

1
2 **CYP2D6*10 polymorphism and the enantioselective O-desmethylation**
3 **of S-(+)- and R-(-)-venlafaxine in Japanese psychiatric patients**
4

5 Taro Sasaki¹, Norio Yasui-Furukori^{1,2}, Hazuki Komahashi-Sasaki¹, Masataka Shinozaki¹, Yuki
6 Hayashi¹, Kazuko Kato², Yoshimasa Inoue¹, Shoko Tsuchimine³, Takashi Watanabe¹, Norio
7 Sugawara¹, Kazutaka Shimoda¹
8

9 ¹Department of Psychiatry, Dokkyo Medical University School of Medicine, 880 Kitakobayashi,
10 Mibu-machi, Shimotsuga, Tochigi 321-0293, Japan

11 ²Department of Neuropsychiatry, Hirosaki University, Postgraduate School of Medicine, Hirosaki,
12 036-8562, Japan

13 ³Sakura La Mental Clinic, 6-13-16 Youtou, Utsunomiya, Tochigi 321-0904, Japan

14 ⁴National Center of Neurology and Psychiatry, 4-1-1 Ogawa-Higashi, Kodaira, Tokyo 187-8551,
15 Japan
16
17

18 Correspondence: Norio Yasui-Furukori, Department of Psychiatry, Dokkyo Medical University
19 School of Medicine, 880 Kitakobayashi, Mibu-machi, Shimotsuga, Tochigi 321-0293, Japan

20 Tel +81 282 86 1111 Fax: +81 282 86 5187

21 Email: furukori@dokkyomed.ac.jp
22

23 **Abstract**

24 According to previous studies, R-(-)-venlafaxine (VEN) has higher enantioselectivity
25 than S-(+)-VEN, and the plasma concentration of R-(-)-VEN varies depending on
26 CYP2D6 activity.

27 Therefore, we examined the pharmacokinetic effects of *CYP2D6*10* genotypes on the
28 steady-state concentrations of the enantiomers of VEN. The individuals were 71 Japanese
29 depressed patients treated with racemic VEN. The concentrations of the enantiomers of
30 VEN and O-desmethylvenlafaxine (ODV) were measured. Polymerase chain reaction
31 (PCR) was used to determine the *CYP2D6*10* genotypes. The plasma concentrations of
32 S-(+)-VEN were approximately 1.9-fold higher than those of R-(-)-VEN. The plasma
33 concentrations of S-(+)-VEN and R-(-)-VEN seemed to be higher in individuals with
34 two mutant alleles of *CYP2D6*10*, although no significant differences were found in the
35 plasma levels of S-(+)-VEN and R-(-)-VEN between *CYP2D6*10* genotypes. The
36 number of mutant alleles of *CYP2D6*10* was a significant factor associated with the R-
37 (-)-ODV/R-(-)-VEN ratio ($p=0.004$) in multiple regression analysis. This suggests that
38 *CYP2D6*10* mutations affect the metabolism of R-(-)-VEN and S-(+)-VEN. Further
39 studies are needed to examine how these findings affect clinical practice.

40

41 **Keywords**

42 *CYP2D6*10*, enantioselectivity, venlafaxine, depression, pharmacokinetics

43

44

45 **Introduction**

46 The enantiomers of enantioselective chemicals are known to have different
47 pharmacological effects. Ignoring enantioselectivity when considering the use of drugs
48 based on the totality of pharmacokinetics, pharmacology, pharmacodynamics, and
49 toxicology can lead to a wide range of undesirable consequences. For example,
50 thalidomide is synthesized as a racemic mixture of equal amounts of S-(+)-thalidomide
51 and R-(-)-thalidomide, where R-(-)-thalidomide is hypnotic and S-(+)-thalidomide is
52 teratogenic. [1, 2] For this reason, in recent years, the field of pharmacological research
53 has begun to monitor the blood levels of biological samples, and much attention has been
54 given to measuring enantiomeric concentrations to assess their toxicity and their effects
55 on humans for treatment. Venlafaxine (VEN) is used clinically for depressed patients as
56 a mixture of racemic S-(+)-enantiomers and R-enantiomers. Gex-Fabry et al.
57 hypothesized that S-(+)-VEN selectively inhibits serotonin reuptake, whereas R-(-)-VEN
58 inhibits both serotonin and noradrenaline uptake, [3] although there are no direct data on
59 the differences in the pharmacological properties between the enantiomers of VEN.

60 The main metabolic pathway of VEN involves its metabolism to O-
61 desmethylvenlafaxine (ODV) (Figure 1). [4] In addition to ODV, the metabolites of VEN
62 include N-desmethylvenlafaxine (NDV) and N-O-desmethylvenlafaxine (DDV), which
63 are produced by the enzymes encoded by CYP2D6 and CYP3A and by CYP2C19 and
64 CYP2C9. [3, 5-8] ODV has similar pharmacological activity to the unchanged forms of
65 VEN, whereas NDV and DDV are significantly less active. [9-12] Otton et al. used
66 microsomes of human hepatocytes and yeast cells expressing human CYP2D6 cDNA to
67 perform O-demethylation of VEN enantiomers. Using the kinetic parameters maximum
68 velocity (V_{max}) and Michaelis constant (K_m), the V_{max}/K_m ratio was measured to

69 predict the relative hepatic metabolic clearance in vivo, and R-(-)-VEN showed 1.5- to 2-
70 fold higher enantioselectivity than S-(+)-VEN. On the other hand, S-(+)-VEN showed
71 faster N-demethylation by CYP3A than R-(-)-VEN, but the degree of enantioselectivity
72 was less than 2-fold higher than that of R-(-)-VEN. [7] CYP2D6 activity ranges
73 considerably within a population and includes ultrarapid metabolizers (UMs), extensive
74 metabolizers (EMs), intermediate metabolizers (IMs) and poor metabolizers (PMs). Eap
75 et al. showed that quinidine, a CYP2D6 inhibitor, decreased the oral clearance of S-(+)-
76 VEN and R-(-)-VEN by 4-fold and 12-fold, respectively. [13] The oral clearance of R-
77 (-)-VEN was 9-fold higher ($p < 0.005$) in the CYP2D6 EM group than in the PM group,
78 whereas the oral clearance of S-(+)-VEN was only 2-fold higher in the former group
79 ($p < 0.05$), [13] suggesting that CYP2D6 has enantioselectivity in the O-demethylation of
80 VENs. In addition, based on these findings, the plasma concentrations of S-(+)-VEN are
81 less affected by CYP2D6 activity, whereas those of R-(-)-VEN vary depending on
82 CYP2D6 activity. However, there has been no information on the enantioselectivity of
83 VEN pharmacokinetics in depressed patients receiving VEN.

84 The important variants of CYP2D6 are *CYP2D6*2*, **3*, **4*, **5*, **10*, **17* and **41*.
85 Among them, **10* (40.87%) is found to be most important in Japanese individuals because
86 of the high allele frequency in Asia. [14] A previous study discussed the effects of
87 *CYP2D6*10* gene polymorphisms on VEN metabolism in healthy Japanese subjects. [15]
88 However, no studies have examined the pharmacokinetics of the steady-state VEN
89 enantiomers S-(+)-VEN and R-(-)-VEN in clinical settings. Therefore, we examined the
90 pharmacokinetic effects of the *CYP2D6*10* genotype on the steady-state concentrations
91 of the enantiomers of VEN.

92

93 **Materials and methods**

94 The study was conducted in accordance with the Basic & Clinical Pharmacology &
95 Toxicology policy for experimental and clinical studies. [16]

96 **Patients**

97 Ninety-one blood samples were collected from 78 Japanese psychiatric patients. Of the
98 78 subjects, however, 3 subjects whose drug concentrations were below the limit of
99 quantification (LOQ) were excluded, and the data from the remaining 75 patients (28 men,
100 47 women; age median, 49.0 years, range, 20-84 years; body weight median, 56.0 kg,
101 range, 31.02–130.0 kg) were subjected to statistical analysis. Fifty subjects were
102 psychiatric patients at Dokkyo Medical University Hospital, 17 subjects were psychiatric
103 patients at Hirosaki University Hospital, 6 subjects were patients at Tochigi Prefectural
104 Okamoto Hospital, and 2 were patients at Sakura La Mental Clinic. To confirm the
105 effect of only *CYP2D6*10*, we analyzed the subjects (n=71) with *CYP2D6*10* and
106 without *CYP2D6*5* with valid data (Table 1). The dosages of VEN (venlafaxine HCl;
107 Effexor®; Pfizer Japan Inc., Tokyo, Japan) administered were 37.5–225 mg/day (median,
108 75.00 mg/day) or 0.2885–7.253 mg/day/kg body weight (median, 1.442 mg/day/kg body
109 weight) (Table 1). The same daily dose was maintained for more than 1 week to achieve
110 steady-state plasma levels. If several samples had been taken from the same patient,
111 priority was given to the most recently collected sample. Patients receiving neuroleptics
112 or barbiturates as subordinate prescriptions were excluded. No additional antidepressants,
113 including tricyclic antidepressants or selective serotonin reuptake inhibitors (e.g.,
114 paroxetine, fluvoxamine), were allowed. Benzodiazepines at common doses were
115 allowed for sleep disturbances or anxiety. Out of 71 patients, 5 patients (7.04%) were
116 smokers, and 66 (92.96%) were nonsmokers (Table 1). Patients with a severe general

117 medical condition or major abnormal laboratory findings were excluded. Psychiatric
118 diagnoses were made using the DSM-5 criteria, resulting in a diagnosis of major
119 depressive disorder in 57 patients, bipolar affective disorder in 1 patient, panic disorder
120 in 3 patients, adjustment disorder in 1 patient, social anxiety disorder in 3 patients,
121 generalized anxiety disorder in 1 patient, unspecified anxiety disorder in 4 patients, and
122 dementia in 1 patient.

123 This study was approved by the Ethics Committees of Dokkyo Medical University
124 Hospital, Hirosaki University Hospital, Tochigi Prefectural Okamoto Hospital and
125 Sakura La Mental Clinic. Written informed consent to participate in this study was
126 obtained from the patients or their families prior to the study.

127

128 **Blood sampling**

129 Patients were maintained on racemic venlafaxine for over 1 week. Nine to thirteen
130 hours after the last evening dose (postdose sampling time = 10.0 ± 1.47 h), 10 mL of
131 venous blood was collected using Venoject® tubes containing heparin-Na (Terumo Japan,
132 Tokyo, Japan) and centrifuged at $3000 \times g$ for 10 min. Separated plasma and cell fractions
133 were aliquoted and stored frozen at -80 °C until assayed.

134

135 **Determination of drug concentration**

136 Steady-state plasma concentrations of S-(+)-VEN, R-(-)-VEN, S-(+)-ODV, and R-(-)-
137 ODV were measured using stereoselective liquid chromatography for mirtazapine
138 enantiomers described by Paus et al. with minor modifications. [17] The assay standards
139 of S-(+)-VEN, R-(-)-VEN, S-(+)-ODV, and R-(-)-ODV were purchased from Santa Cruz,
140 Inc. (Santa Cruz, CA, USA). The high-performance liquid chromatography (HPLC)

141 system consisted of an LC-10A pump system (Shimadzu Corporation, Kyoto, Japan), an
142 AS-(+)-8020 autosample processor (Tosoh Corporation, Tokyo, Japan), and an SPD-10A
143 ultraviolet detector (Shimadzu Corporation, Kyoto, Japan) equipped with an Astec
144 Chirobiotic V stainless column, 250 × 4.6 mm, 5- μ m particle size (Supelco, PA, USA).
145 The HPLC system was set to a flow rate of 0.6 mL/min, and the solvent (mobile phase)
146 was a methanol-ethanol-0.02 mol/L potassium dihydrogen phosphate solution (20:10:70,
147 vol/vol/vol).

148 One hundred microliters of 8-OH-mirtazapine (1 μ g/mL, internal standard), 0.5 mL of
149 standard buffer solution at a pH of 10 (Nacalai Tesque, Kyoto, Japan), and 3.5 mL n-
150 heptane-chloroform (70:30, vol/vol) were added to 1 mL of heparinized plasma.
151 Extraction was performed on a rotary shaker for 10 min. After centrifugation (10 min,
152 3000 × g), the organic phase was transferred to a new tube and evaporated to dryness by
153 centrifugal vacuum evaporation. The residue was dissolved in 0.3 mL of the mobile phase,
154 and 100 μ L was injected into the HPLC system.

155 The LOQ of each enantiomer of VEN and ODV was 2.5 ng/mL. Measured
156 concentrations of less than the LOQ were considered to be 2.5 ng/mL. Linearity was
157 confirmed between 5 ng/mL and 150 ng/mL for S-(+)-VEN, R-(-)-VEN, S-(+)-ODV, and
158 R-(-)-ODV. The intraassay coefficients of variation for S-(+)-VEN, R-(-)-VEN, S-(+)-
159 ODV, and R-(-)-ODV were 2.3–2.5%, 2.2–2.7%, 2.2–2.4%, and 2.2–2.3%, respectively.
160 The interassay coefficients of variation for S-(+)-VEN, R-(-)-VEN, S-(+)-ODV, and R-
161 (-)-ODV were 5.6–8.6%, 5.9–8.5%, 5.4–7.8%, and 5.2–8.1%, respectively.

162

163 **CYP2D6 genotyping**

164 DNA was isolated from the cell fraction of each blood sample using a QIAamp Blood

165 Kit (QIAGEN Inc., Valencia, CA). The *CYP2D6*1*, *CYP2D6*2* and *CYP2D6*10* alleles
166 were identified using the mutation-specific polymerase chain reaction (PCR)
167 amplification method described by Johansson et al. [18]. The *CYP2D6*5* allele was
168 identified using the long-PCR analysis described by Steen et al. [19]

169

170 **Statistical analysis**

171 Three subjects whose plasma levels of S-(+)-VEN, R(-)-VEN, S-(+)-ODV and R(-)-
172 ODV were below the LOQ were classified as nonadherent and were excluded from
173 analysis, resulting in a total of 75 patients. To confirm the effect of only *CYP2D6*10*, we
174 analyzed the subjects without *CYP2D6*5* with valid data (Table 1).

175 The dosage of VEN administered, the plasma concentrations of S-(+)-VEN, R(-)-VEN,
176 S-(+)-ODV, and R(-)-ODV (corrected for dose and body weight), and the S-(+)-ODV/S-
177 (+)-VEN and R(-)-ODV/R(-)-VEN ratios among subjects with different numbers of
178 mutant alleles of *CYP2D6*10* were compared using the Kruskal-Wallis test. The
179 Kolmogorov-Smirnov test was used to assess the normality of the distribution of the data.
180 The chi-square and Kruskal-Wallis tests and one-way analysis of variance (ANOVA)
181 were performed to compare sex, age, smoking status, and body weight among genotypes.
182 Stepwise multiple regression analysis was performed to analyze the relationship between
183 independent variables (sex, age, smoking status, and number of mutant alleles of
184 *CYP2D6*10*) and subject-dependent variables (plasma concentrations of S-(+)-VEN, R-
185 (-)-VEN, S-(+)-ODV, and R(-)-ODV (all corrected for dose and body weight) and the S-
186 (+)-ODV/S-(+)-VEN and R(-)-ODV/R(-)-VEN ratios). Dummy variables were given
187 for sex (male=0, female=1) and smoking status (nonsmoker=0, smoker=1) as independent
188 variables. All statistical tests were two-tailed, and p values of <0.05 were considered

189 significant. Statistical analyses were conducted using IBM SPSS statistics software
190 version 26.0 (Japan IBM, Tokyo, Japan) and GraphPad Prism® version 8.42 (GraphPad
191 Software Inc., San Diego, CA, USA).

192

193 **Results**

194 The CYP2D6 genotypes were determined for each of the 75 subjects: *CYP2D6*1/*1*
195 (*n* = 23), *CYP2D6*1/*2* (*n* = 11), *CYP2D6*2/*2* (*n* = 1), *CYP2D6*1/*5* (*n* = 2),
196 *CYP2D6*1/*10* (*n* = 17), *CYP2D6*2/*10* (*n* = 7), *CYP2D6*10/*10* (*n* = 12), and
197 *CYP2D6*5/*10* (*n* = 2).

198 Demographic characteristics, dosage of VEN administered, and plasma concentrations
199 of enantiomers of VEN and its metabolites are shown in Table 1.

200 Positive and significant relationships between the daily dose of VEN (corrected for
201 body weight) and the plasma concentrations of S-(+)-VEN (*r*=0.452, *p*<0.001), R-(-)-
202 VEN (*r*=0.450, *p*<0.001), S-(+)-ODV (*r*=0.390, *p*=0.001) and R-(-)-ODV were found
203 (*r*=0.326, *p*=0.006) (Figure 2).

204 The plasma levels of S-(+)-VEN and R-(-)-VEN seemed to be higher in subjects with
205 two mutant alleles of CYP2D6 (22.5 ng/mL/mg/kg and 10.08 ng/mL/mg/kg, respectively)
206 than in those with no mutant alleles (10.3 ng/mL/mg/kg and 3.2 ng/mL/mg/kg,
207 respectively); there was a significant difference in the S-(+)-VEN but not in the R-(-)-
208 VEN (S-(+)-VEN, *p*=0.048; R-(-)-VEN, *p*=0.054, Kruskal-Wallis test) (Table 2). The
209 plasma levels of R-(-)-ODV (corrected for dose and body weight) appeared to be lower
210 in subjects with two mutant alleles of CYP2D6 (R-(-)-ODV=12.9 ng/mL/mg/kg) than in
211 those with no mutant alleles (R-(-)-ODV=34.9 ng/mL/mg/kg); however, the difference
212 was not significant (R-(-)-ODV, *p*=0.216, Kruskal-Wallis test) (Table 2).

213 The S-(+)-ODV/S-(+)-VEN and R-(-)-ODV/R-(-)-VEN ratios decreased as the number
214 of CYP2D6 mutant alleles increased (S-(+)-ODV/S-(+)-VEN, $p=0.003$; R-(-)-ODV/R-
215 (-)-VEN, $p=0.005$, Kruskal-Wallis test) (Table 2). A post hoc test revealed that the S-(+)-
216 ODV/S-(+)-VEN and R-(-)-ODV/R-(-)-VEN ratios in subjects with no mutant alleles of
217 CYP2D6 were significantly higher than those in subjects with one mutant allele ($p=0.006$
218 and $p=0.015$, respectively; Mann-Whitney U test) (Figure 3). Additionally, the S-(+)-
219 ODV/S-(+)-VEN and R-(-)-ODV/R-(-)-VEN ratios in subjects with no mutant alleles of
220 CYP2D6 were significantly higher than those in subjects with two mutant alleles
221 ($p=0.008$ and $p=0.005$, respectively; Mann-Whitney U test) (Figure 3). On the other hand,
222 a post hoc test revealed that the S-(+)-ODV/R-(-)-ODV ratio in subjects with no mutant
223 alleles of CYP2D6 was significantly lower than that in subjects with one mutant allele
224 ($p=0.025$, Mann-Whitney U test, Figure 4). Additionally, the S-(+)-ODV/R-(-)-ODV in
225 subjects with no mutant alleles of CYP2D6 was significantly lower than that in subjects
226 with two mutant alleles ($p=0.025$, Mann-Whitney U test) (Figure 4).

227 The number of mutant alleles was a significant factor associated with the corrected
228 plasma concentration of S-(+)-VEN ($p=0.007$) in stepwise multiple regression analysis
229 (Table 3). Sex was a significant factor associated with the corrected plasma concentration
230 of S-(+)-ODV ($p=0.0011$) (Table 3). The number of mutant alleles was a significant factor
231 associated with the S-(+)-ODV/S-(+)-VEN ratio ($p=0.008$) and the R-(-)-ODV/R-(-)-
232 VEN ratio ($p=0.008$) (Table 3). Sex and the number of mutant alleles were significantly
233 correlated with the S-(+)-ODV/R-(-)-ODV ratio ($p=0.043$ and 0.010 , respectively) (Table
234 3).

235 To confirm the effect of another CYP2D6 genotype, we analyzed subjects ($n=4$) with
236 the *CYP2D6*5* allele using the same procedures. The results including subjects ($n=75$)

237 with either *CYP2D6**5 or *10 showed the same tendencies as described above.

238

239 **Discussion**

240 The results of this study showed that the R-(-)-ODV/R-(-)-VEN ratio was significantly
241 lower in the *CYP2D6* mutant allele group. In addition, multiple regression analysis
242 showed a significant correlation between the number of *CYP2D6**10 mutant alleles and
243 the R-(-)-ODV/R-(-)-VEN ratio. Although the result did not reach statistical significance,
244 an increasing trend in the plasma concentration of R-(-)-ODV with the number of
245 *CYP2D6**10 mutant alleles was observed. Therefore, these findings suggest that the main
246 pathway of the metabolism of R-(-)-VEN to R-(-)-ODV is affected by the *CYP2D6**10
247 gene polymorphism in Japanese subjects. On the other hand, there were significant
248 differences in the S-(+)-ODV/S-(+)-VEN ratio among *CYP2D6* genotype groups,
249 although there was no association between the number of *CYP2D6**10 mutant alleles and
250 the S-(+)-ODV/S-(+)-VEN ratio. Multiple regression analysis revealed an association
251 between the number of *CYP2D6**10 mutant alleles and the S-(+)-ODV concentration.
252 Furthermore, an increasing trend in the plasma concentration of S-(+)-ODV with the
253 number of *CYP2D6**10 mutant alleles was also observed. Therefore, the metabolism of
254 S-(+)-VEN to S-(+)-ODV is, to some extent, also affected by the *CYP2D6**10 gene
255 polymorphism, although no clear enantioselectivity of O-desmethylation of venlafaxine
256 was found. These findings are in line with an in vitro study using yeast transformed with
257 an expression plasmid containing human *CYP2D6* cDNA showing that R-(-)-VEN and
258 S-(+)-VEN are metabolized by *CYP2D6*, and that R-(-)-VEN shows 1.5- to 2-fold higher
259 enantioselectivity than S-(+)-VEN. [7]

260 This is the first study to show the significant effect of *CYP2D6**10 on the O-

261 demethylation of the enantiomers of VEN in a clinical setting. However, because of
262 interindividual variations in the enantiomers of VEN and ODV, factors other than
263 *CYP2D6**10 should be investigated to predict plasma drug concentrations. Karlsson et al.
264 investigated the significant effects of the gene polymorphisms *CYP2D6**3, *4, *5, and *6
265 on the VEN metabolic ratio and the S/R ratio, showing that *CYP2D6* had a significant
266 effect on the ODV/VEN ratio ($p=0.003$). These results suggest that the *CYP2D6* gene
267 polymorphism affects the O-demethylation of VEN, particularly the stereoselective
268 metabolism of *CYP2D6* to R-(-)-VEN. [20] The O-demethylation rates (ODV/VEN ratio)
269 of S-(+)-VEN and R-(-)-VEN were significantly affected by *CYP2D6* gene
270 polymorphisms, which is similar to the results of a previous study. However, the S/R ratio
271 of VEN was affected by the *CYP2D6* gene polymorphism in the previous study, while
272 there was no significant difference in the S/R ratio of VEN but a significant effect on the
273 S-(+)-ODV/R-(-)-ODV ratio in the present study. This may be because *CYP2D6**4, *5,
274 and *6 were targeted as *CYP2D6* mutant alleles in previous studies, whereas this study
275 targeted mainly *CYP2D6**10.

276 Recently, a comprehensive review was published by Milosavljevic et al. Compared
277 with the *CYP2D6* EM group, significant but marginal increases in racemic VEN were
278 observed in the intermediate (IM) plus PM group (8 studies; 716 patients), although the
279 number of studies was not enough to determine this conclusion. However, this study did
280 not analyze enantiomer of VEN. [21] Further pharmacokinetic studies regarding
281 enantiomers of VEN are required to perform a meta-analysis of the association between
282 enantiomers of VEN and *CYP2D6* polymorphisms.

283 Gex-Fabry et al. measured stereoselective plasma concentrations of VEN in 35
284 depressed patients, classified them as nonresponders, transient responders, early

285 persistent responders, and delayed persistent responders, and compared them by VEN
286 racemic concentration and enantiomeric ratio. The results showed that the shortening of
287 the time to onset of therapeutic response was significantly correlated with an increase in
288 VEN+ODV plasma concentration ($p=0.023$) or a decrease in the S-(+)-VEN/R-(-)-VEN
289 ratio ($p<0.05$). [3] In the present study, *CYP2D6*10* mutated allele carriers tended to have
290 a lower S-(+)-VEN/R-(-)-VEN ratio than noncarriers. This suggests that the *CYP2D6*10*
291 mutated allele group may be more likely to achieve early therapeutic effects, while it may
292 also affect the appearance of adverse events. Furthermore, Gex-Fabry et al. investigated
293 interindividual variability in stereoselective plasma concentrations of VENs and noted
294 that R-(-)-VEN was more susceptible to impairment of O-demethylation than S-(+)-VEN,
295 suggesting that S-(+)-VEN and R-(-)-VEN exert clinically different pharmacological
296 effects. [5] Therefore, the plasma concentrations of enantiomers of VEN may provide
297 potential information.

298 Because of interindividual variations in the enantiomers of VEN and ODV in our study,
299 factors other than *CYP2D6* should be investigated to predict plasma drug concentrations.
300 Karlsson et al. suggested that the S/R ratio of VEN was also significantly affected by not
301 only *CYP2D6* but also *CYP2C19* gene polymorphisms. [20] They reported in their
302 analysis of 94 forensic autopsy cases that *CYP2C19* showed N-stereoselective
303 metabolism of S-VEN, the S/R ratio of VEN was higher and the S/R ratio of ODV was
304 lower in PM (*CYP2C19*2* holding group). [20] This suggests that the association
305 between *CYP2C19/CYP2D6* genotypes and VENs can be quantified with sufficient
306 precision to serve as a scientific basis for dosing recommendations based on
307 *CYP2D6/CYP2C19* genotypes. [20] Therefore, the influence of *CYP2C19* gene
308 polymorphisms on the pharmacokinetics of VEN, possibly its enantioselectivity, should

309 be examined in the Japanese population.

310 This study encompasses several limitations. First, we did not analyze all CYP2D6 gene
311 polymorphisms, particularly the less frequent polymorphisms. Second, the results of a
312 previous study suggested that the effect of age on VEN plasma concentrations is
313 dependent on CYP gene polymorphisms, [22] which we did not examine in the present
314 study. Third, this study did not analyze CYP2C19 gene polymorphisms and could not
315 evaluate the effect of S-VEN on conversion to S-ODV. In patients without CYP2D6
316 activity, the other metabolic pathways may play an important role, and there are potential
317 risks of drug interactions with inhibitors of CYP2C19 or CYP3A4. Fourth, we did not
318 analyze metabolites other than those produced from the main metabolic pathway (e.g.,
319 DDV) or the pathway leading to glucuronic acid conjugates. Fifth, no evaluation scales,
320 such as the Hamilton Depression Scale (HAM-D) or the Montgomery-Asberg Depression
321 Rating Scale (MADRS), were investigated. We did not evaluate adverse events using the
322 Udvalg for kliniske undersøgelser (UKU) Side Effects Rating Scale, and clinical
323 responses were not discussed, although enantioselective pharmacological function was
324 suggested. Finally, the sample size might not be enough to avoid type I errors. Plasma
325 levels of R-(-)-ODV (corrected for dose and body weight) appeared to be lower in subjects
326 with two mutant alleles of CYP2D6 (R-(-)-ODV=12.9 ng/mL/mg/kg) than in those with
327 no mutant alleles (R-(-)-ODV=34.9 ng/mL/mg/kg); however, the difference was not
328 significant (R-(-)-ODV, $p=0.216$, Kruskal-Wallis test). Further research is needed to
329 overcome these problems.

330

331 **Conclusion**

332 The *CYP2D6*10* gene polymorphism affects the enantioselective O-demethylation

333 rates of R-(-)-VEN and, to some extent, S-(+)-VEN in Japanese depressed patients,
334 although the effect on the plasma concentrations of the enantiomers is not significant.
335 This suggests that *CYP2D6*10* mutations affect the metabolism of R-(-)-VEN and S-(+)-
336 VEN. Further studies are needed to examine how these findings affect clinical practice.
337

338 **Acknowledgments**

339 This study was funded by Grants-in-Aid for Scientific Research (KAKENHI) from the
340 Japan Society for the Promotion of Research JSPS, 15H04754 (Principal Investigator
341 Norio Yasui-Furukori), 17K10280 (Principal Investigator Kazutaka Shimoda) and
342 20K16052 (Principal Investigator Hazuki Komahashi-Sasaki). The funders had no role in
343 the study design, data collection and analysis, decision to publish, or preparation of the
344 manuscript.

345

346 **Conflict of Interest Statement**

347 Yasui-Furukori has been a speaker for Otsuka Pharmaceutical Co., Ltd.; Mochida
348 Pharmaceutical Co., Ltd.; Dainippon-Sumitomo Pharmaceutical Co.; and MSD Co.
349 Kazutaka Shimoda has received research support from Novartis Pharma K.K.; Dainippon
350 Sumitomo Pharma Co.; Astellas Pharma Inc.; Meiji Seika Pharma Co., Ltd.; Eisai Co.,
351 Ltd.; Pfizer Inc.; Otsuka Pharmaceutical Co., Ltd.; Daiichi Sankyo Co.; and Takeda
352 Pharmaceutical Co., Ltd., and honoraria from Eisai Co., Ltd.; Mitsubishi Tanabe Pharma
353 Corporation; Takeda Pharmaceutical Co., Ltd.; Meiji Seika Pharma Co., Ltd.; Janssen
354 Pharmaceutical K.K.; Shionogi & Co., Ltd.; Dainippon Sumitomo Pharma Co.; Daiichi
355 Sankyo Co.; and Pfizer Inc. The funders did not have any role in data collection or in the
356 study design, analysis, decision to publish, or preparation of the manuscript. The
357 remaining authors declare that they have no competing interests to report.

358

359

360 **Reference**

- 361 1. Srinivas NR, Barbhaiya RH, Midha KK. Enantiomeric drug development: issues,
362 considerations, and regulatory requirements. *Journal of pharmaceutical sciences*.
363 2001;90(9):1205-1215.
- 364 2. Muller GW. Thalidomide: From tragedy to new drug discovery. *Chemtech-*
365 *Washington DC*. 1997;27:21-25.
- 366 3. Gex-Fabry M, Balant-Gorgia AE, Balant LP, Rudaz S, Veuthey JL, Bertschy G.
367 Time course of clinical response to venlafaxine: relevance of plasma level and chirality.
368 *Eur J Clin Pharmacol*. 2004;59(12):883-891.
- 369 4. Howell SR, Husbands GE, Scatina JA, Sisenwine SF. Metabolic disposition of
370 ¹⁴C-venlafaxine in mouse, rat, dog, rhesus monkey and man. *Xenobiotica; the fate of*
371 *foreign compounds in biological systems*. 1993;23(4):349-359.
- 372 5. Gex-Fabry M, Rudaz S, Balant-Gorgia AE, et al. Steady-state concentration of
373 venlafaxine enantiomers: model-based analysis of between-patient variability. *Eur J Clin*
374 *Pharmacol*. 2002;58(5):323-331.
- 375 6. Sangkuhl K, Stingl JC, Turpeinen M, Altman RB, Klein TE. PharmGKB
376 summary: venlafaxine pathway. *Pharmacogenetics and genomics*. 2014;24(1):62-72.
- 377 7. Otton SV, Ball SE, Cheung SW, Inaba T, Rudolph RL, Sellers EM. Venlafaxine
378 oxidation in vitro is catalyzed by CYP2D6. *Br J Clin Pharmacol*. 1996;41(2):149-156.
- 379 8. Fogelman SM, Schmider J, Venkatakrisnan K, et al. O- and N-demethylation
380 of venlafaxine in vitro by human liver microsomes and by microsomes from cDNA-
381 transfected cells: effect of metabolic inhibitors and SSRI antidepressants.
382 *Neuropsychopharmacology: official publication of the American College of*
383 *Neuropsychopharmacology*. 1999;20(5):480-490.

- 384 9. Klamerus KJ, Maloney K, Rudolph RL, Sisenwine SF, Jusko WJ, Chiang ST.
385 Introduction of a composite parameter to the pharmacokinetics of venlafaxine and its
386 active O-desmethyl metabolite. *Journal of clinical pharmacology*. 1992;32(8):716-724.
- 387 10. Sánchez C, Hyttel J. Comparison of the effects of antidepressants and their
388 metabolites on reuptake of biogenic amines and on receptor binding. *Cellular and*
389 *molecular neurobiology*. 1999;19(4):467-489.
- 390 11. Muth EA, Moyer JA, Haskins JT, Andree TH, Husbands GEM. Biochemical,
391 neurophysiological, and behavioral effects of Wy-45,233 and other identified metabolites
392 of the antidepressant venlafaxine. *Drug Development Research*. 1991;23(2):191-199.
- 393 12. Muth EA, Haskins JT, Moyer JA, Husbands GE, Nielsen ST, Sigg EB.
394 Antidepressant biochemical profile of the novel bicyclic compound Wy-45,030, an ethyl
395 cyclohexanol derivative. *Biochemical pharmacology*. 1986;35(24):4493-4497.
- 396 13. Eap CB, Lessard E, Baumann P, et al. Role of CYP2D6 in the stereoselective
397 disposition of venlafaxine in humans. *Pharmacogenetics*. 2003 13(1):39-47.
- 398 14. Dorji PW, Tshering G, Na-Bangchang K. CYP2C9, CYP2C19, CYP2D6 and
399 CYP3A5 polymorphisms in South-East and East Asian populations: A systematic review.
400 *Journal of clinical pharmacy and therapeutics*. 2019;44(4):508-524.
- 401 15. Fukuda T, Nishida Y, Zhou Q, Yamamoto I, Kondo S, Azuma J. The impact of
402 the CYP2D6 and CYP2C19 genotypes on venlafaxine pharmacokinetics in a Japanese
403 population. *Eur J Clin Pharmacol*. 2000;56(2):175-180.
- 404 16. Tveden-Nyborg P, Bergmann TK, Lykkesfeldt J. Basic & Clinical Pharmacology
405 & Toxicology Policy for Experimental and Clinical studies. *Basic & clinical*
406 *pharmacology & toxicology*. 2018;123(3):233-235.
- 407 17. Paus E, Jonzier-Perey M, Cochard N, et al. Chirality in the new generation of

408 antidepressants – Stereoselective analysis of the enantiomers of mirtazapine, N-
409 demethylmirtazapine, and 8-hydroxymirtazapine by LC-MS. *Therapeutic Drug*
410 *Monitoring*. 2004; 26:366-374

411 18. Johansson I, Oscarson M, Yue QY, Bertilsson L, Sjöqvist F, Ingelman-Sundberg
412 M. Genetic analysis of the Chinese cytochrome P4502D locus: characterization of variant
413 CYP2D6 genes present in subjects with diminished capacity for debrisoquine
414 hydroxylation. *Molecular pharmacology*. 1994;46(3):452-459.

415 19. Steen VM, Andreassen OA, Daly AK, et al. Detection of the poor metabolizer-
416 associated CYP2D6(D) gene deletion allele by long-PCR technology. *Pharmacogenetics*.
417 1995; 5:215-223

418 20. Karlsson L, Zackrisson AL, Josefsson M, Carlsson B, Green H, Kugelberg FC.
419 Influence of CYP2D6 and CYP2C19 genotypes on venlafaxine metabolic ratios and
420 stereoselective metabolism in forensic autopsy cases. *The pharmacogenomics journal*.
421 2015;15(2):165-171.

422 21. Milosavljević F, Bukvić N, Pavlović Z, et al. Association of CYP2C19 and
423 CYP2D6 Poor and Intermediate Metabolizer Status With Antidepressant and
424 Antipsychotic Exposure: A Systematic Review and Meta-analysis. *JAMA Psychiatry*.
425 2020.

426 22. Waade RB, Hermann M, Moe HL, Molden E. Impact of age on serum
427 concentrations of venlafaxine and escitalopram in different CYP2D6 and CYP2C19
428 genotype subgroups. *Eur J Clin Pharmacol*. 2014;70(8):933-940.

429 **Figure legends**

430 Figure 1: Structural formulae of the enantiomers of VEN and ODV. The metabolic
431 pathways of VEN are also shown (modified in part from Otton et al.) (7)

432

433 Figure 2: Positive and significant relationships between the daily dose of VEN (corrected
434 for body weight) and the plasma concentrations of S-(+)-VEN ($r=0.457$, $p<0.001$), R-(-)-
435 VEN ($r=0.465$, $p<0.001$), S-(+)-ODV ($r=0.412$, $p<0.001$) and R-(-)-ODV were found
436 ($r=0.325$, $p=0.004$).

437 Blank circles indicate subjects with the *CYP2D6**1/*1, *CYP2D6**1/*2 and *CYP2D6**2/*2
438 genotypes, blank squares indicate subjects with the *CYP2D6**1/*10 and *CYP2D6**2/*10
439 genotypes, and filled circles indicate subjects with the *CYP2D6**10/*10 genotype. Dotted
440 lines indicate regression lines.

441

442 Figure 3: Relationship between *CYP2D6**10 genotype and S-(+)-ODV/S--(+)-VEN
443 (upper panel) and R-(-)-ODV/R-(-)-VEN (lower panel) ratios. Horizontal bars show the
444 median value of each group. Blank circles, blank squares and filled circles indicate
445 patients with the *CYP2D6**1/*1, *CYP2D6**1/*2 and *CYP2D6**2/*2 genotypes, the
446 *CYP2D6**1/*10 and *CYP2D6**2/*10 genotypes, and the *CYP2D6**10/*10 genotypes,
447 respectively. After the Kruskal-Wallis test, post hoc analysis was performed using the
448 Mann-Whitney U test.

449

450 Figure 4: Relationship between *CYP2D6* genotype and S-(+)-ODV/R- (-)-ODV ratio.
451 Horizontal bars show the median value of each group. Blank circles, blank squares and
452 filled circles indicate patients with the *CYP2D6**1/*1, *CYP2D6**1/*2 and *CYP2D6**2/*2

453 genotypes, the *CYP2D6**1/*10 and *CYP2D6**2/*10 genotypes, and the *CYP2D6**10/*10
454 genotypes, respectively. After the Kruskal-Wallis test, post hoc analysis was performed
455 using the Mann-Whitney U test.

456

457

458

459

460

461

462

Table 1 Demographic characteristics, dosage of venlafaxine administered, plasma concentrations of enantiomers of venlafaxine and its metabolites.

Parameter	
Sex(male/female)	27/44
Age(years)	50.1±18.3
Body weight(kg)	60.3±17.7
Daily dose of VEN(mg/day)	97.2±60.6
Daily dose of VEN corrected for body weight(ymg/day/kg body weight)	1.8±1.3
Smoking status(non-smokers/smokers)	66/5
S-(+)-VEN(ng/mL)	23.1±26.3
R-(-)-VEN(ng/mL)	12.7±15.5
S-(+)-ODV(ng/mL)	41.8±46.6
R-(-)-ODV(ng/mL)	44.2±50.1
S-(+)-ODV/S-(+)-VEN	2.7±2.4
R-(-)-ODV/R-(-)-VEN	6.5±8.4
S-(+)-VEN/R-(-)-VEN	2.2±1.6
S-(+)-ODV/R-(-)-ODV	1.2±0.5
S/R-ODV/VEN	0.7±0.6

abbreviations:VEN; venlafaxine, ODV; O-desmethylvenlafaxine

Data are expressed as mean±SD.

Table 2 Relationship between number of *CYP2D6*10* alleles and corrected plasma levels of VEN, ODV, S-(+)-VEN, R-(-)-VEN, S-(+)-ODV, and R-(-)-ODV (all corrected for dose and body weight)(n=71).

Parameter	Number of <i>CYP2D6*10</i> alleles			P-value
	0	1	2	
Sex(male/female) ^a	13/22	8/16	7/5	0.282
Age(years) ^b	46(20-84)	46(26-77)	68.5(22-83)	0.103
Body weight(kg) ^c	56.0(31.0-100.0)	57.6(38.2-99.3)	52.8(42.0-130.0)	0.858
Daily dose of VEN(mg/day) ^c	75(37.5-225)	75(37.5-150)	56.3(37.5-225)	0.488
Daily dose of VEN corrected for body weight(mg/day/kg body weight) ^c	1.6(0.5-7.3)	1.1(0.6-3.6)	1.0(0.3-4.2)	0.631
Smoking status (non-smokers/smokers) ^a	34/1	20/4	12/0	0.073
S-(+)-VEN(ng/mL/mg/kg) ^c	10.3(0.8-63.9)	9.8(1.4-63.9)	22.5(2.7-83.7)	0.048
R-(-)-VEN(ng/mL/mg/kg) ^c	3.2(0.3-57.9)	4.7(1.6-22.8)	10.8(1.6-52.7)	0.054
S-(+)-ODV(ng/mL/mg/kg) ^c	24.0(1.9-75.3)	9.5(1.4-92.5)	18.7(7.1-77.6)	0.303
R-(-)-ODV(ng/mL/mg/kg) ^c	34.9(1.7-84.7)	9.8(2.5-83.0)	12.9(4.5-71.0)	0.216
S-(+)-ODV/S-(+)-VEN ^c	2.9(0.5-12.4)	1.5(0.5-4.9)	1.0(0.3-8.6)	0.003
R-(-)-ODV/R-(-)-VEN ^c	6.8(1.1-42.8)	1.6(0.4-16.1)	1.5(0.2-12.0)	0.005
S-(+)-VEN/R-(-)-VEN ^c	2.1(0.2-8.3)	1.6(0.1-7.9)	1.7(1.0-7.6)	0.569
S-(+)-ODV/R-(-)-ODV ^c	0.8(0.6-2.7)	1.2(0.1-2.1)	1.5(0.6-3.0)	0.029
S/R-ODV/VEN ^c	1.8(0.2-11.4)	1.2(0.6-11.9)	1.3(0.7-3.0)	0.054

abbreviations: VEN; venlafaxine corrected for dose and body weight , ODV; O-desmethylvenlafaxine corrected for dose and body weight

Data are expressed median (range).

aP-value was calculated by the Chi-square test.

bData are expressed as median (range), and P-value was calculated by analysis of variance(ANOVA).

cData are expressed as median (range), and P-value was calculated by Kruskal-Wallis test.

465

Table 3: The result of standardized partial correlation coefficient in multiple regression analyses.

Independent variable	S-(+)-VEN (ng/mL/mg/kg)	R-(-)-VEN (ng/mL/mg/kg)	S-(+)-ODV (ng/mL/mg/kg)	R-(-)-ODV (ng/mL/mg/kg)	S-(+)-ODV / S-(+)-VEN	R-(-)-ODV / R-(-)-VEN	S-(+)-VEN / R-(-)-VEN	S-(+)-ODV / R-(-)-ODV	S / R-ODV / VEN
Sex	-	-	-0.301(0.011)	-	-	-	-	0.237(0.043)	-
Age (years)	-	-	-	-	-	-	-	-	-
Smoking status	-	-	-	-	-	-	-	-	-
Number of mutated alleles	0.317(0.007)	-	-	-	0.311(0.008)	0.314(0.008)	-	0.303(0.010)	-

abbreviations: VEN; venlafaxine corrected for dose and body weight , ODV; O-desmethylvenlafaxine corrected for dose and body weight

Stepwise multiple regression analysis was performed to analyze the relationship between independent variables (sex, age, smoking habit and number of mutant allele and subject-dependent variables (plasma concentrations of S-(+)-VEN, R-(-)-VEN, S-(+)-ODV and R-(-)-ODV (all corrected for dose and body weight) and the S-(+)-ODV/S-(+)-VEN, R-(-)-ODV/R-(-)-VEN, S-(+)-VEN/R-(-)-VEN and S-(+)-ODV/R-(-)-ODV ratio. Dummy variables were given for sex (male=0, female=1) and smoking habit (nonsmoker=0, smoker=1) as independent variables.

466