers who
239 smoked in front of the children in the control subjects among the smokers. Besides, we did not have
240 the information on how many families lived with their grandparents, which may have additionally
241 influenced the present results. Consequently, we introduced the dose-response relationship models
242 to thoroughly investigate the effects of passive smoking on the development of pediatric CD.

243 Importantly, the effect of passive smoking is directly associated with its inhalation intake yet not
244 with behavioral aspects, such as smoking in front of the child; therefore, our dose-response
245 relationship models described in the equations (5) and (6) could accurately assess the effect of passive
246 smoking. In such models, the inhalation intake of passive smoking was estimated by using the child's
247 peripheral air concentration of tobacco smoking. Nonetheless, the information of the concentration
248 of tobacco smoke in the air was never available; thus, we needed to estimate it using the number of
249 cigarettes actively smoked in a day by each family member, by introducing proportionality factors
250 (α_j). As a result, ORs smaller than 1 reflected a weaker contribution to the contamination with tobacco
251 smoking of the child's peripheral air. As shown in Table 3, only passive smoking from the mother is
252 a statistically significant factor, with an OR larger than 1. This result indicates that passive smoking
253 from the mother was predominantly associated with the development of pediatric CD, as the number
254 of actively smoked cigarettes by mothers habitually smoking in front of their child was significantly
255 proportional to the risk of pediatric CD. Based on common sense, the mother spends more time with
256 her child than the father. Indeed, Hsin and Felfe reported that the time spent by the child with the
257 mother was about 1.29–2.03 times longer than the time spent with the father [29]. As described above,
258 among smokers, the percentage of mothers smoking indoors was much higher than that of fathers
259 [27,28]. Accordingly, the present results could be explainable on the basis of the senses, so that we
260 can conclude that passive smoking from the mother is one of the most relevant factors associated
261 with the risk of developing pediatric CD.

262 Listwise deletion was performed in the dose-response relationship models: as a result, we
263 excluded about 8 CD cases (27%) and 23 control subjects (15%). Therefore, as a sensitivity analysis,
264 we performed multiple imputation analyses under missing at random assumption. As seen in Table
265 4, passive smoking from the mother was still significantly associated with pediatric CD.

266 Consequently, listwise deletion would be rationalized, and we adopted its results as the results of the
267 present study.

268 Nevertheless, this study has some limitations. Firstly, since the study sample was rather small,
269 its results may not be strongly inferable. However, the main aim of this study was to verify the
270 association between passive smoking and the risk of pediatric CD. In fact, passive smoking from the
271 mother was significantly associated with pediatric CD in the dose–response relationship models;
272 therefore, maternal smoking cessation is an essential preventive intervention for pediatric CD.
273 Secondly, since this was a retrospective study, the possibility of recall bias has to be considered.
274 However, as smoking is one of the common and important events, the present results may be
275 valuable for further studies of passive smoking and pediatric CD. Thirdly, the exposure dose of
276 passive smoking was only estimated indirectly by using the number of actively smoked cigarettes.
277 Because of the still low incidence rate of pediatric CD in Japan, direct measurements of passive
278 smoking exposure are technically not feasible. However, follow-up studies would still be necessary.
279 Fourthly, approximately 50% of the control subjects were university or college students. However,
280 since university and college students in urban areas in Japan generally come from various places, the
281 residential area might not be an issue. Fifthly, our passive smoking definition was limited to smoking
282 in front of the child; in contrast, in a previous study, passive smoking was defined as smoking at least
283 five cigarettes per day by a parent or sibling who lived in the same house with a case or control subject
284 at the time of symptom onset in the study [14]. Thus, our relatively loose definition of passive
285 smoking in the binary questionnaires may be the cause of no significant differences in passive
286 smoking from the mother. However, as discussed before, our dose–response relationship models
287 yielded results consistent therewith.

288 5. Conclusions

289 In conclusion, the present study revealed that passive smoking from the mother might be
290 associated with the risk of developing pediatric CD, hence the preventive role of maternal smoking
291 cessation. Nonetheless, follow-up studies comprising environmental measurements of passive
292 smoking exposure doses and genetic factors interaction analysis are still necessary.

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