

1 **Atlantoaxial Rotatory Fixation after Microtia Reconstruction Surgery**

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19 Running head: Grisel syndrome after microtia reconstruction

20 Financial disclosure: None

21 **Abstract**

22 Background: Nontraumatic atlantoaxial rotatory fixation after microtia reconstruction
23 surgery is a rare complication. Intraoperative cervical hyperextension and/or excessive
24 rotation and postoperative inflammation have been reported as causes of atlantoaxial
25 rotatory fixation. We herein describe cases of atlantoaxial rotatory fixation after
26 microtia reconstruction surgery.

27 Methods: This was a retrospective study of 80 patients (165 surgeries) who underwent
28 microtia reconstruction surgery in Dokkyo Medical University Hospital between April
29 2006 and December 2012. The patient- and operation-related variables were obtained
30 from medical charts. Neck radiographs and computed tomography scans of patients
31 with atlantoaxial rotatory fixation were evaluated to check for cervical spine
32 abnormalities.

33 Results: Five cases of atlantoaxial rotatory fixation after microtia reconstruction
34 surgery were recorded. Three of these five cases were diagnosed with Klippel-Feil
35 syndrome after the onset of atlantoaxial rotatory fixation. No significant difference was
36 found in the operative duration and other variables between patients with atlantoaxial
37 rotatory fixation and those without. All patients immediately underwent conservative
38 treatment and showed complete recovery and no recurrences.

39 Conclusion: Although atlantoaxial rotatory fixation is a rare complication, surgeons
40 should consider it in patients with neck problems following microtia reconstruction

41 surgery. A patient with microtia may have unrecognized Klippel-Feil syndrome.
42 Patients with Klippel-Feil syndrome are more likely to develop atlantoaxial rotatory
43 fixation, which may have severe consequences. Thus, it is crucial to preoperatively
44 identify Klippel-Feil syndrome with neck radiography and to detect atlantoaxial
45 rotatory fixation at the earliest.

46 **Keywords:** atlantoaxial rotatory fixation, Klippel-Feil syndrome, congenital microtia,
47 torticollis

48

49 **Introduction**

50 Grisel syndrome, a non-traumatic atlantoaxial rotatory fixation, is a rare condition in
51 children.¹⁻³ Most atlantoaxial rotatory fixations occur following an upper respiratory
52 tract infection or otolaryngological procedure.⁴

53 Atlantoaxial rotatory fixation after microtia reconstruction surgery is thought to occur
54 following prolonged and/or excessive rotation and/or hyperextension of the head
55 during the surgical procedure; however, the contribution of Klippel-Feil syndrome to
56 atlantoaxial rotatory fixation after otoplasty surgery remains unknown. Herein, we
57 described five patients with atlantoaxial rotatory fixation following microtia
58 reconstruction surgery. Three patients were postoperatively diagnosed with
59 Klippel-Feil syndrome, defined as a congenital cervical spine fusion. We proposed that
60 not only intraoperative cervical hyperextension and/or excessive rotation but also
61 unrecognized Klippel-Feil syndrome may cause atlantoaxial rotatory fixation after
62 microtia reconstruction surgery.

63

64 **Materials and Methods**

65 This study was approved by the Ethics Committee of Dokkyo Medical University
66 Hospital (review no. R-38-7J). All patients and their parents were provided with verbal
67 and written information about the study before obtaining their written consent for
68 participation.

69 In our institution, we perform microtia reconstruction surgery in two stages. The first
70 stage includes otoplasty with rib cartilage, and the second stage involves ear elevation
71 with or without tympanoplasty.^{5,6} A retrospective chart review of data of the patients
72 who underwent microtia reconstruction surgery (first or second stage) between April
73 2006 to December 2012 was completed. Since the susceptible age of atlantoaxial
74 rotatory fixation is <12 years, patients aged ≥ 12 years at the first-stage surgery were
75 excluded from this study. The preoperative patient demographic variables including sex,
76 condition of microtia, medical comorbidities, surgery-related variables of the stage of
77 surgery (first or second), and duration of the operation were obtained from the medical
78 charts.

79 Symptomatic microtia and other congenital anomalies (e.g., cardiac, gastrointestinal,
80 and urological anomalies) were considered medical comorbidities, except for accessory
81 ear. Neck radiographs and computed tomography scans of patients with atlantoaxial
82 rotatory fixation were evaluated for cervical spine abnormalities.

83 For all statistical analyses, SPSS software (IBM Corporation, Tokyo, Japan) was used.
84 Fisher's exact test was used to assess the effect of sex, condition of microtia, medical
85 comorbidities, and stage of surgery on the risk of atlantoaxial rotatory fixation.
86 Mann-Whitney U test was used to analyze the relationship between the operative
87 duration and the risk of atlantoaxial rotatory fixation.

88

89 **Results**

90 In total, 80 patients, representing 165 surgeries, were analyzed. Five patients developed
91 atlantoaxial rotatory fixation, indicating a 3% incidence rate. Details of five patients
92 with atlantoaxial rotatory fixation are summarized in Figure 1. Three of five patients
93 were postoperatively diagnosed with Klippel-Feil syndrome. The incidence of
94 Klippel-Feil syndrome among patients with microtia and patients with microtia and
95 medical comorbidities were 3.75% and 20%, respectively. No significant difference
96 was found in the following variables: sex, condition of microtia, stage of surgery, and
97 operative duration (Figs. 2,3,4,5). However, the presence of medical comorbidities
98 showed a significant correlation with atlantoaxial rotatory fixation ($P=0.0432$) (Figure
99 6).

100

101 **Discussion**

102 Non-traumatic atlantoaxial rotatory fixation, or Grisel syndrome, causes a painful
103 torticollis (cock robin posture) and is predominantly seen in pediatric patients.
104 Atlantoaxial rotatory fixation occurs after head and neck infection or following routine
105 otolaryngological procedures in children. Although the mechanism of atlantoaxial
106 rotatory fixation is unknown, anatomical features of the spine in children and
107 inflammation play a major role in atlantoaxial rotatory fixation. The transverse
108 ligament of the atlas mainly contributes to the stability of the C1–C2 joint.⁷ The

109 pharynx is anatomically adjacent to the C1–C2 joint and shares lymphatic drainage
110 with it. Any inflammation in the pharynx may spread to the C1–C2 joint and result in
111 laxity of the transverse ligament.⁷

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113 Atlantoaxial rotatory fixation has been reported as a postoperative complication of
114 surgery for congenital ear deformities; however, the contribution of Klippel-Feil
115 syndrome to atlantoaxial rotatory fixation after otoplasty surgery has not been
116 reported.⁸⁻¹⁴

117 Intraoperative cervical hyperextension and/or excessive rotation and perioperative
118 inflammation are stated as the cause of atlantoaxial rotatory fixation after otoplasty. In
119 two of our five patients who did not have Klippel-Feil syndrome, the cause of
120 atlantoaxial rotatory fixation appeared to be the same as that suggested in previous
121 reports; however, in the other three who had Klippel-Feil syndrome, this syndrome
122 appeared to be the cause of their developing atlantoaxial rotatory fixation. Patients with
123 cervical spine abnormalities such as Klippel-Feil syndrome, Down syndrome, and
124 Marfan syndrome are at a high risk of developing atlantoaxial rotatory fixation and
125 suffering severe consequences.¹⁵ None of the three patients with Klippel-Feil syndrome
126 were recognized preoperatively as having this syndrome.

127

128 Klippel-Feil syndrome is a congenital bone disorder characterized by the abnormal

129 fusion of two or more cervical vertebrae. However, fewer than 50% of patients with
130 Klippel-Feil syndrome manifest all three of the classic signs: short neck, low hairline,
131 and limited range of motion of the neck. Klippel-Feil syndrome is estimated to occur in
132 1 in 42,000 births. Its common complications include skeletal abnormalities, renal and
133 genitourinary abnormalities (25%–35%), central nervous system abnormalities (e.g.,
134 deafness and psychomotor retardation) (12%–20%), cardiovascular abnormalities
135 (3.5%–14%), and cleft lip and palate (10%).¹⁶⁻²⁰ Additionally, auricular deformities are
136 a known comorbidity, although their prevalence has not been reported.

137 In this study, three (3.75%) of 80 patients were found to have Klippel-Feil syndrome,
138 and the prevalence of Klippel-Feil syndrome in patients with microtia appeared to be
139 higher than that in the general population. In addition, 20% (3 of 15) of the patients
140 with medical comorbidities had Klippel-Feil syndrome. In some patients, microtia and
141 congenital cervical spine fusion may be seen as part of a group of a few syndromes,
142 such as Wildervanck, Duane, and Goldenhar syndromes. However, none of the three
143 patients met the criteria for those syndromes. The prevalence of Klippel-Feil syndrome
144 might be higher in patients with microtia, especially those with medical comorbidities,
145 than in the general population. Since patients with Klippel-Feil syndrome have high
146 risk of developing atlantoaxial rotatory fixation and its severe consequences, we
147 suggest that patients with microtia and medical comorbidities should be evaluated for
148 any cervical spine abnormalities with neck radiography to preoperatively recognize

149 whether they have Klippel-Feil syndrome. If we were aware of the presence of this
150 syndrome, we could pay meticulous attention to the neck position during surgery,
151 which could result in the early detection of atlantoaxial rotatory fixation. Conservative
152 treatment (cervical collar and traction) should be started within 4 weeks of onset,
153 because the delay in initiating treatment might result in recurrence or surgery.^{11,21,22} All
154 five patients with atlantoaxial rotatory fixation improved with conservative treatment
155 and have shown no recurrence to date. Currently, in our institution, we routinely take
156 preoperative cervical spine radiographs of patients with bilateral microtia, as well as
157 those with microtia and other medical comorbidities. We recommend that surgeons
158 take preoperative cervical spine radiographs of such patients and take utmost care of
159 patients' cervical position during surgery to provide early detection and treatment for
160 atlantoaxial rotatory fixation.

161

162 **Conclusions**

163 We reported five cases of postoperative atlantoaxial rotatory fixation in patients with
164 microtia. From a retrospective review of medical charts, the prevalence of Klippel-Feil
165 syndrome is higher in patients with microtia, especially those with microtia and other
166 medical comorbidities, than in the general population. Further research is necessary to
167 confirm this possibility. Surgeons who perform microtia reconstructive surgery should
168 keep in mind the possibility of Klippel-Feil syndrome and pay attention to whether the

169 patients have atlantoaxial rotatory fixation, in order to avoid its severe consequences.

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171 **Acknowledgments**

172 None.

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241 **Figure legends**

242

243 Figure 1. Patient characteristics.

244 VSD, ventricular septal defect; AARF, nontraumatic atlantoaxial rotatory fixation.

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247 Figure 2. Effect of sex on AARF.

248 AARF, nontraumatic atlantoaxial rotatory fixation.

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250 Figure 3. Effect of the condition on AARF.

251 AARF, nontraumatic atlantoaxial rotatory fixation.

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253 Figure 4. Effect of the stage of surgery on AARF.

254 AARF, nontraumatic atlantoaxial rotatory fixation.

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256 Figure 5. Effect of operative duration on AARF.

257 AARF, nontraumatic atlantoaxial rotatory fixation.

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259 Figure 6. Effect of other medical comorbidities on AARF.

260 AARF, nontraumatic atlantoaxial rotatory fixation.

